

Research and development for the Forward Calorimeter as an ALICE upgrade project to study forward physics

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For ALICE FoCal collaboration

University Of Tsukuba

WORKSHOP ON FORWARD PHYSICS AND HIGH-ENERGY
SCATTERING AT ZERO DEGREES AT NAGOYA

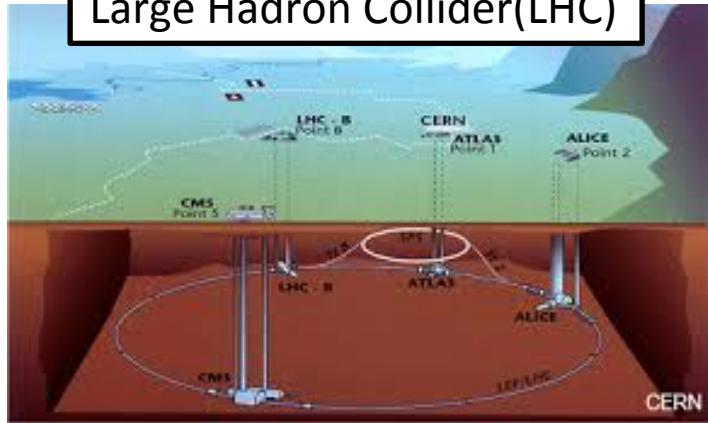
Outline

- Introduction
 - ALICE experiment at LHC
 - Forward physics
 - ALICE upgrade plan
 - FoCal project in ALICE
- Simulation result
 - π^0 detection efficiency
 - Direct photon selection
 - Pb-Pb π^0 performance
- Test beam in 2014
 - LGL result at test beam in 2014
 - HGL result at test beam in 2014
- Summary & next

Introduction

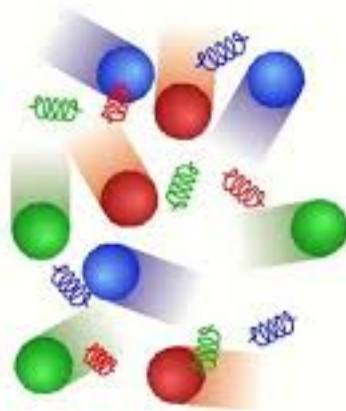
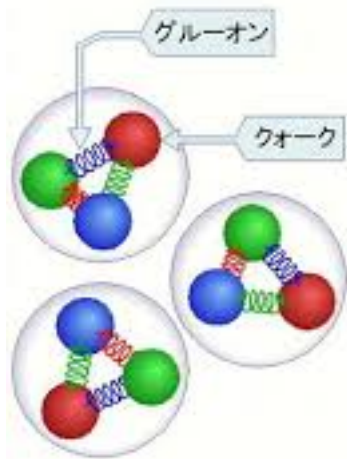
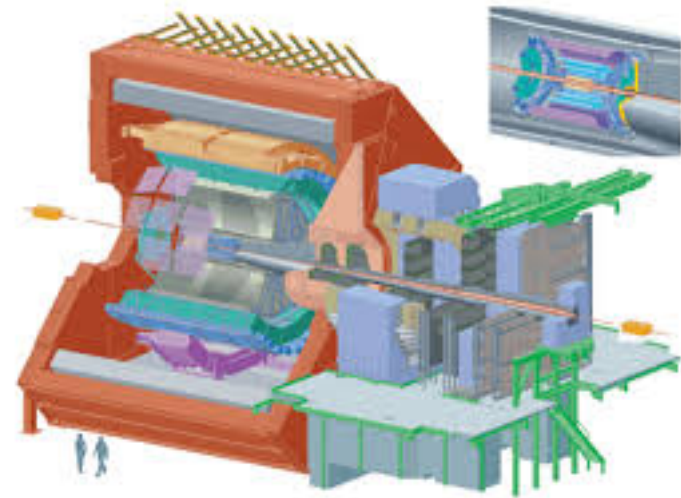
ALICE experiment at LHC

Large Hadron Collider(LHC)



ALICE experiment

- To study QGP(Quark Gluon Plasma)

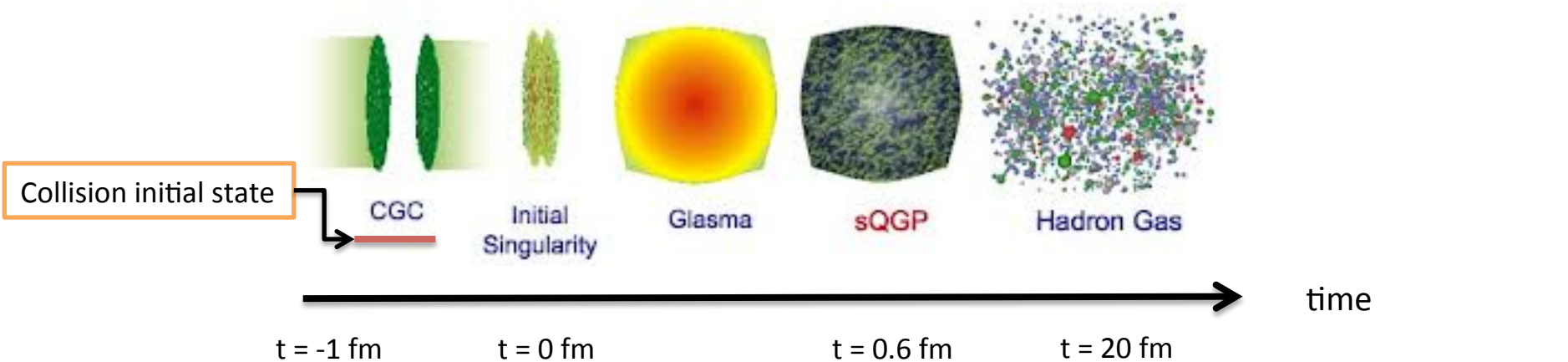


Quark Gluon Plasma

- The state of early universe
- Quarks and gluons move freely

Color Grass Condensate(CGC)

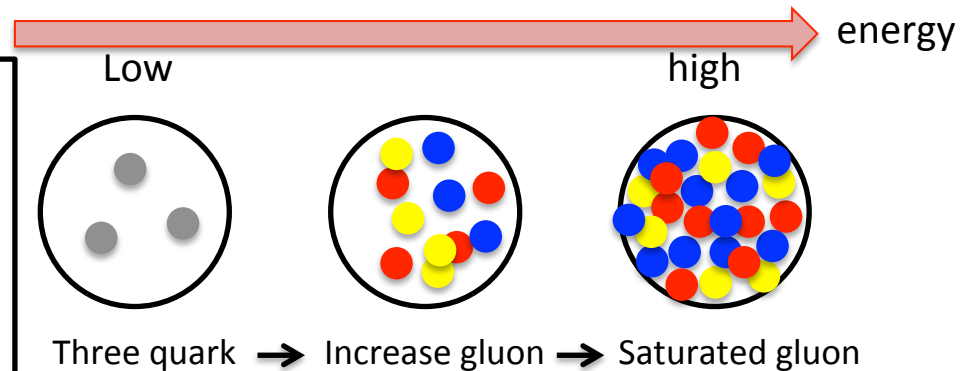
Initial state of nuclear at high energy : gluon is high-density and saturated



Prediction by QCD at high energy
This phenomenon is expected in the forward region



Perform experiment to study it



Forward physics

- Bjorken-x

$$x = \frac{2p_T}{\sqrt{s}} e^{-y}$$

High energy : \sqrt{s} (large)
Forward region : y (small)

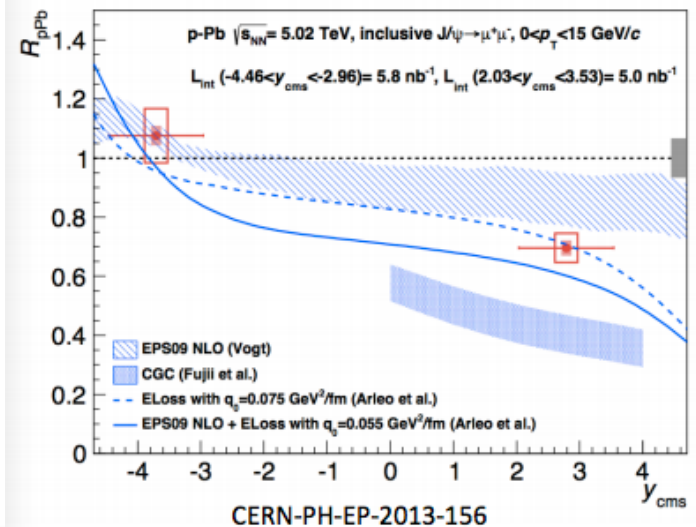
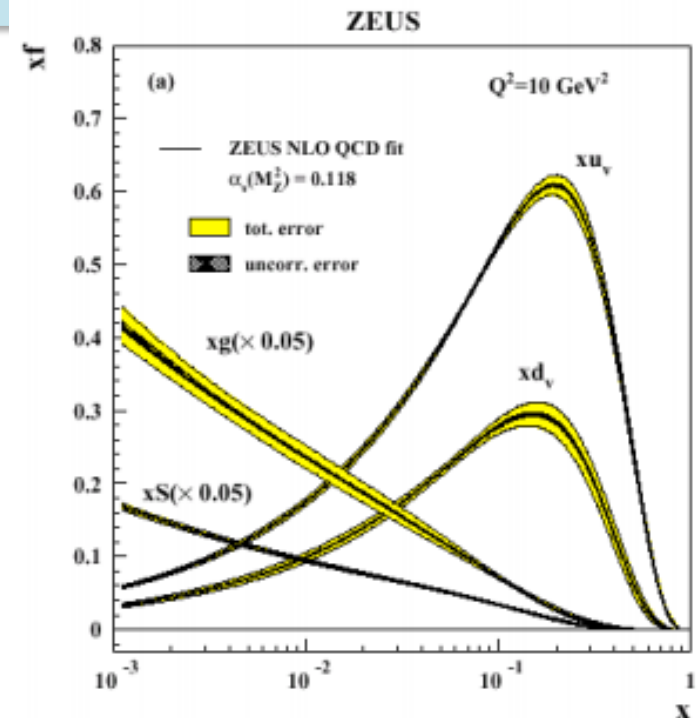
small-x

Accessible in LHC experiments

Study by hadron : including the final state



Study by photon : only initial state



ALICE upgrade plan

Upgrade of various detectors are planned for LHC Long Shutdown

EMCal : extension by DCAL(LS1)

FoCal project

New beam pipe : smaller diameter

TPC : new GEM readout chambers,
Pipeline readout

approved
submitted
under internal review

New ITS : high resolution,
Low material budget

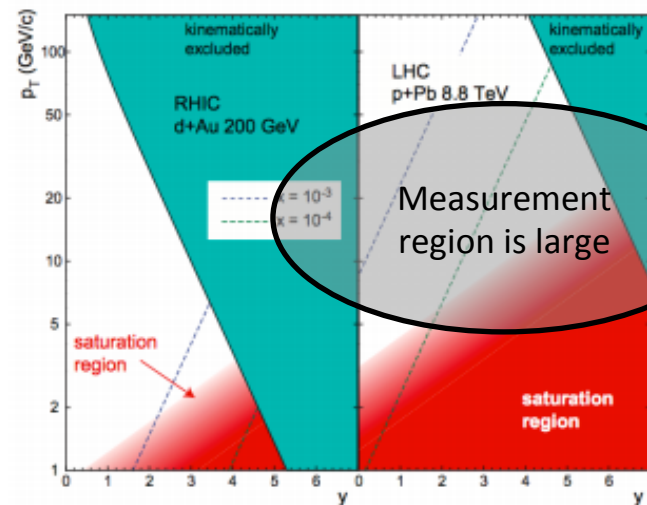
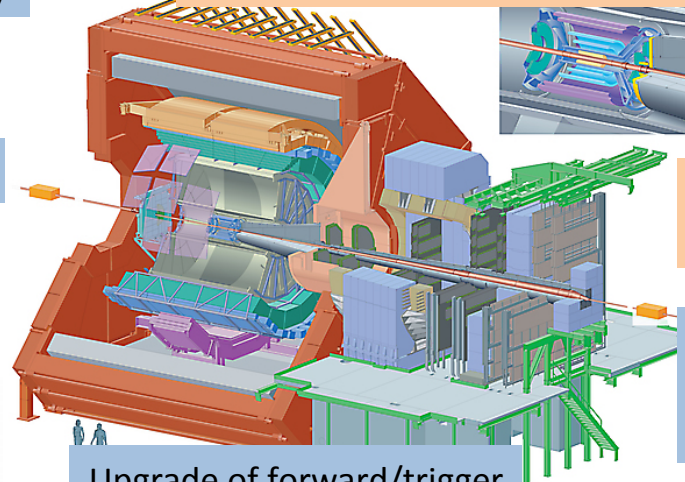
TRD, TOF, PHOS, EMCal,
Muon spectrometer : new
readout electronics

Upgrade of forward/trigger
detectors
(ZDC, VZERO, T0)

MFT project

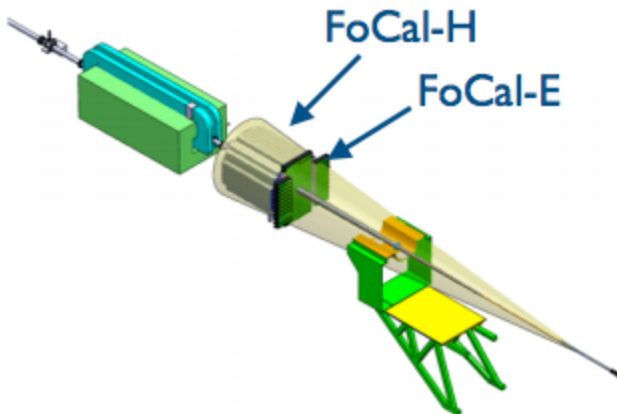
Useable of high energy of LHC
→ inspection of CGC, solution of early stage fever, etc...

All approved upgrades are for LS2(2019 ~ 2020)
and the focal proposal is for LS3.

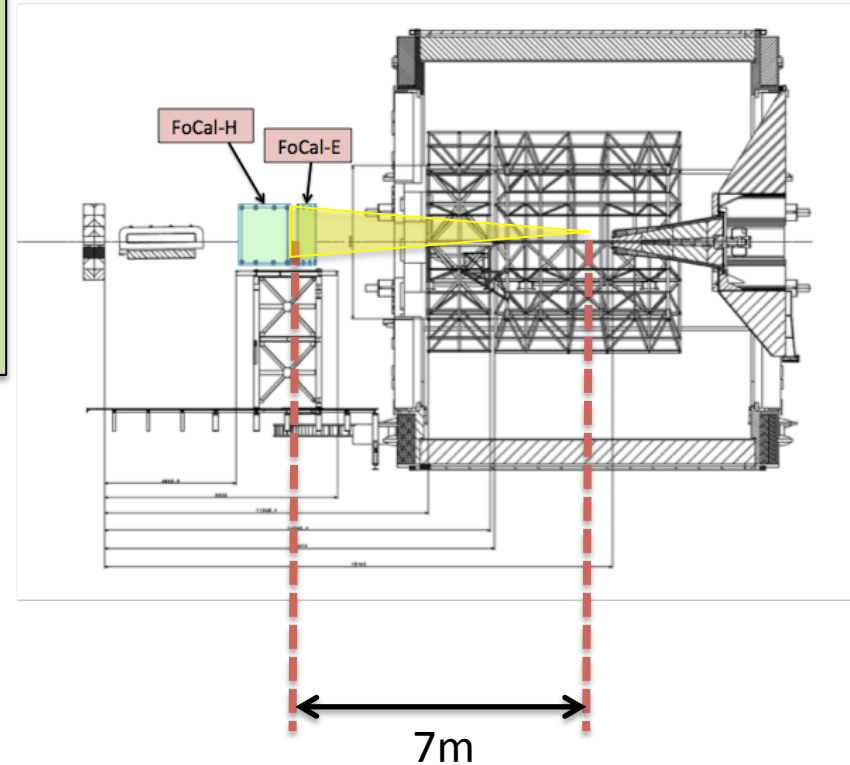


FoCal project in ALICE

- FoCal : **F**orward **C**alormeter
 - One of the upgrade plan in ALICE experiment
- Components
 - Electromagnetic Calorimeter : FoCal-E
 - Hadron Calorimeter : FoCal-H
- Acceptance : $3.3 < \eta < 5.3$



FoCal installation plan

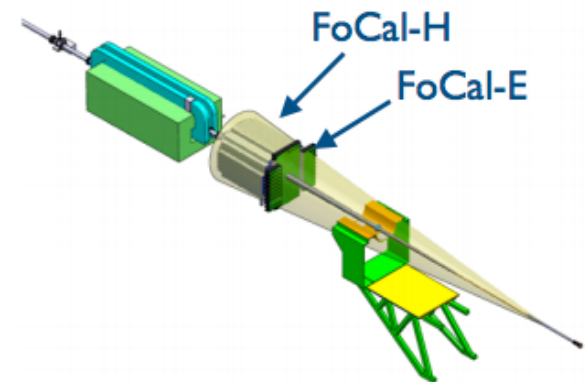
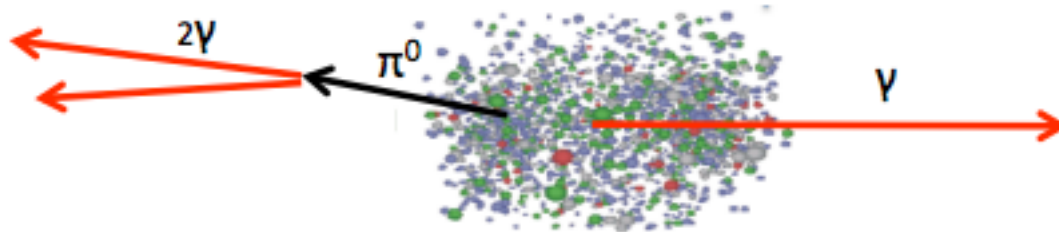
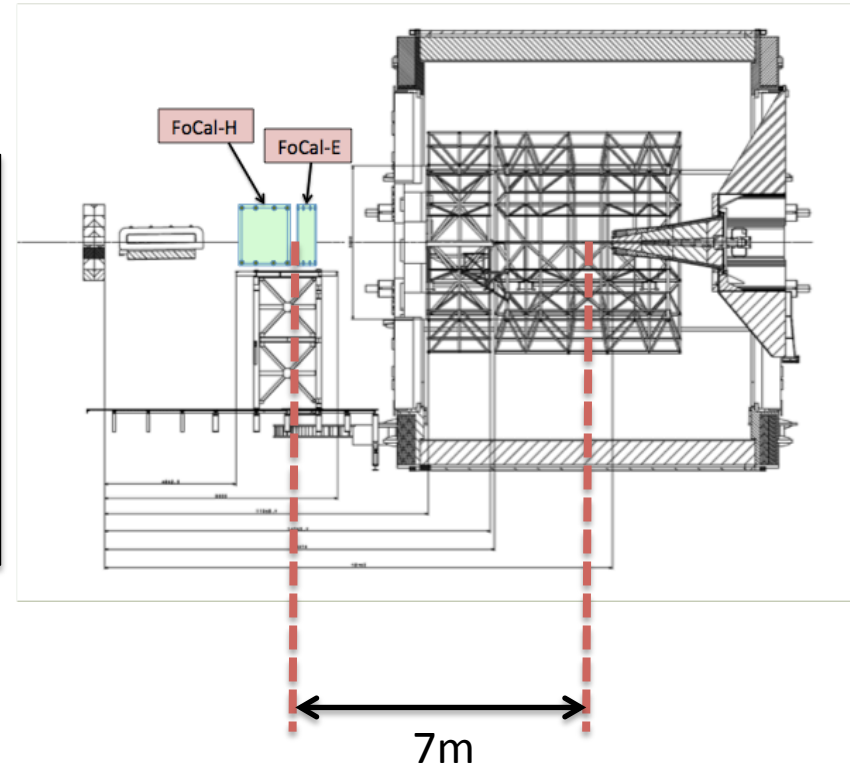


FoCal project in ALICE

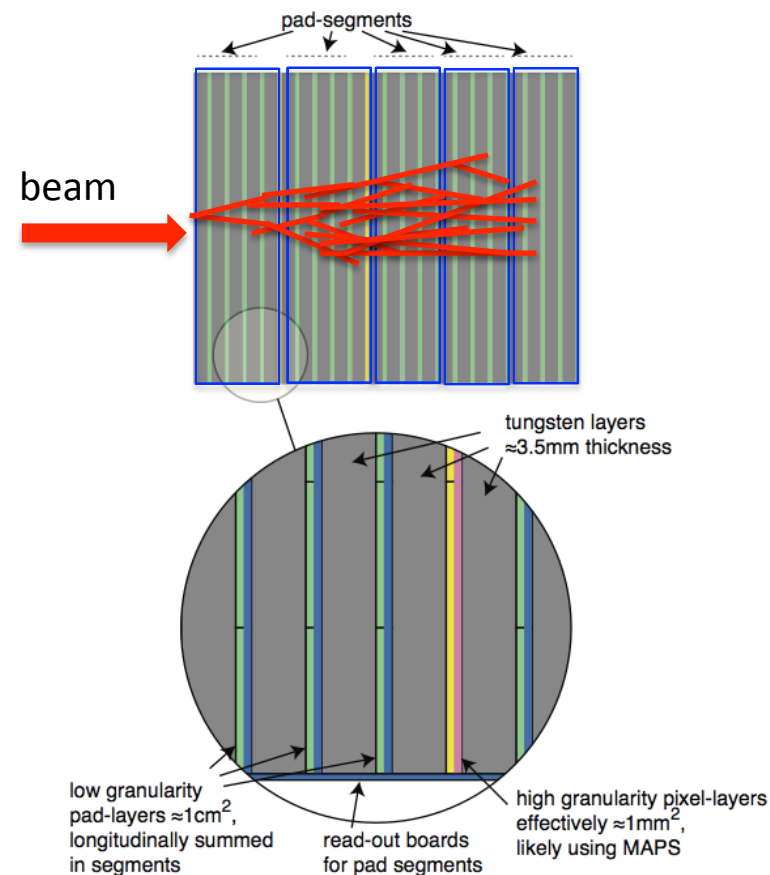
Observables:

- $\pi^0 \rightarrow 2\gamma$
- direct(isolated) photons
- J/ψ
- Jets

} FoCal-E subject
(identification of γ/π^0)

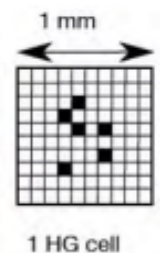


FoCal-E strawman design

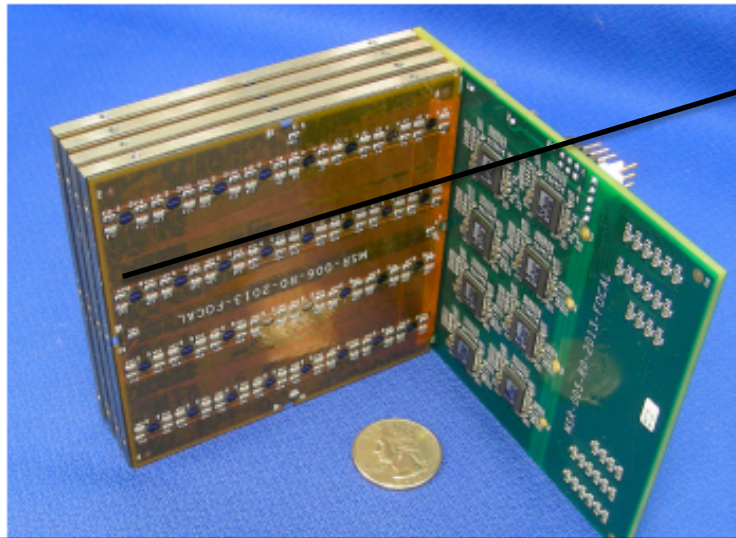


- W/Si sandwich calorimeter
- W absorber + Si sensors
 - Moliere radius : $R_M = 9.3\text{mm}$
 - Radiation length : $X_0 = 3.5\text{mm}(1\text{ layer})$

- Longitudinal segmentation : two different module
- Low Granularity Layer(LGL) : 5 segments
 - 1 segment = 4 layers of Si/W
 - 1 layer = 64 PADs(8 × 8)
 - Silicon PAD size : 1 × 1 cm²
 - Signals are longitudinally summed
- High Granularity Layer(HGL) : 2 segments
 - CMOS-pixel
 - Pixel size : 30 × 30 μm²
 - Digital signals are summed in 1 mm² cells



Low Granularity Layer(LGL)

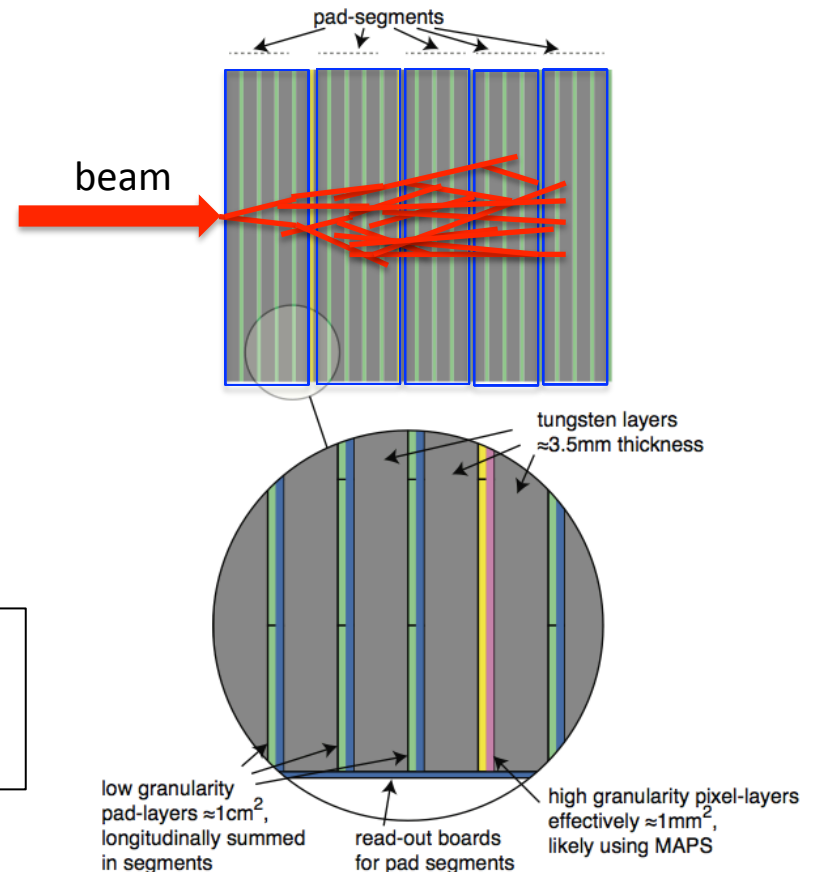


Prototype(made by Oak Ridge National Laboratory)

- Summing board has 128 channel output
- 1/1(high gain) positive output : 0ch ~ 63ch
- 1/16(low gain) negative output : 64ch ~ 127ch

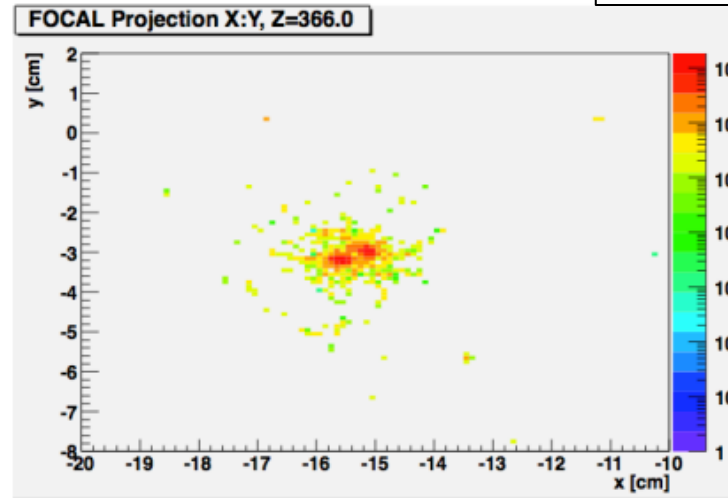
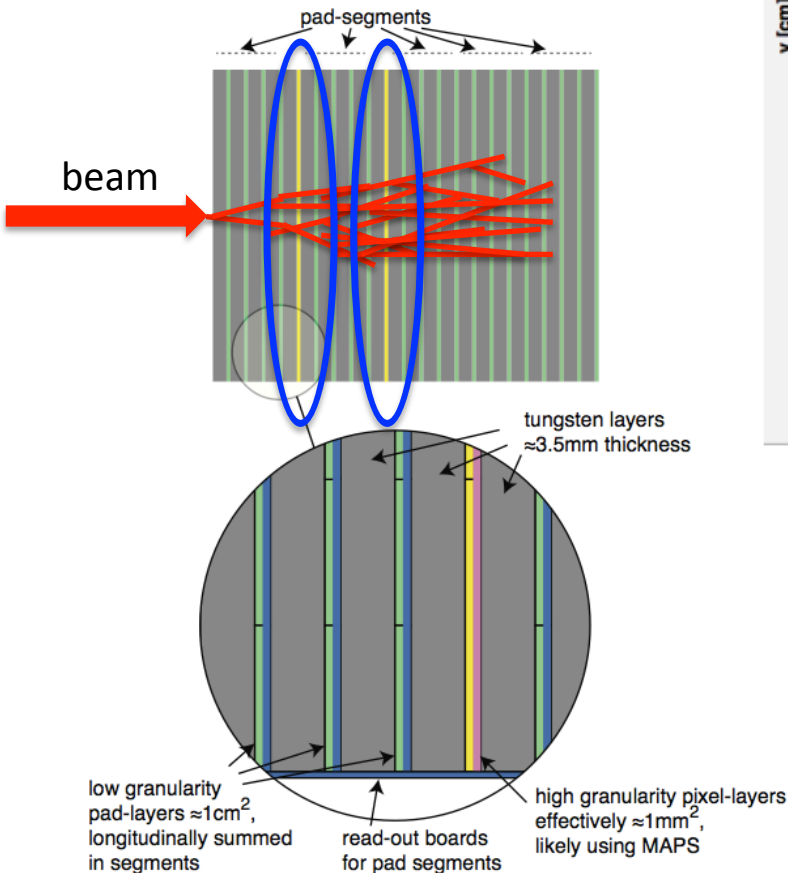
We can change the gain by changing reading polarity

Silicon(Hamamatsu S10938-9959(X))



High Granularity Layer(HGL)

Prototype(made by Utrecht University)



Letter of Intent

A Forward Calorimeter(FoCal) for the ALICE experiment
200GeV/c π^0 simulation

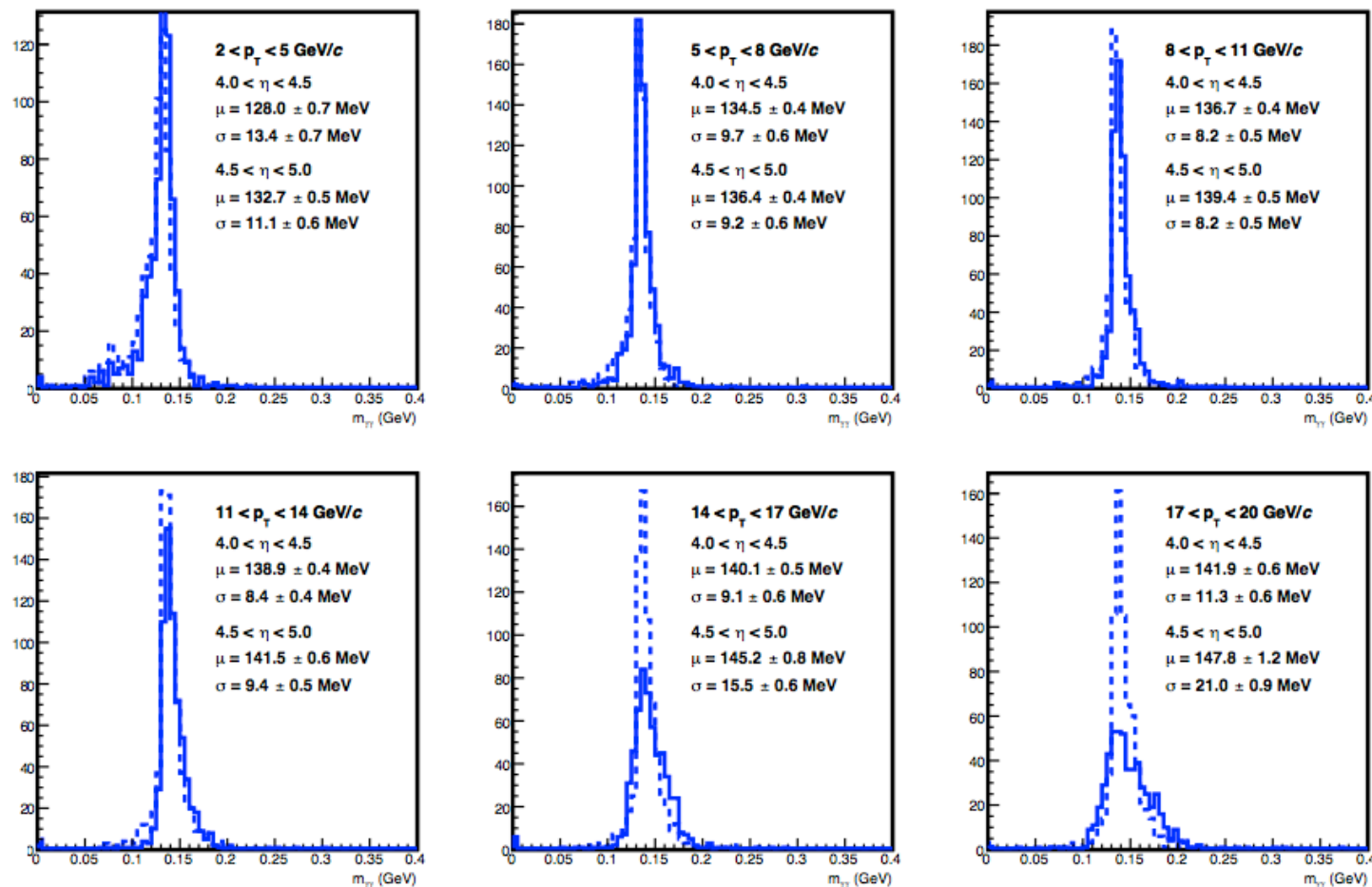


- This is CMOS-pixel(mimosa23(PHASE 2) : designed in Strasbourg) detector which can readout per 1mm^2 is not quite true; here we read out all pixels individually
- Identification of γ/π^0 is possible because of observing shower shape
- Read out electronics for HGL : provided by Bergen University

Simulation result

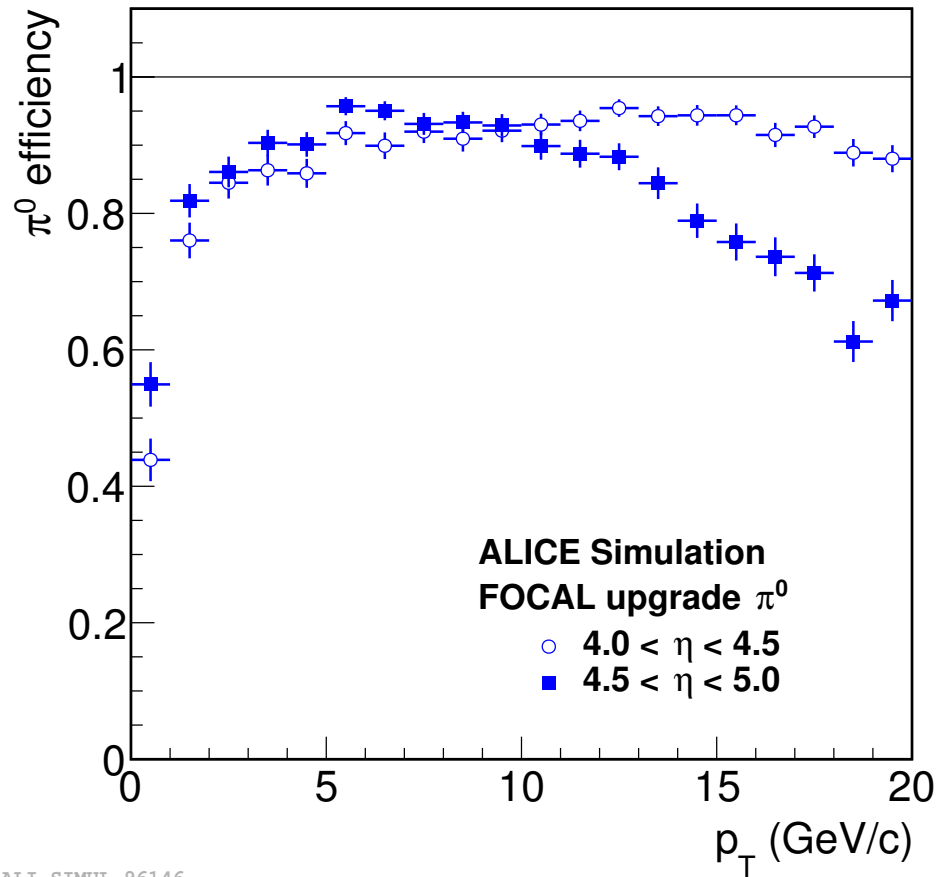
π^0 mass peaks and resolution

--simulations; FoCal at 7m--



▪ Two-photon separation over large momentum range

π^0 detection efficiency



ALI-SIMUL-96146

good efficiency

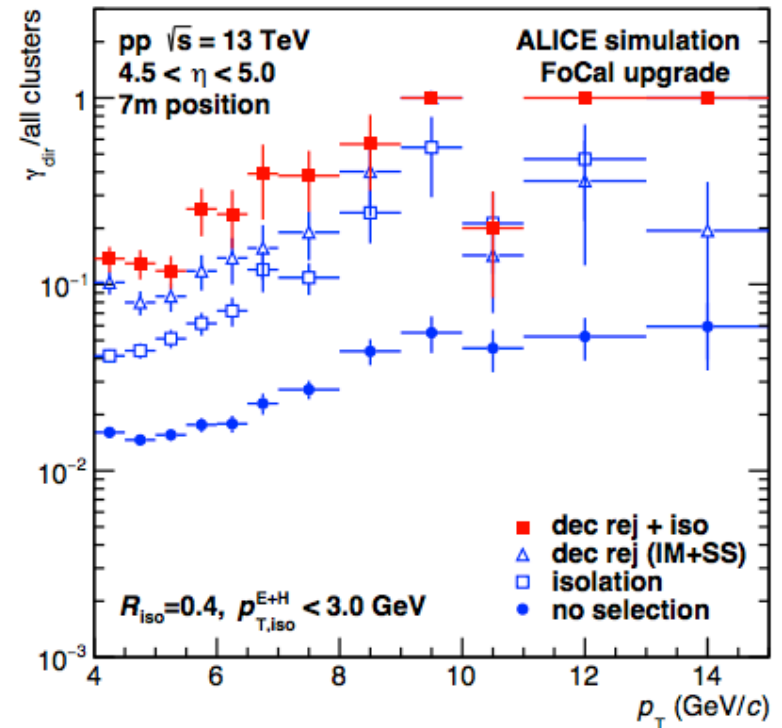
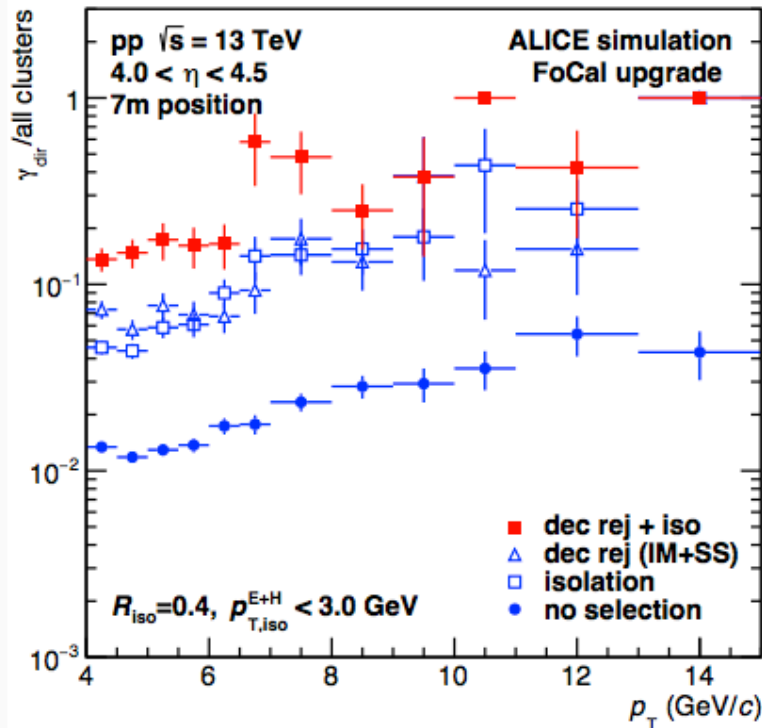
$p_T : 2 \sim 18 \text{ GeV/c} , \eta = 4.0 \sim 4.5$

$p_T : 2 \sim 12 \text{ GeV/c} , \eta = 4.5 \sim 5.0$



Covers the intended range for CGC
measurements : low-intermediate Q^2

Direct photon selection



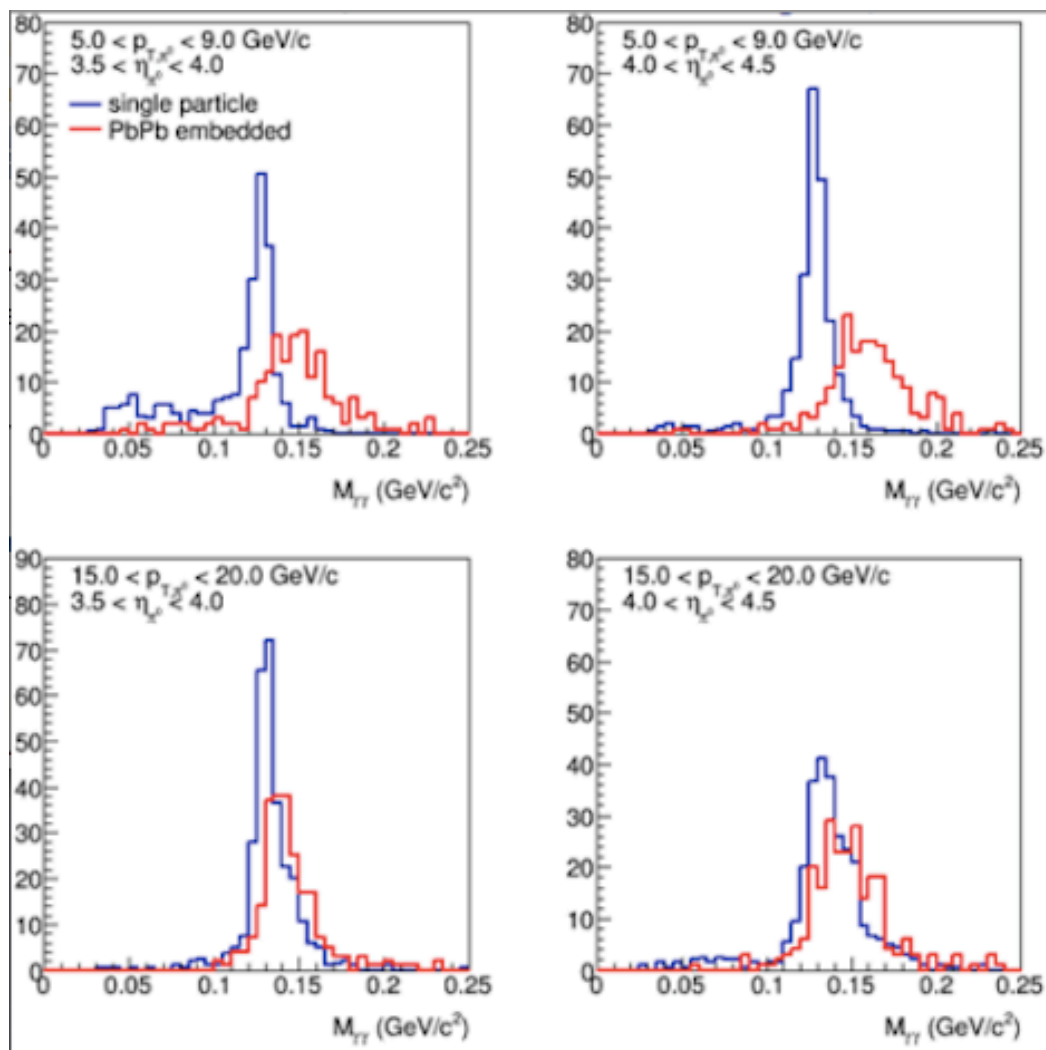
Two handles to select direct gamma : invariant mass , isolation cuts



After selection

Direct gamma fraction > 0.1 (larger at high p_T)

Pb-Pb π^0 performance of invariant mass



— Single particle
— PbPb embedding(HIJING + single π^0)

Performance worse than pp,
but good enough for $p_T > 10$ GeV/c

Test beam in 2014

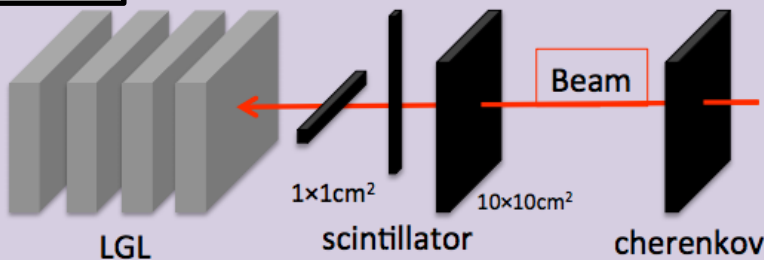
test beam at PS and SPS

This is first test beam experiment for LGL of Tsukuba group!!

PS

- T9 beam line
- Term : Sep – Oct in 2014
- Energy : 2 ~ 10 GeV
- Beam rate : ~100 Hz
- Gain : high gain(1/1)

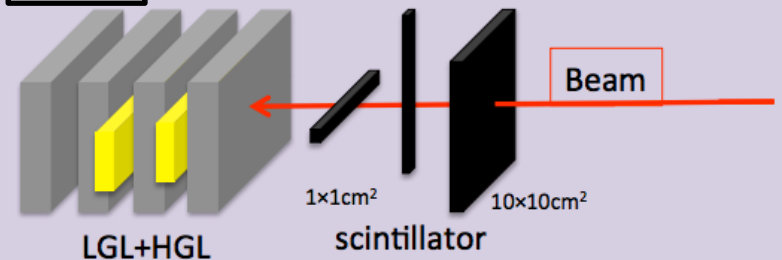
setup



SPS

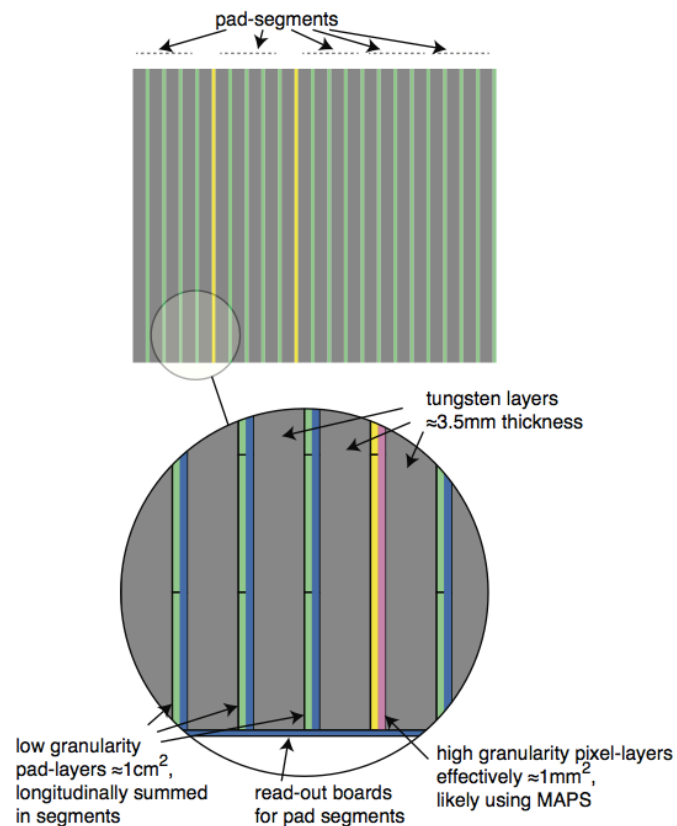
- T4 – H8 beam line
- Term : Nov in 2014
- Energy : 30 ~ 100 GeV
- Beam rate : ~300Hz
- Gain : low gain(1/16)

setup



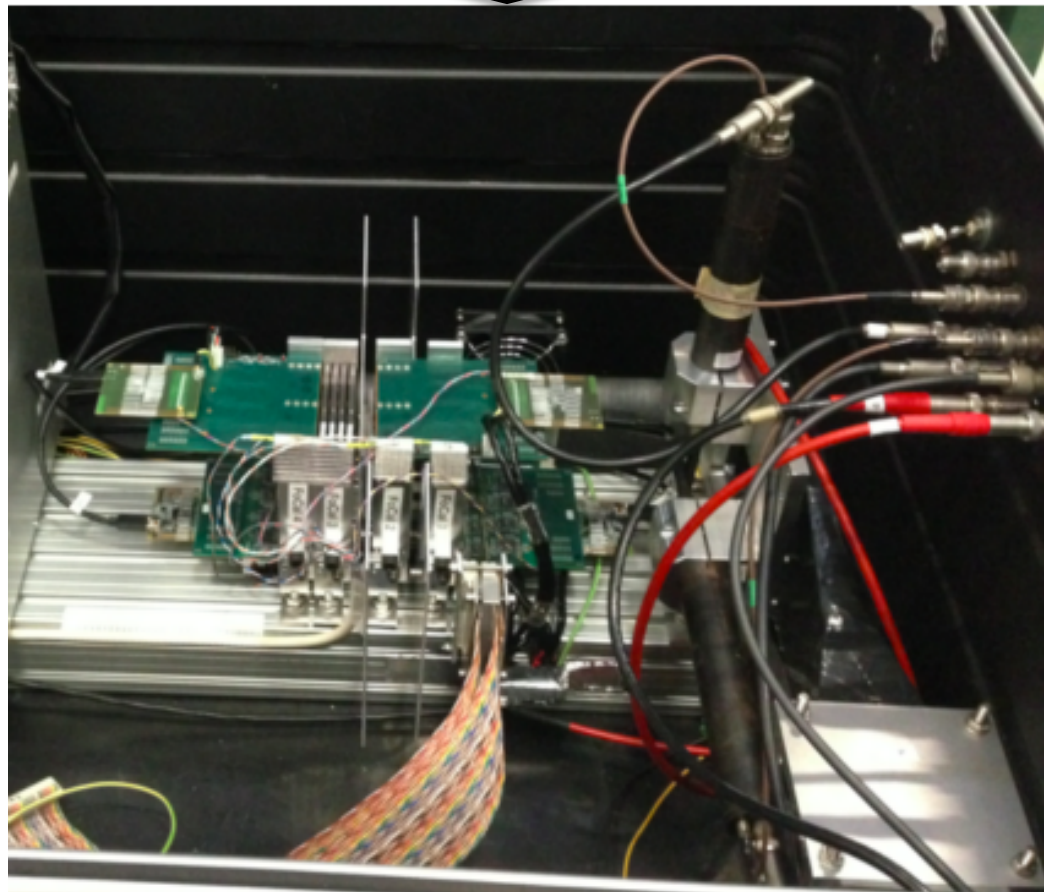
At PS, we use the cherenkov detector to identify electron

FoCal setup

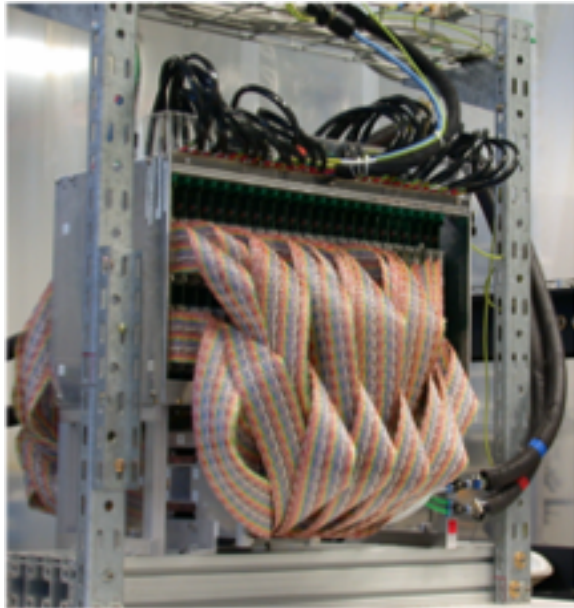


4 segments are stacked on the rail
→ fix the position
→ attention : summing board inversed

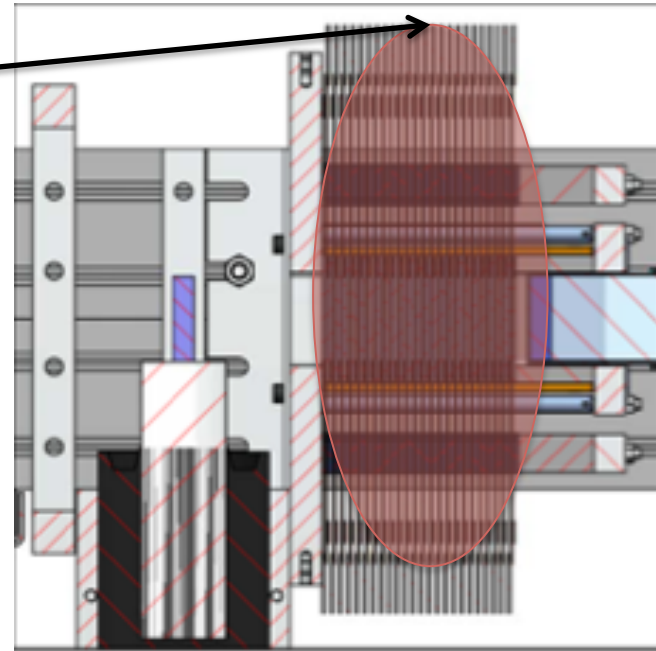
• Inside black box
4 LGL segments and 2 HGL with
trigger scintillator



HGL testbench



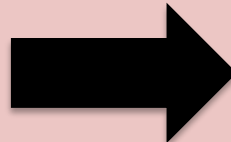
MAPS



MAPS from the top

MAPS has about 24 layers of HGL with tungsten

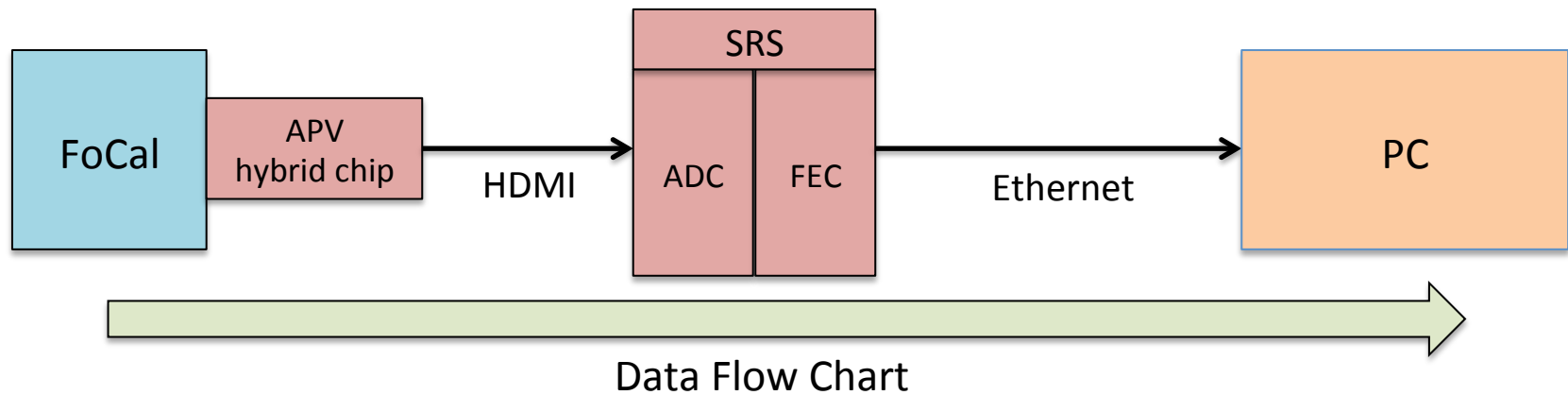
This is a special setting for
measuring performance of HGL



Measurement of spatial resolution and
energy dependence, resolution...

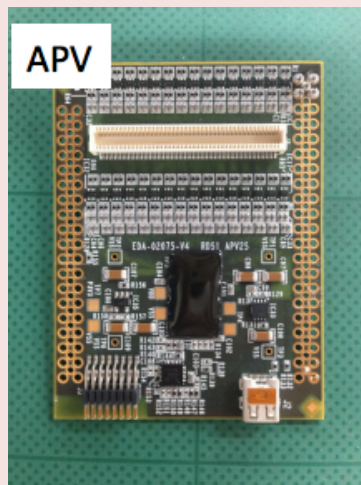
LGL result at test beam in 2014

LGL readout system



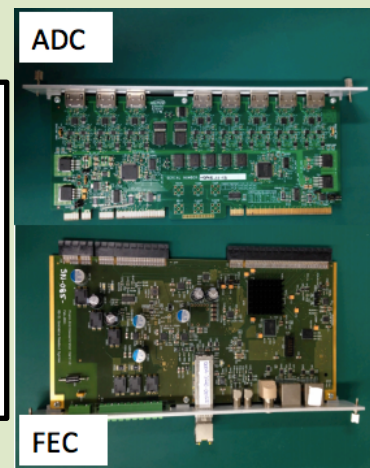
Readout Electronics : developed by CERN RD51 group

- APV25 hybrid board
Output : 128ch
Sampling speed : 40MHz
- Gain : high gain(1/1) and
low gain(1/16)



▪ SRS(Scalable Readout System)

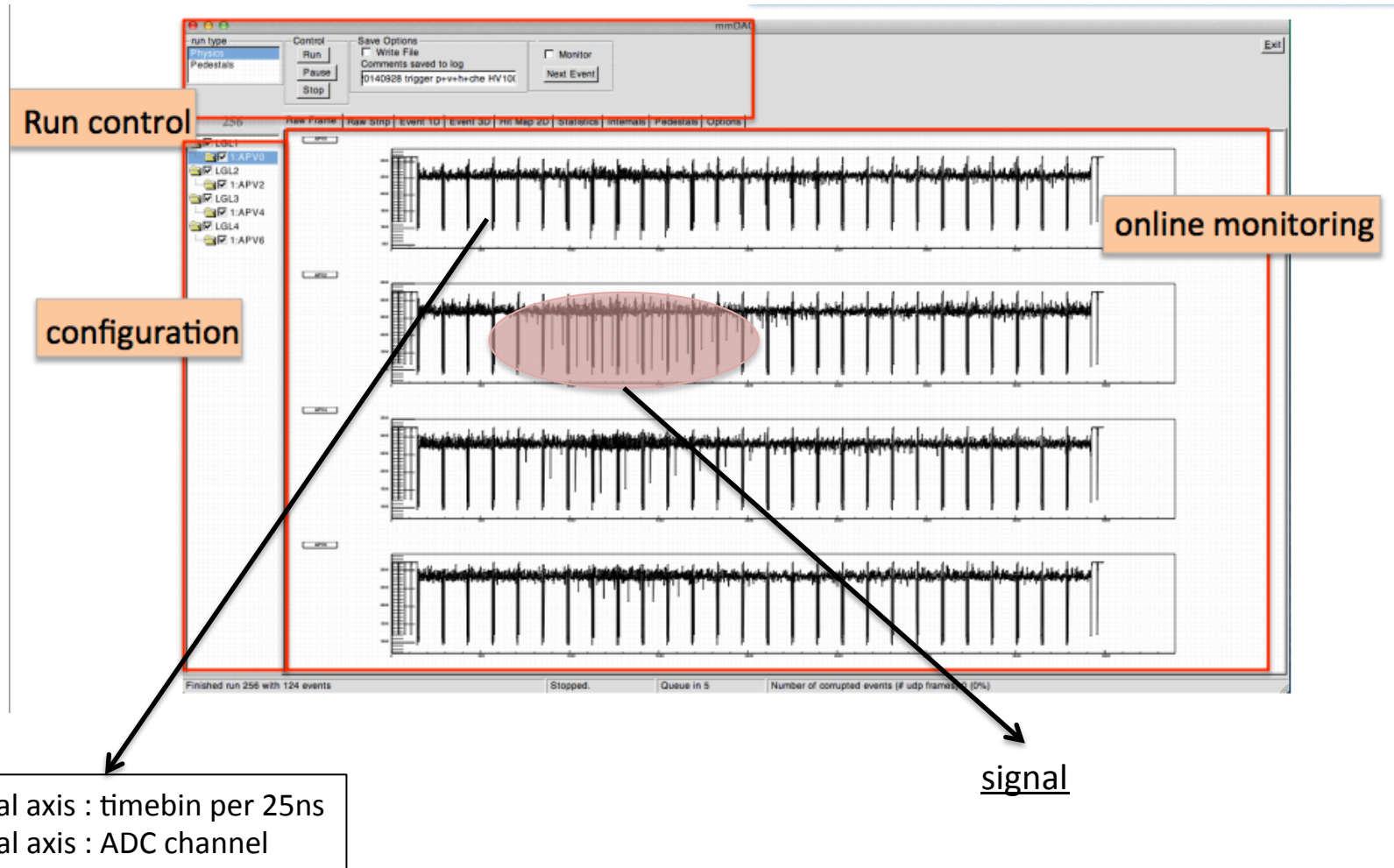
- ADC board : 12 bit ADC
Simultaneous readout from 8
APV hybrid board(Master)
- FEC board : the front-end which
processes information from ADC



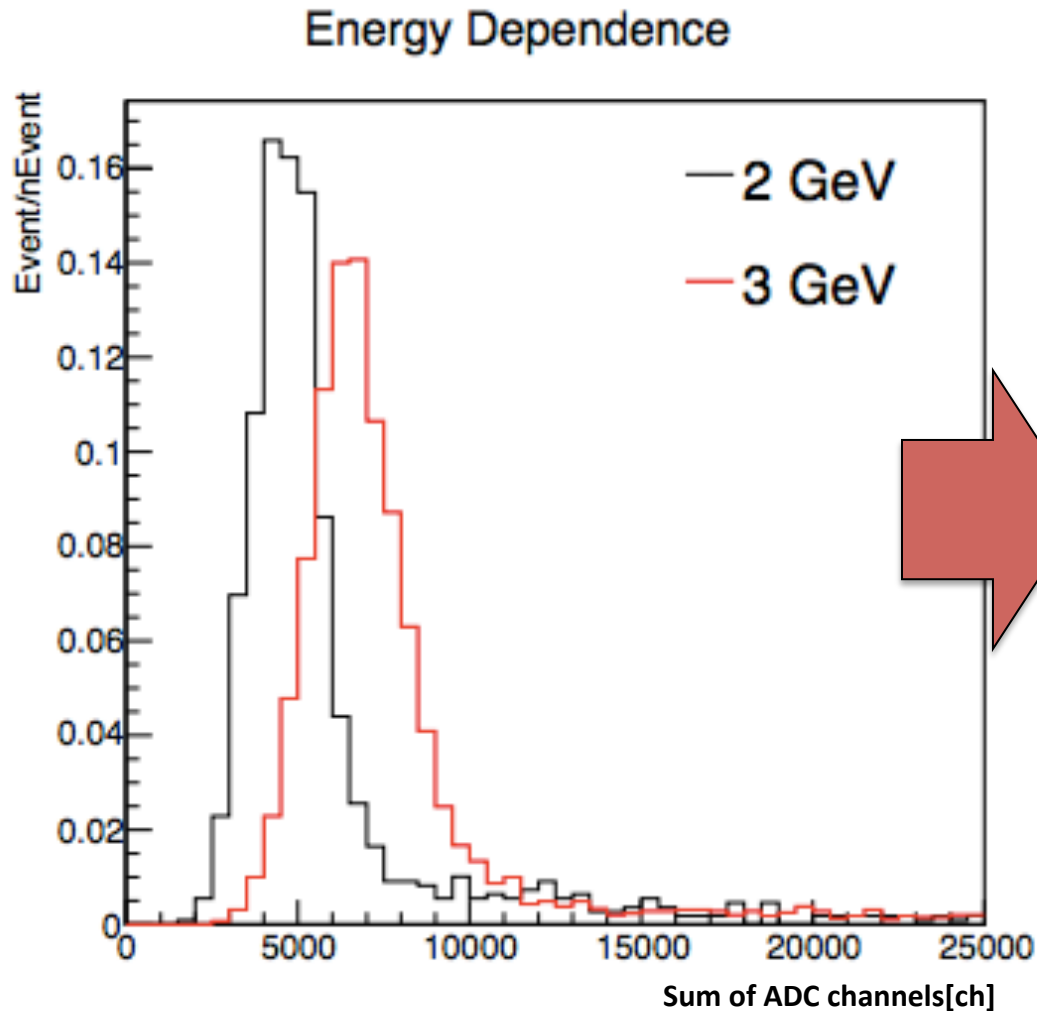
LGL readout system

DAQsoftware

mmDAQ : DAQ system by ATLAS micromegas



PS result

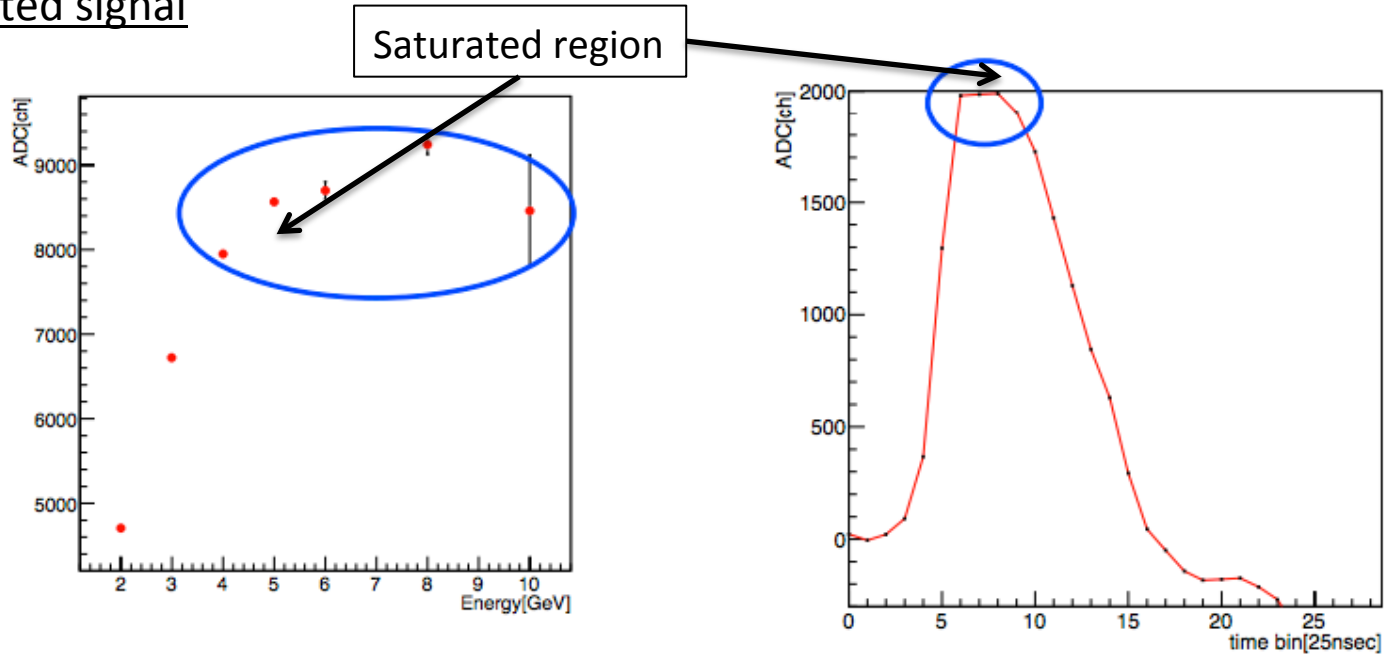


We were able to identify 2GeV and 3GeV beam energy

→ We couldn't see the energy dependence from 4GeV to 10GeV
→ signals are saturated

PS result

Saturated signal



Mean of Gaussian fit on each energy

ADC value of Each time bin for 1 LGL

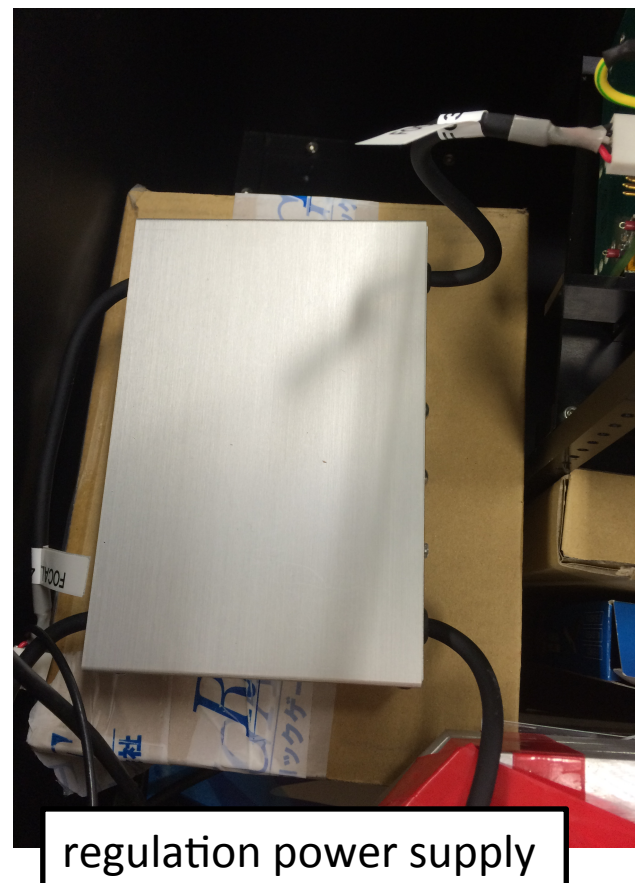
On PS experiment, Noise is large

Improvement of noise

Comparison of Noise

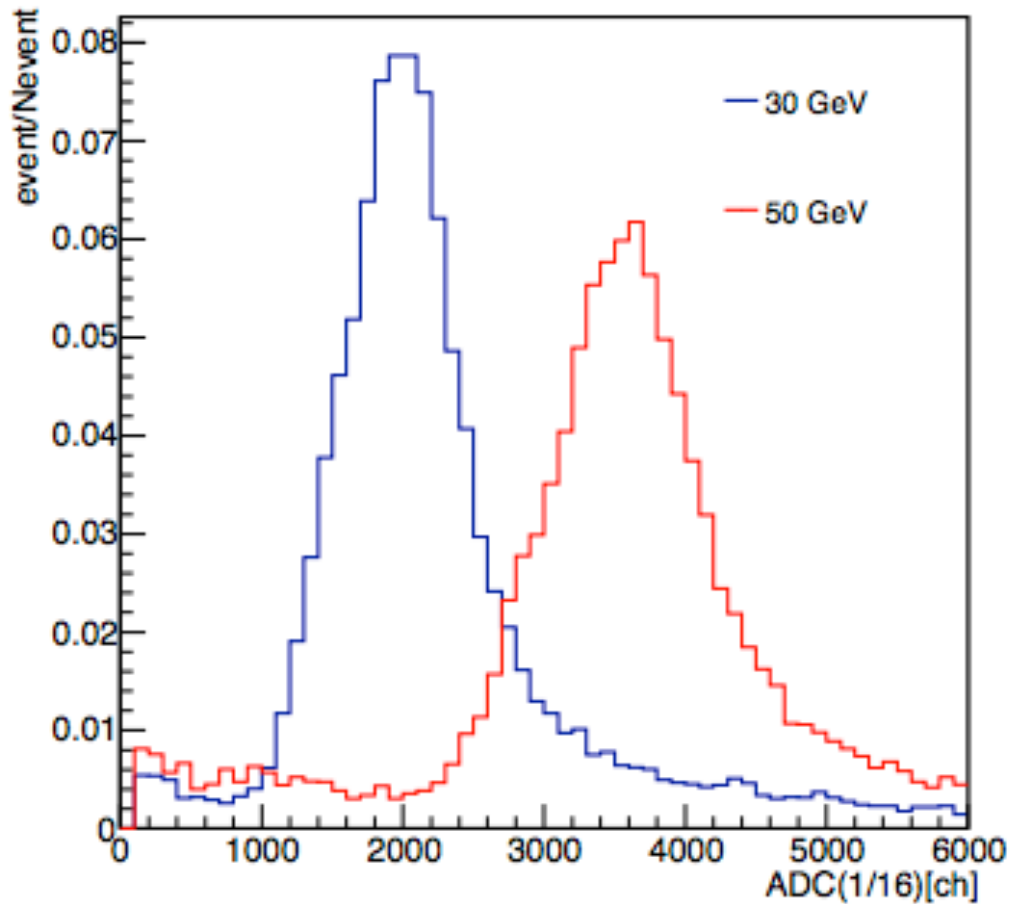
	<u>PS</u>		<u>SPS</u>
Pedestal σ	105 ± 10	\longrightarrow	80 ± 7
<u>Decrease the noise (about 30%↓)</u>			

- Optimization of the GND
- Introduction of regulation power supply



SPS result

Energy Dependence

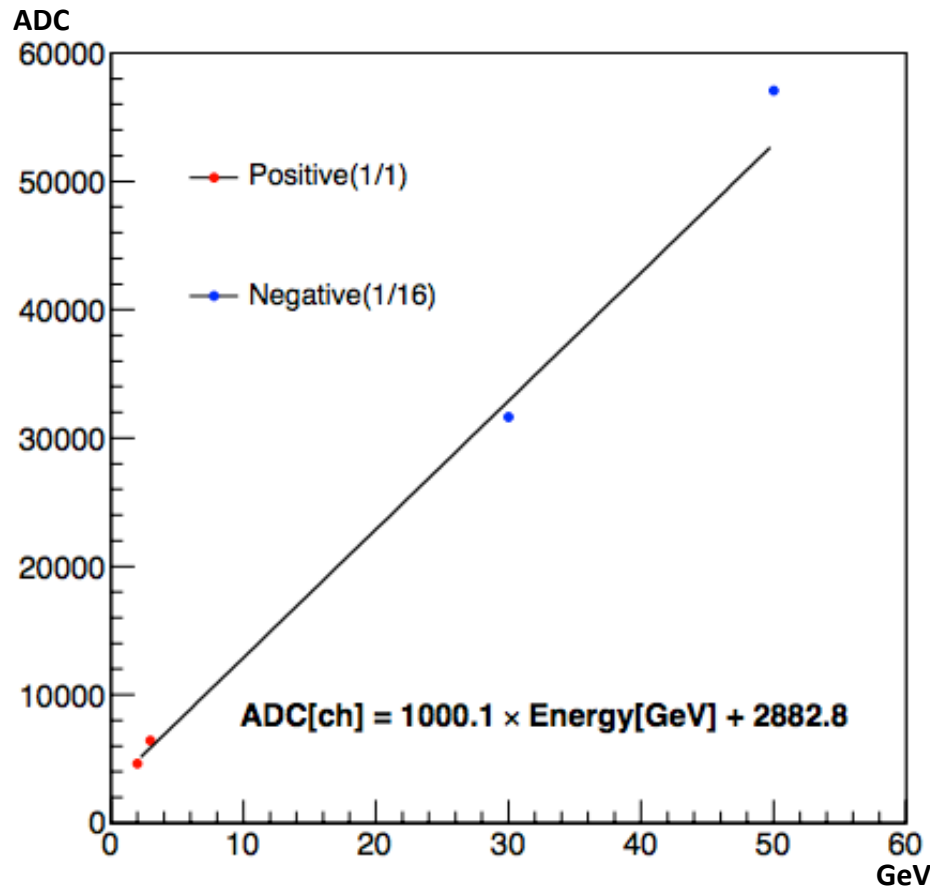


Signal : over the pedestal value
Gain : low gain(1/16)
Data taking energy : 30 , 50 GeV



Result : 30 , 50 GeV

Energy dependence (PS and SPS)



Modified ADC channels
→ negative output(1/16) × 16

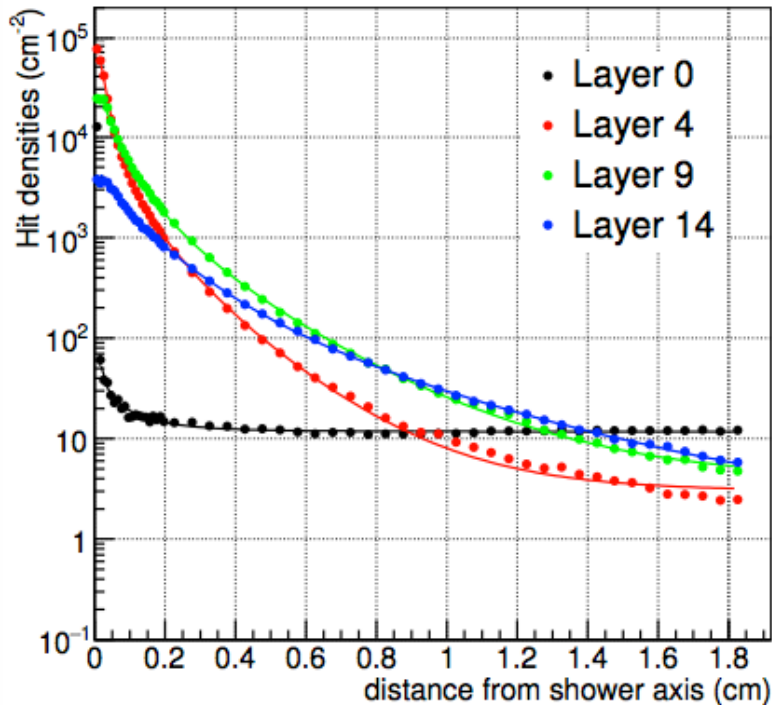
We can see the energy dependence

Next test beam, cover the other energies

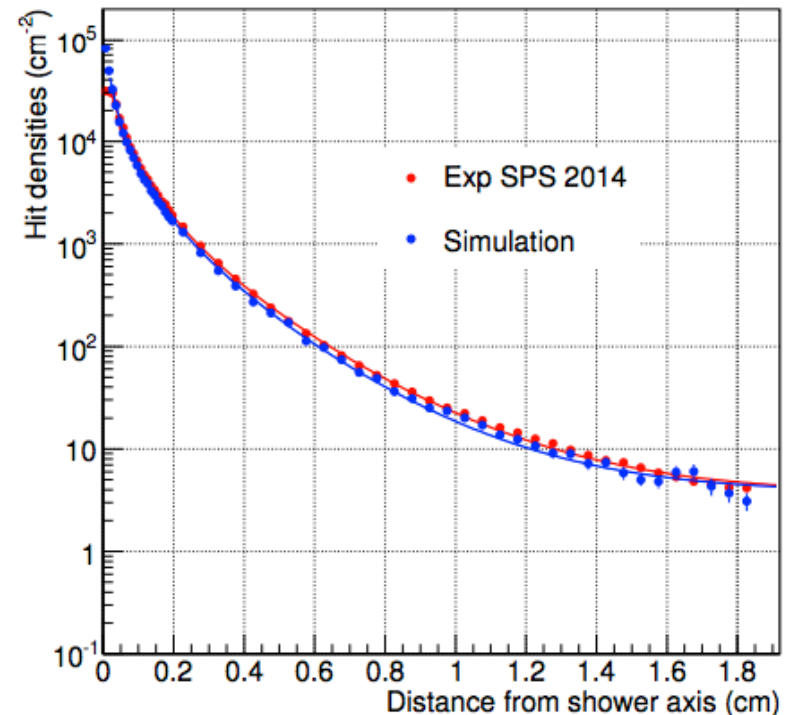
HGL result at test beam experiment

Lateral profiles

Radiation length : $X_0 = 3.5\text{mm}$



Test beam 50GeV e



Extremely good spatial resolution

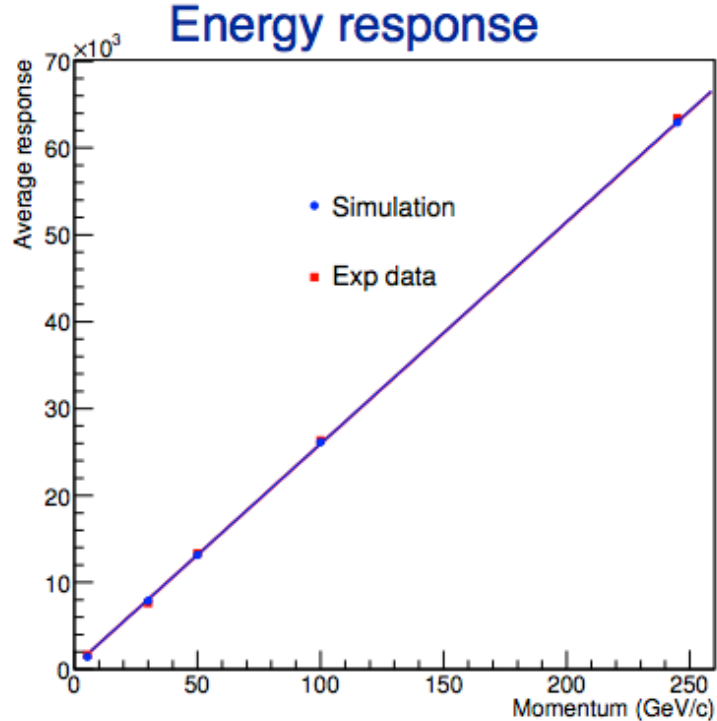
$$R_M \sim 1 \text{ cm}$$

Good agreement with simulations

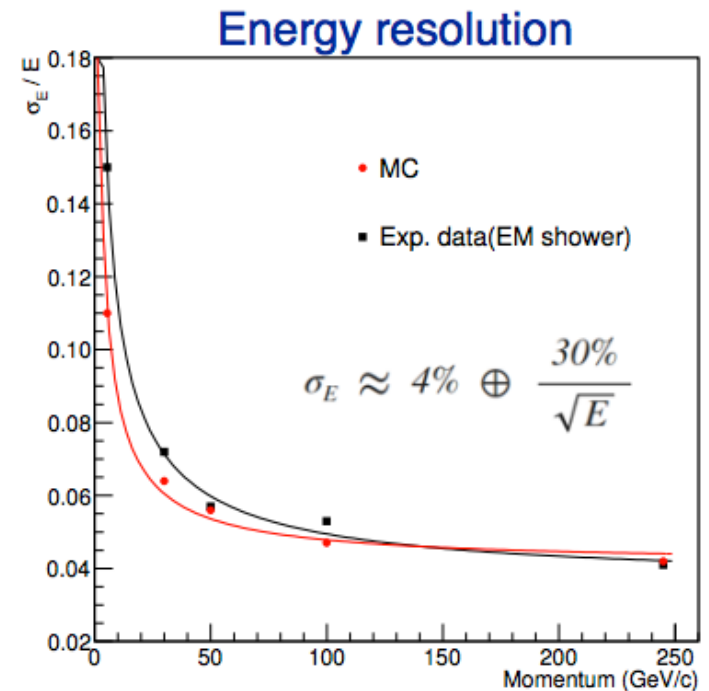
GEANT4 + charge diffusion

Two-photon separation at few mm scale possible

Linearity and energy resolution



Good linearity over full range



Energy resolution in reasonable agreement with MC

HGL is good performance for spatial and energy resolution

HGL : slow readout speed
LGL : fast readout speed



HGL : measurement of shower shape
LGL : measurement of shower energy

summary

- Forward Calorimeter in ALICE will be able to observe the unique signal
 - small-x gluons and saturation
 - CGC proving initial condition
 - early thermalization of QGP
- In our first test beam 2014, we were able to see the shower shape and energy dependence

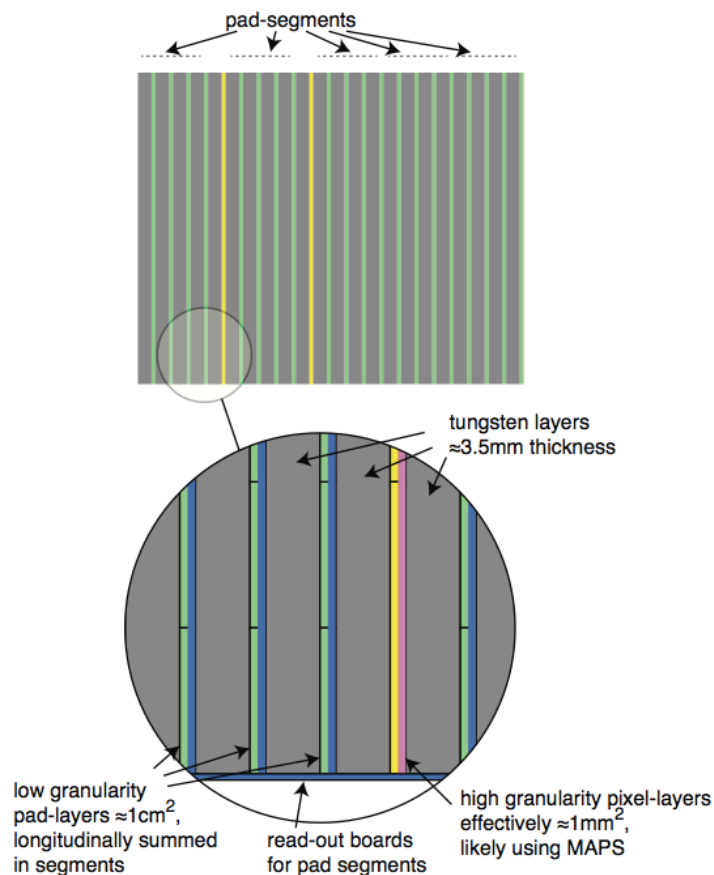
next

- We have the second test beam schedule on Oct – Nov. 2015 at PS and SPS
- For good energy resolution, we need to reduce the noise level and more statistics
- We joined RD51 group and started work of new readout board from this summer
- in this winter, we will aim at ALICE approval. LHCC approval is next step

Thank you for your attention!

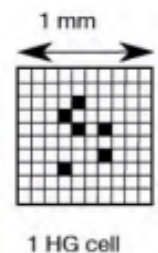
Back up

FoCal-E strawman design



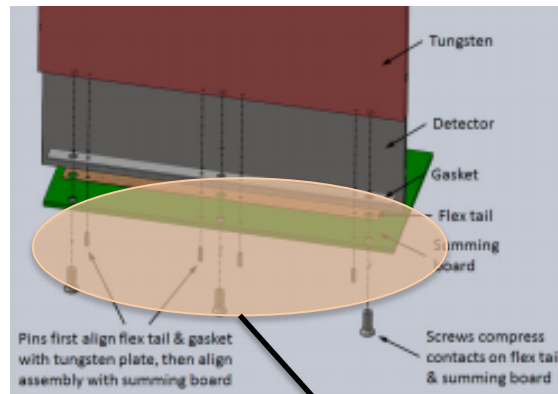
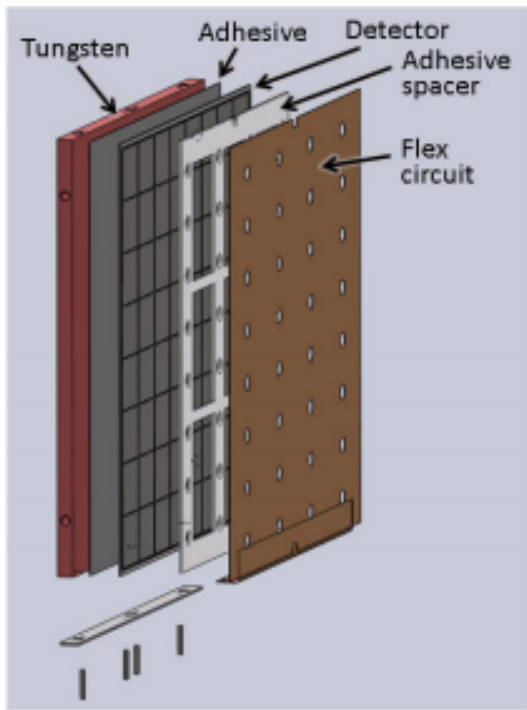
- W/Si sandwich calorimeter(prototype : ORNL)
- W absorber + Si sensors
 - Moliere radius : $R_M = 9\text{mm}$
 - Radiation length : $X_0 = 3.5\text{mm}$ (1 layer)

- Longitudinal segmentation : two different module
- Low Granularity Layer(LGL) : 4 segments
 - 4(or5) layers of Si/W per 1 segment
 - Cell size : $1 \times 1 \text{ cm}^2$
 - 1 layer has 64 PADs(8×8)
 - Signals are longitudinally summed
- High Granularity Layer(HGL) : 2 segments
 - CMOS-pixel
 - Pixel size : $25 \times 25 \mu\text{m}^2$
 - Digital signals are summed in 1 mm^2 cells

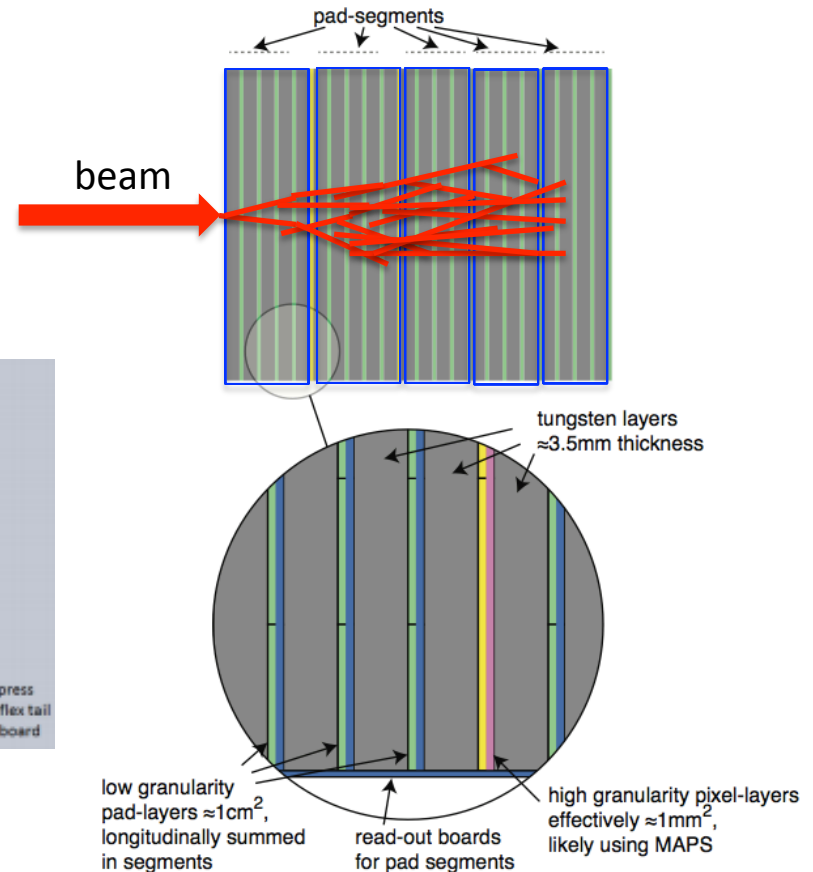


Low Granularity Layer(LGL)

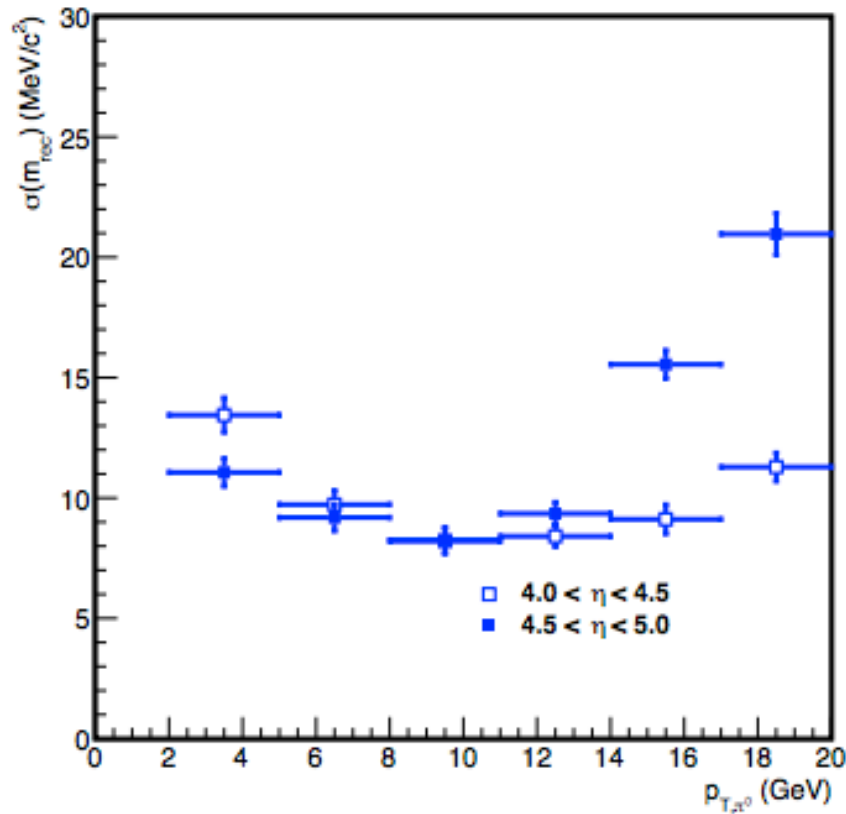
LGL :
measurement of shower produced by tungsten.
1 LGL have 4 layer \rightarrow signal is summed by 4 layer



Summing board

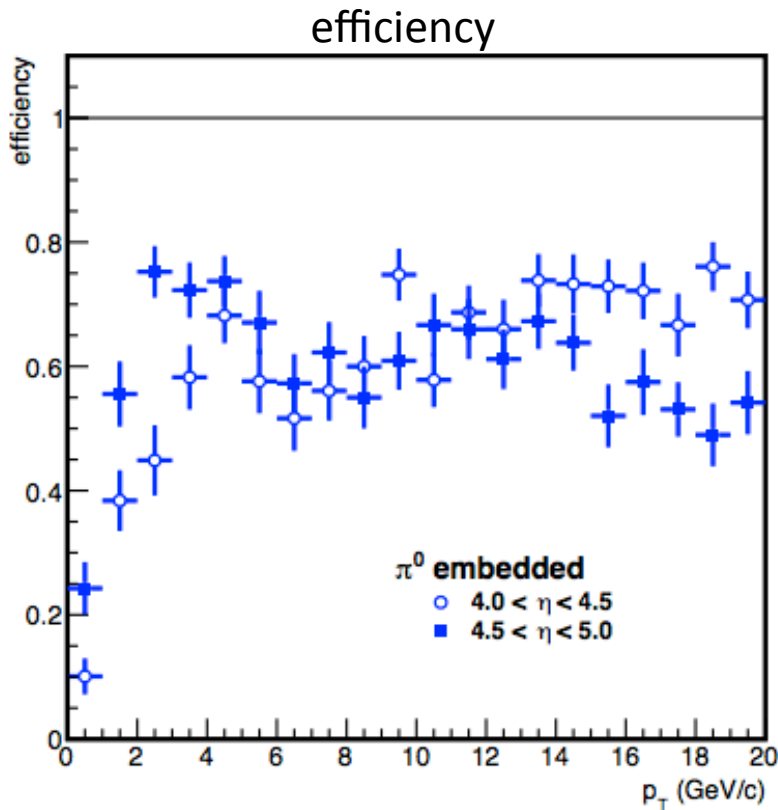


π^0 mass sigma

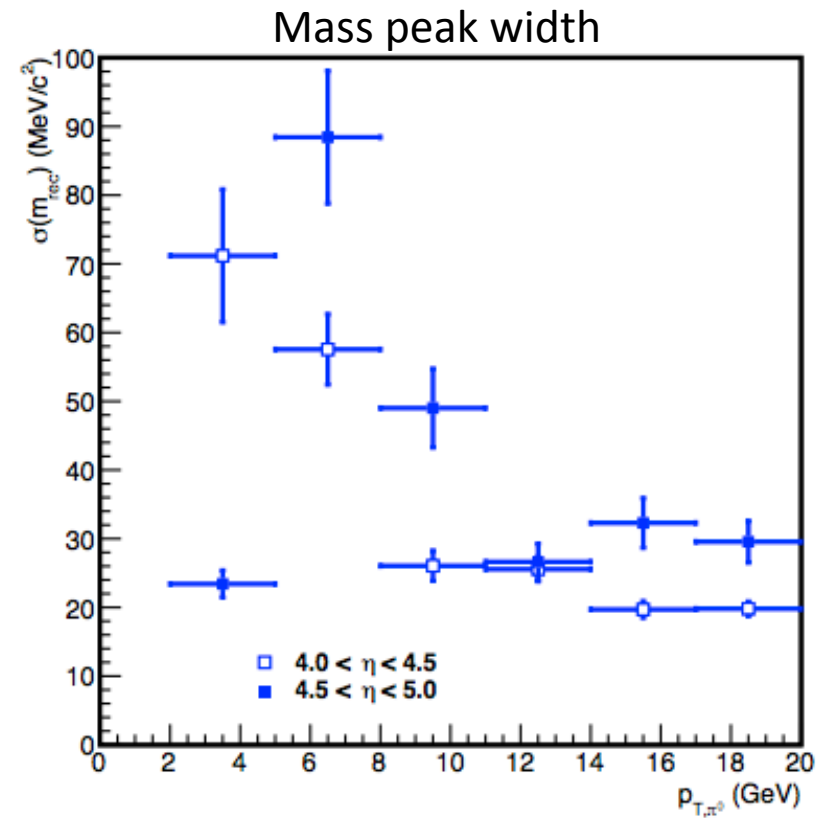


- Peak width ~ 10 MeV over large range in p_T

Pb-Pb efficiency π^0



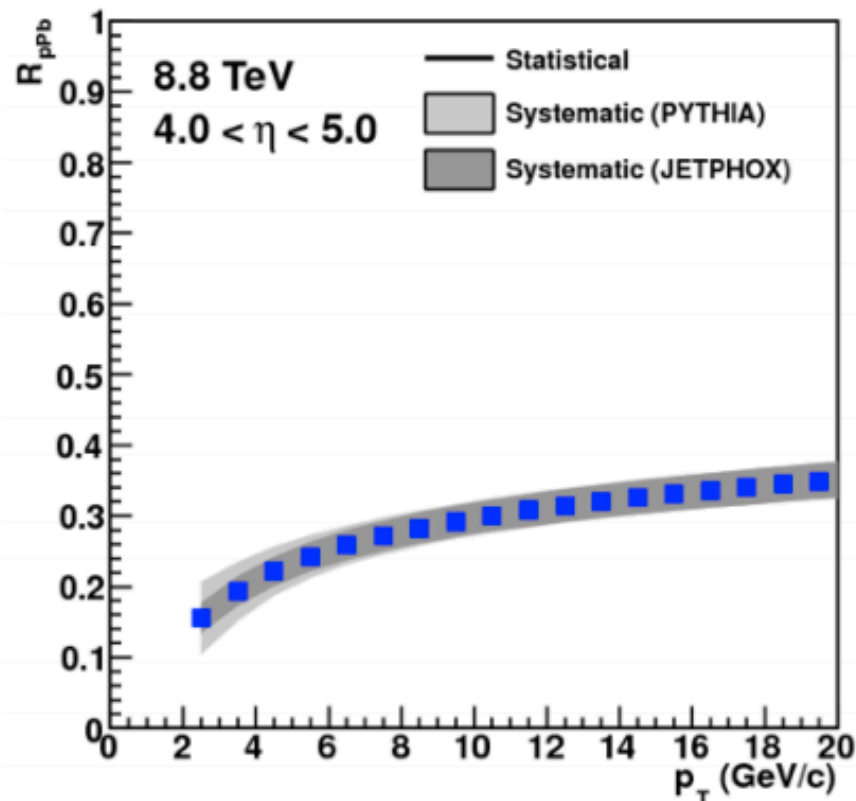
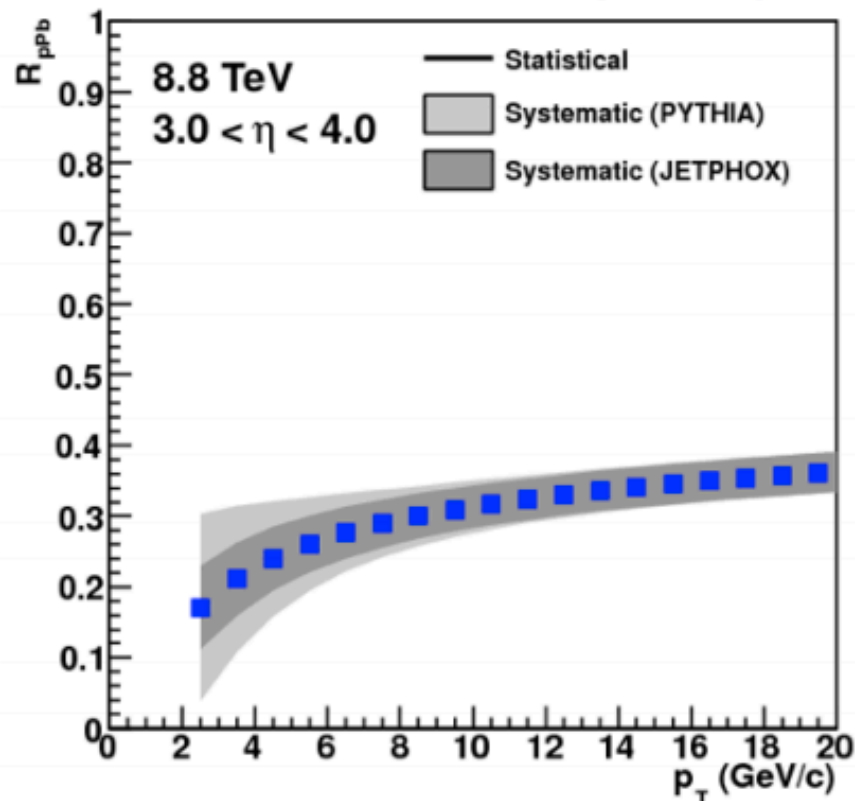
π^0 efficiency ~ 0.6



Mass resolution is good for $p_T > 10$ GeV

FOCAL performance: γ in p-Pb

Old 4m geometry used; will be updated soon



Using same numbers for π^0 identification, isolation eff as 14 TeV

Excellent precision for direct γ R_{pPb}

Lower p_T reach uncertain due to uncertainties on rates
~4-5 GeV should be in reach

$4 < \eta < 5$ uncertainties smaller; intrinsic S/B better

Analysis method

```

Marge — root — 62x17
hep03:Marge wsato$ root -l run.root
root [0]
Attaching file run.root as _file0...
root [1] raw->Show(0)
===== EVENT:0
  apv_evt      = 1
  time_s       = 1416308254
  time_us      = 277914
  apv_fecNo    = (vector<unsigned int>*)0x7fc963d9e370
  apv_id       = (vector<unsigned int>*)0x7fc963d9f000
  apv_ch       = (vector<unsigned int>*)0x7fc963d9f730
  mm_id        = (vector<string>*)0x7fc963da0140
  mm_readout   = (vector<unsigned int>*)0x7fc963da0940
  mm_strip     = (vector<unsigned int>*)0x7fc963da1210
  apv_q        = (vector<vector<short> >*)0x7fc963da1b50
  apv_presamples = 0
root [2]

```

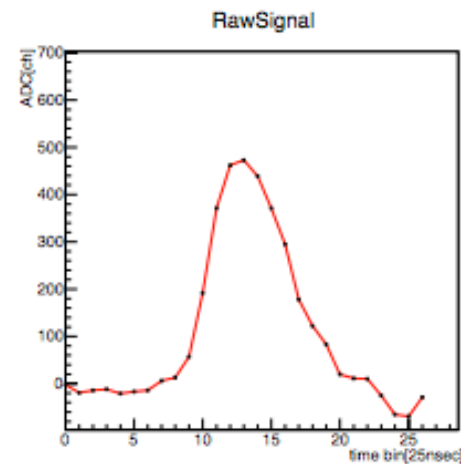
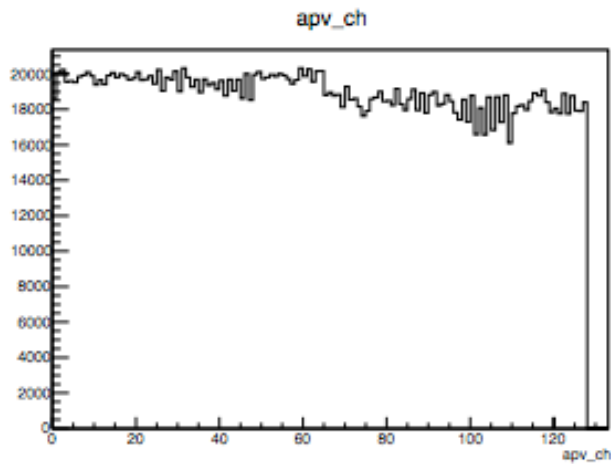
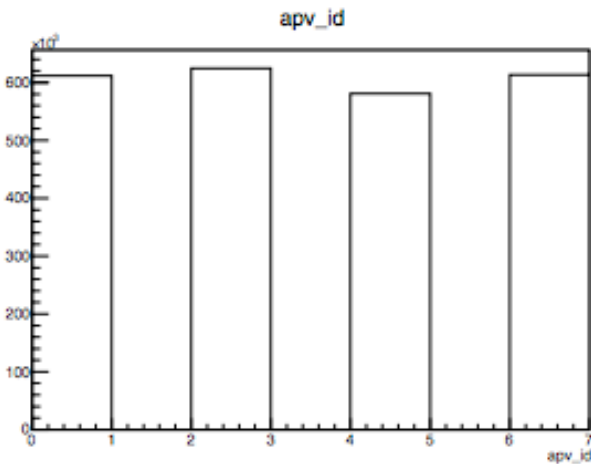
Data of mmDAQ is outputted root File

apv_evt : number of event

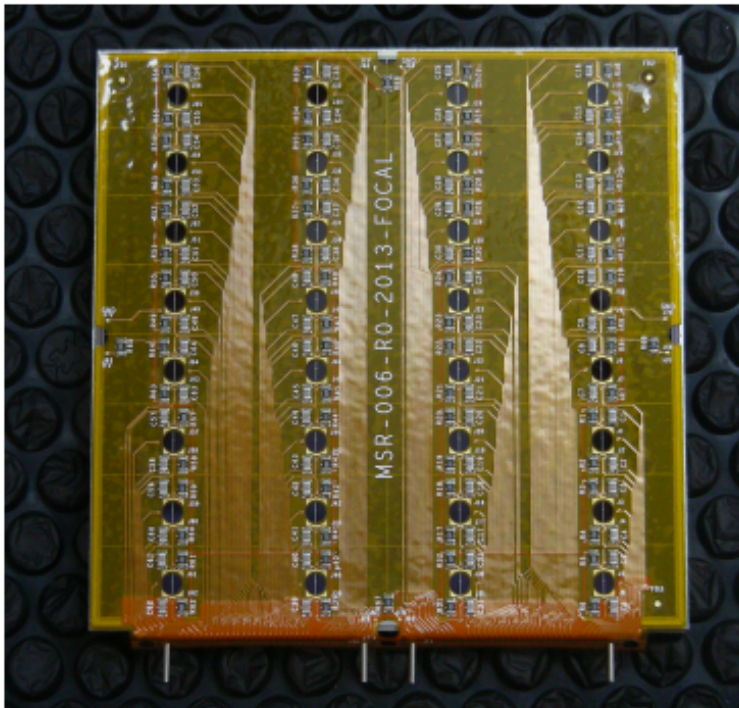
apv_id : APV ID

apv_ch : APV channel

apv_q : raw data of 27 time bin



Channel mapping



MSR-006-R0-2013-FOCAL

Y ↑

34	36	2	4	63	61	31	29
38	40	6	8	59	17	27	25
42	44	10	12	55	53	23	21
46	48	32	30	33	35	19	17
50	52	28	26	37	39	15	13
64	62	24	22	41	43	1	3
60	58	20	18	45	47	5	7
56	54	16	14	49	51	9	11

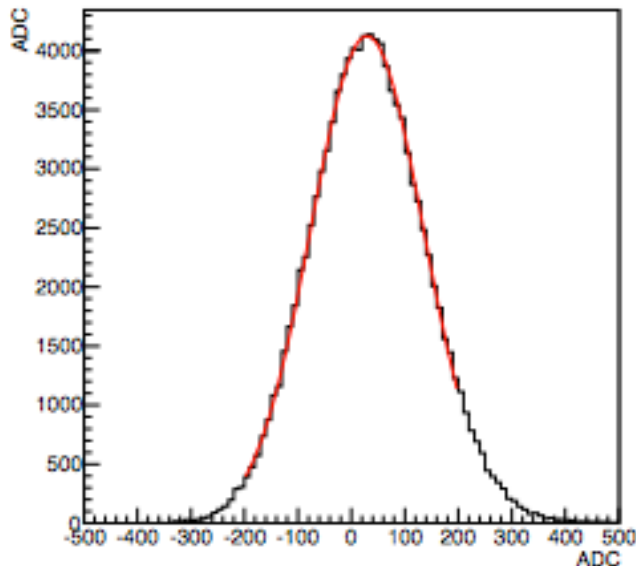
X →

If summing board is inverse, line is symmetry

Define of hit

Pedestal histogram

LGL1 ADC X0 Y0

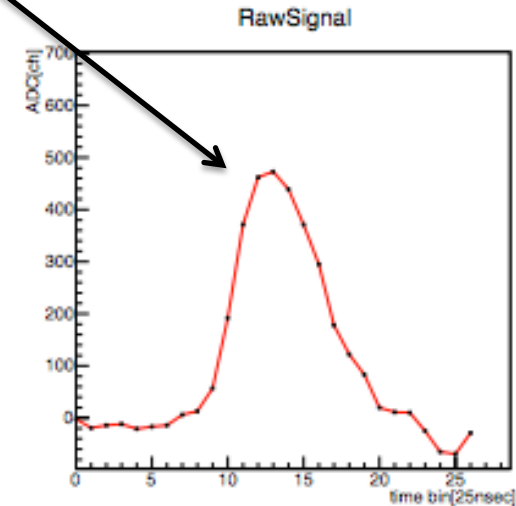


• Gauss fit

$$f(x) = A \cdot \exp \left\{ -\frac{(x - \mu)^2}{2\sigma^2} \right\}$$

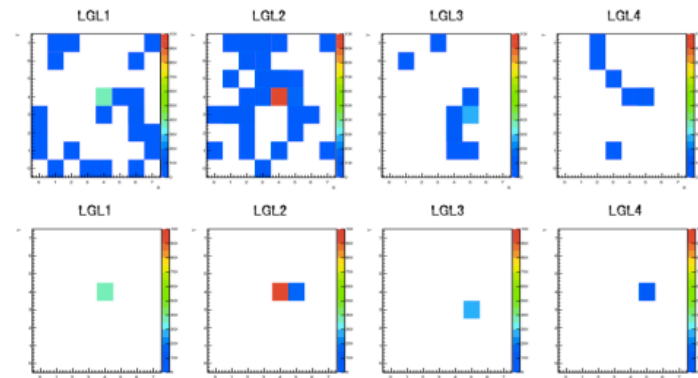
A : constant
 μ : mean
 σ : sigma

qmax point



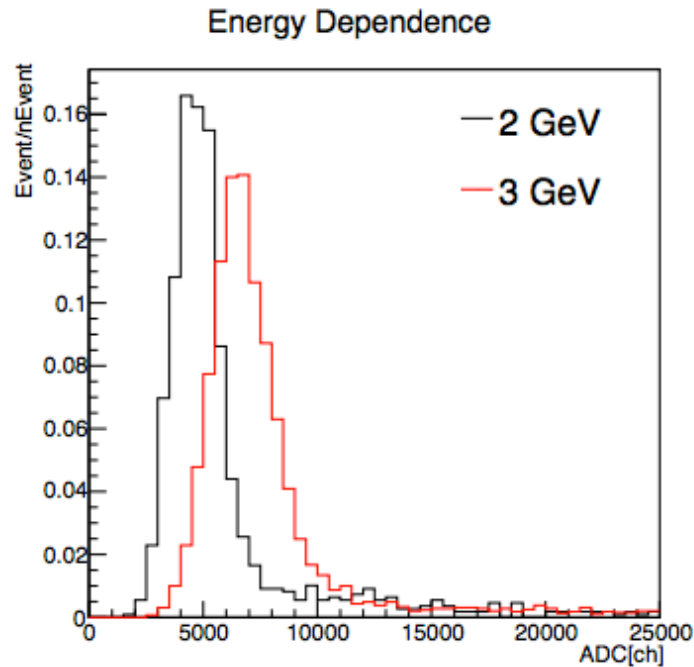
Hit is to meet the following condition

$$apv_qmax > \mu + 4\sigma$$

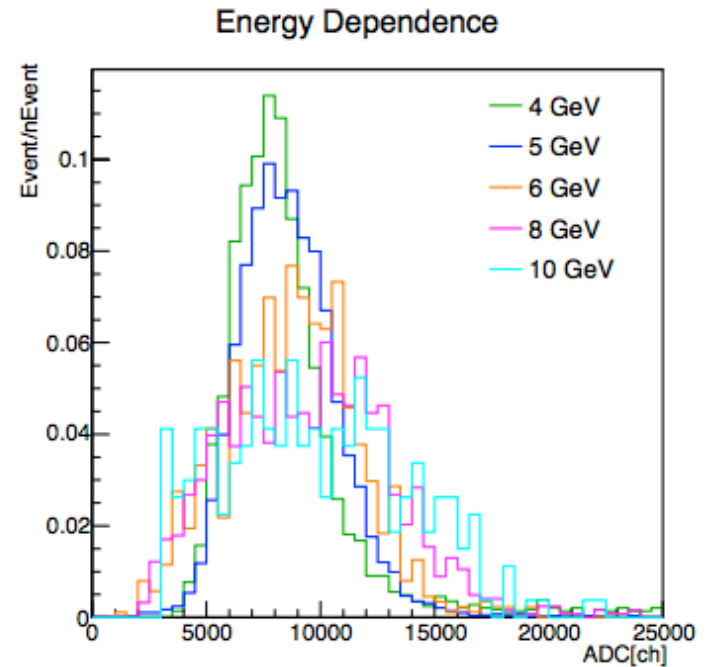


PS result

Energy dependence

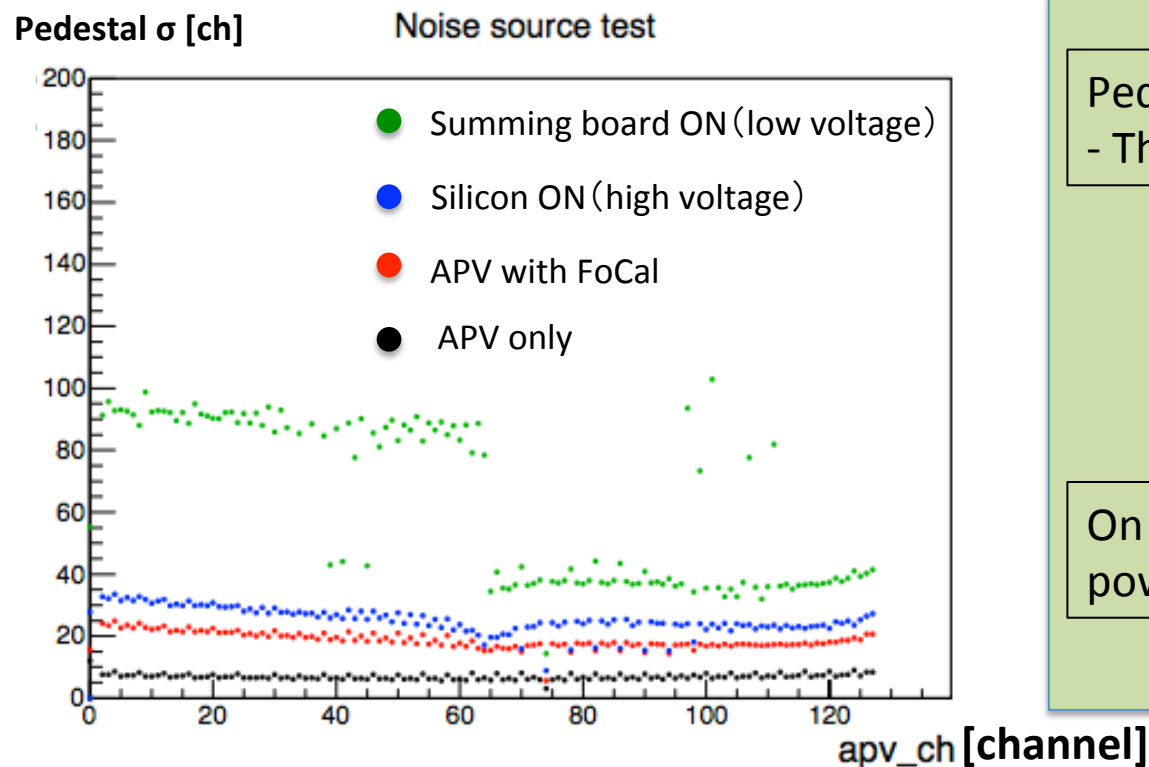


We can identify 2GeV and 3GeV beam energy

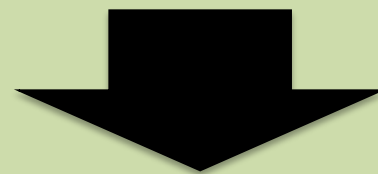


Signal is over the pedestal value
→ We can't see the energy dependence from 4 GeV to 10 GeV
→ signals are saturated.

Comparison of Noise

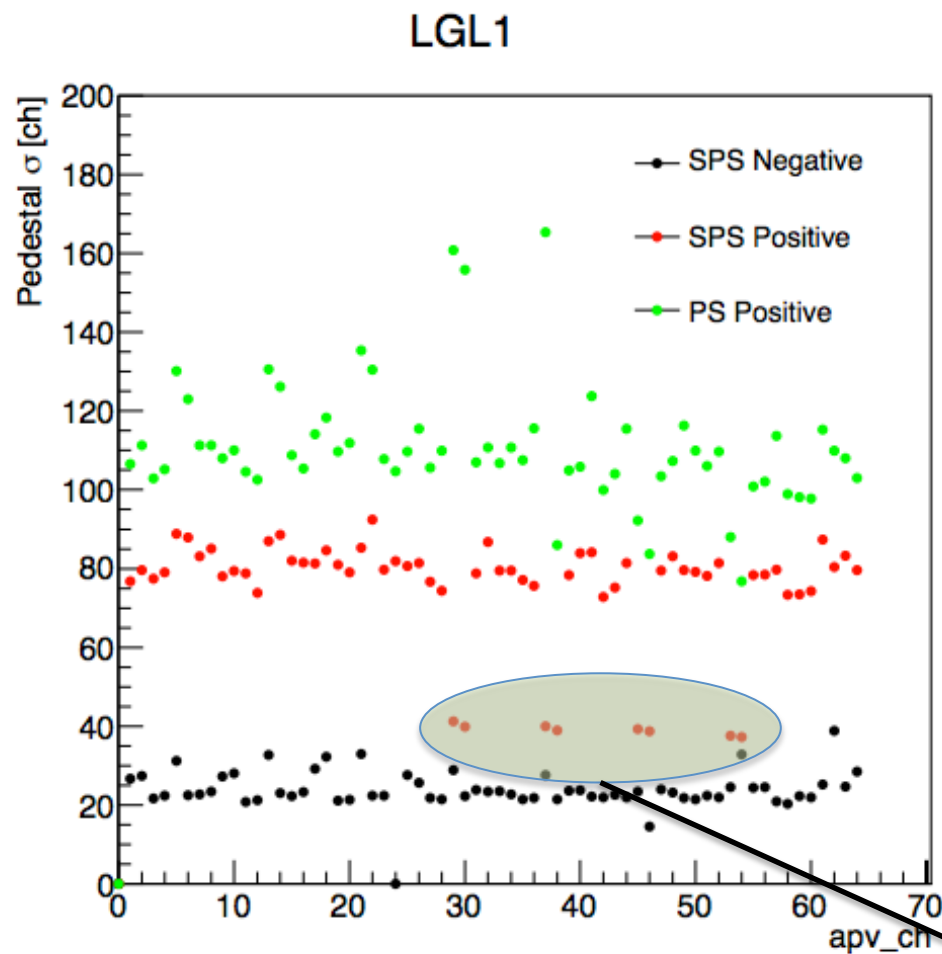


Pedestal value
- The most noise source is low voltage



On SPS, We introduce the stabilization
power supply

Comparison of Noise

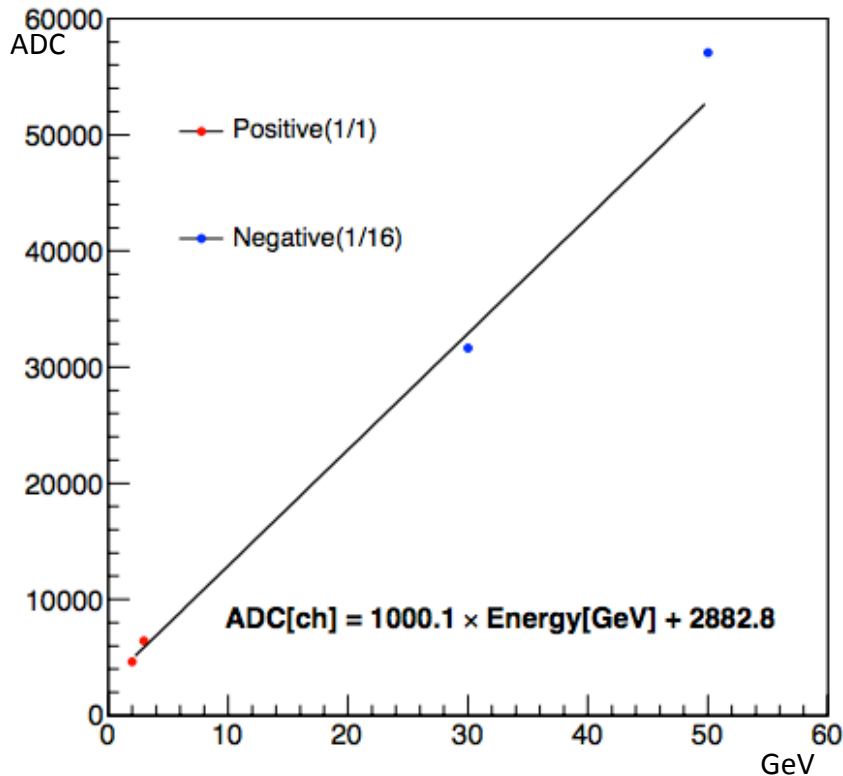


Introduction of stabilization power supply

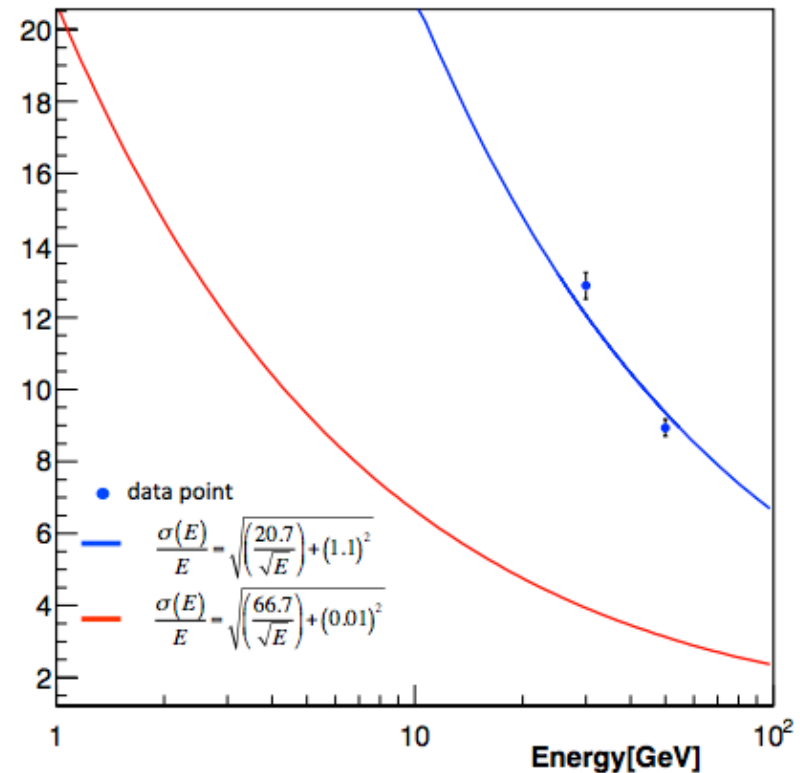


Decrease the noise (about 30%↓)

Energy dependence (PS and SPS)



Modified ADC value
 → negative output(1/16) × 16



Different from simulation result
 → More statics and decreasing noise

FoCal group goal for FoCal-E

Each

LGL

- Measurement of shower energy
- Measurement of energy resolution
- Development of speedy readout system

HGL

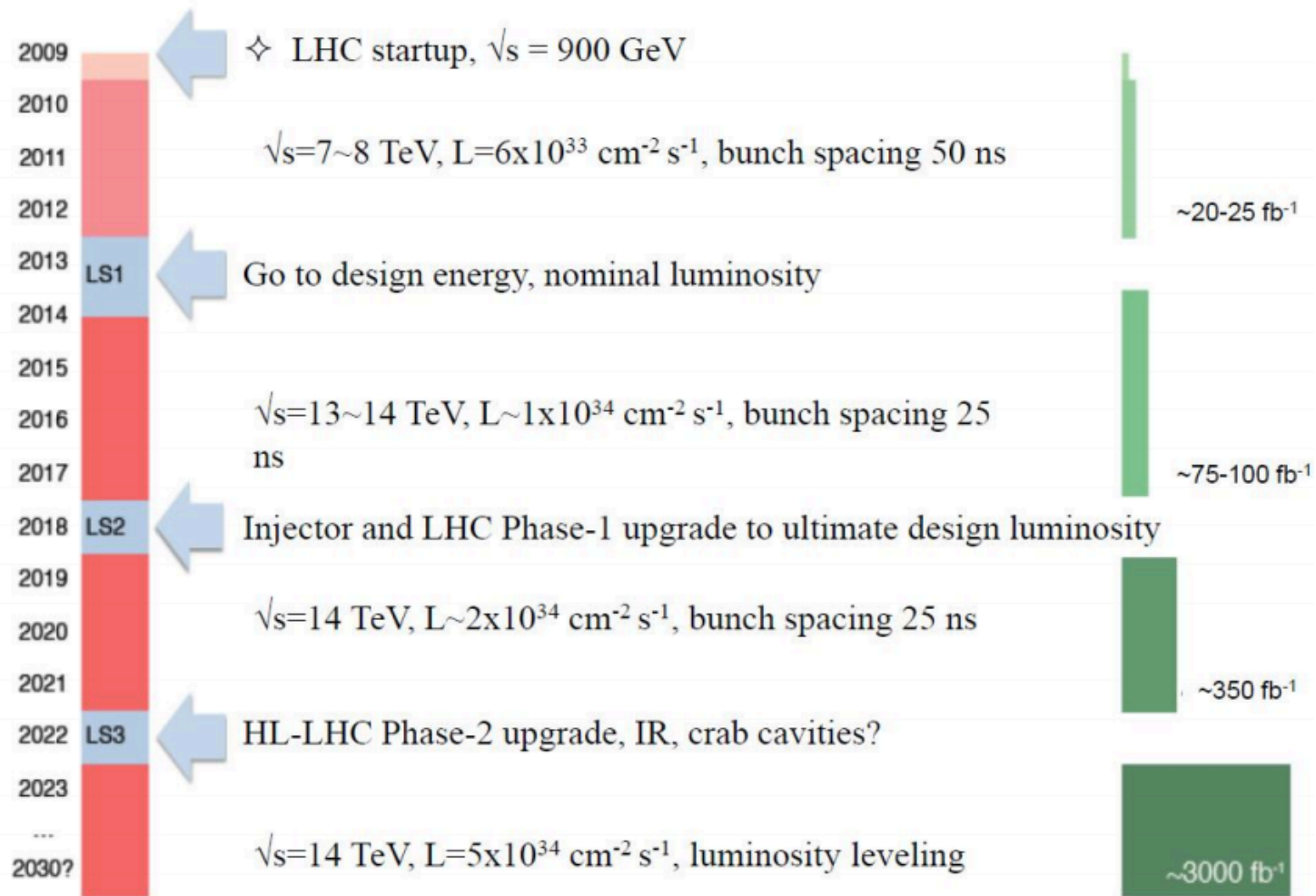
- Separation of shower shape
- Measurement of spatial resolution

And so on...

total

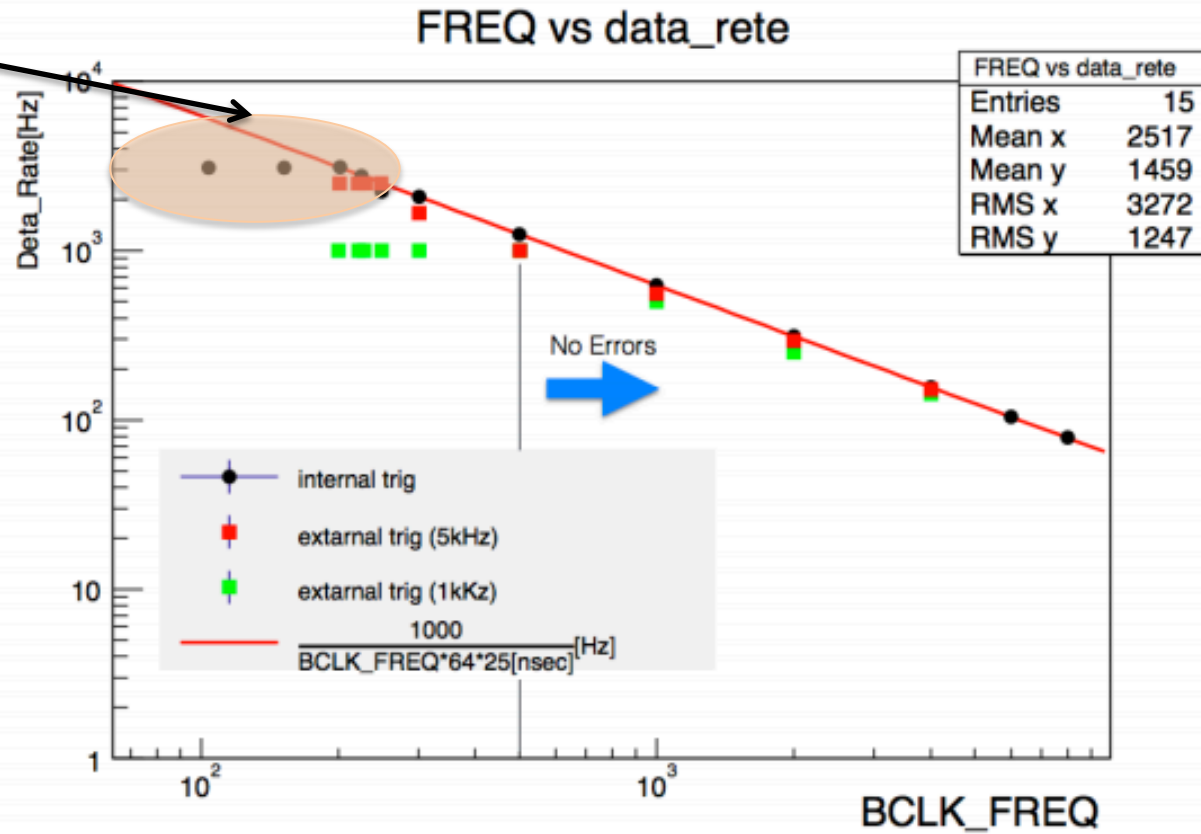
- Trigger merge of HGL and LGL
- radiation damage study
- Lol accepted
- Future plan
 - Mini-FoCal installation after LS2
 - Full FoCal installation after LS3

LHC Timeline



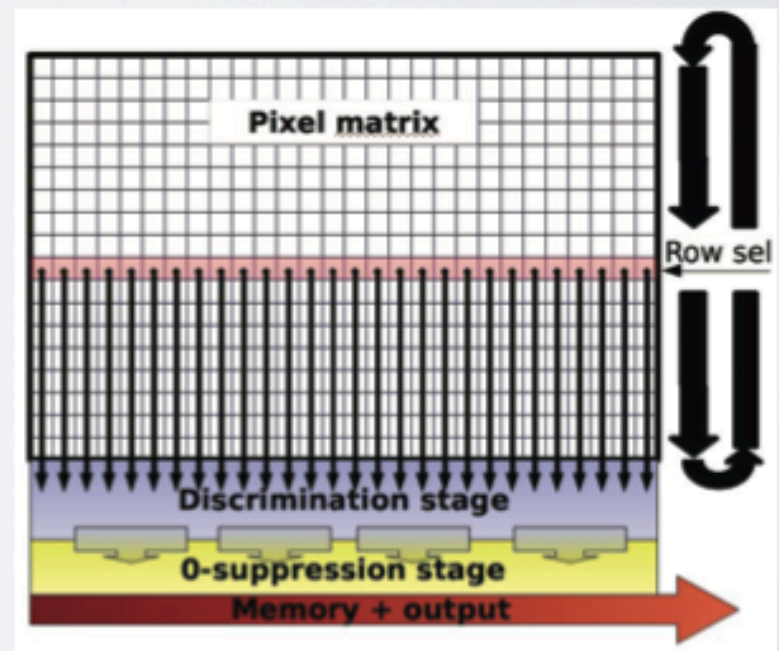
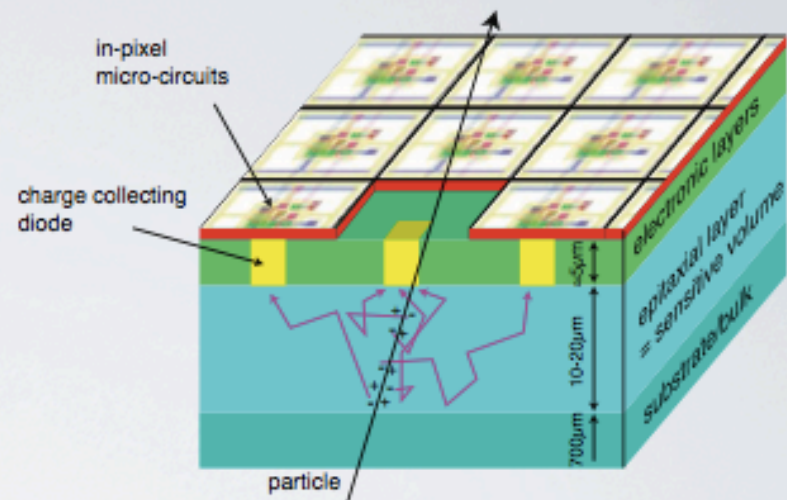
DAQ rate

limit



MIMOSA Sensors

- Monolithic Active Pixel Sensors (MAPS)
 - Si-sensors + electronics in CMOS on single substrate
 - thin sensitive layer ($\approx 20\mu\text{m}$)
 - charge collection by diffusion
- existing chips
 - readout of analog signals by rolling shutter
 - **slow: $640\mu\text{s}$ readout time**
 - $0.35\mu\text{m}$ technology



■外形寸法図（単位：μm）

■ 材料仕様

項 目	値	単 位
結晶面方位	(1 , 0 , 0)	
厚さ	500±15	μm
表面不感層	20	μm

■ 外形仕様

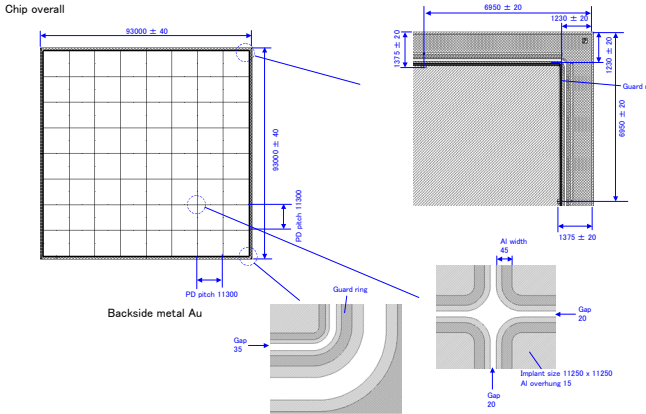
項 目	値	単 位
chip size	93 × 93	mm
Number of PDs	64 (8 × 8)	ch
PD pitch(X)	11300	μm
PD pitch(Y)	11300	μm
Single P+ size	11250 × 11250	μm
Single Al size	11280 × 11280	μm
PAD size	100 × 200	μm
Number of PADs	4	/ch

■ 特性仕様

項 目	値	単 位
Vfd	< 220	V
Id	< 20	nA/ch(VR=Vfd)
Ct	30	pF/ch(VR=Vfd)
NG ch	< 2	%(1ch MAX)

■ 検査仕様

項 目	内容
Id	各 ch の Id、Vr=100V、150V、200V、250V
Ct	各 ch の Ct、Vr=100V、150V、200V、250V
Vfd	モニタ PD での Ct 測定値より換算



このカタログの記載内容は、平成22年4月現在のものです。製品の仕様などは予告なく変更することがありますので、あらかじめご了承ください。
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HAMAMATSU

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Shower profile

To observe expanse of the shower, we use the following equation

The center of gravity's equation

$$x^{(s)} \equiv \langle x^{(s)} \rangle \equiv \frac{\sum_{i,j} ADC_{i,j}^{(s)} \cdot x_i^{(s)}}{\sum_{i,j} ADC_{i,j}^{(s)}} \quad s = 1, 2, 3, 4 \quad i, j = 1, \dots, 8$$

$$y^{(s)} \equiv \langle y^{(s)} \rangle \equiv \frac{\sum_{i,j} ADC_{i,j}^{(s)} \cdot y_j^{(s)}}{\sum_{i,j} ADC_{i,j}^{(s)}} \quad s = 1, 2, 3, 4 \quad i, j = 1, \dots, 8$$

s : which LGL
i, j : PAD of x & y axis

Shower profile

- beam : 2GeV electron
- Longitudinal shower profile
 - shower max d (for W) = 19.27mm \leftarrow 2nd LGL
- Transverse shower profile
 - re-calculate shower center (centroid)
 - Moliere radius (for W) : 9.16mm
- longitudinal and transverse shower profiles are consistent with the expectation

$$d = 0.35 \ln\left(\frac{E_{incident}}{8.11[MeV]} - 0.5\right)[cm]$$

Gap of center of gravity for the hit

