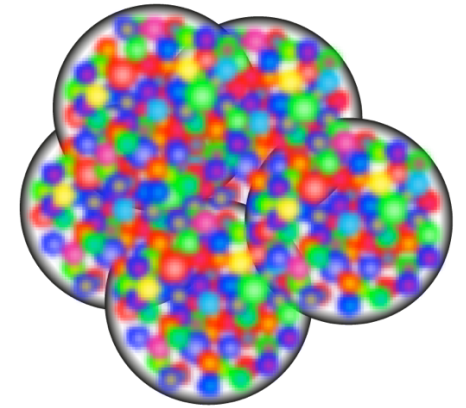


# Forward Physics (Experiments)



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Univ. of Tsukuba



チュートリアル研究会

「重イオン衝突の物理：基礎から最先端まで」

Mar 26, 2015

理化学研究所（和光市）



筑波大学  
*University of Tsukuba*

## 1. Introduction

## 2. Forward physics for HIC

- QCD Factorization theorem
- Nucleon parton distributions at small  $x$
- Gluon saturation (CGC)
- Nuclear parton distributions

## 3. Experimental results from RHIC/ LHC

## 4. Future experiments

## 5. Summary

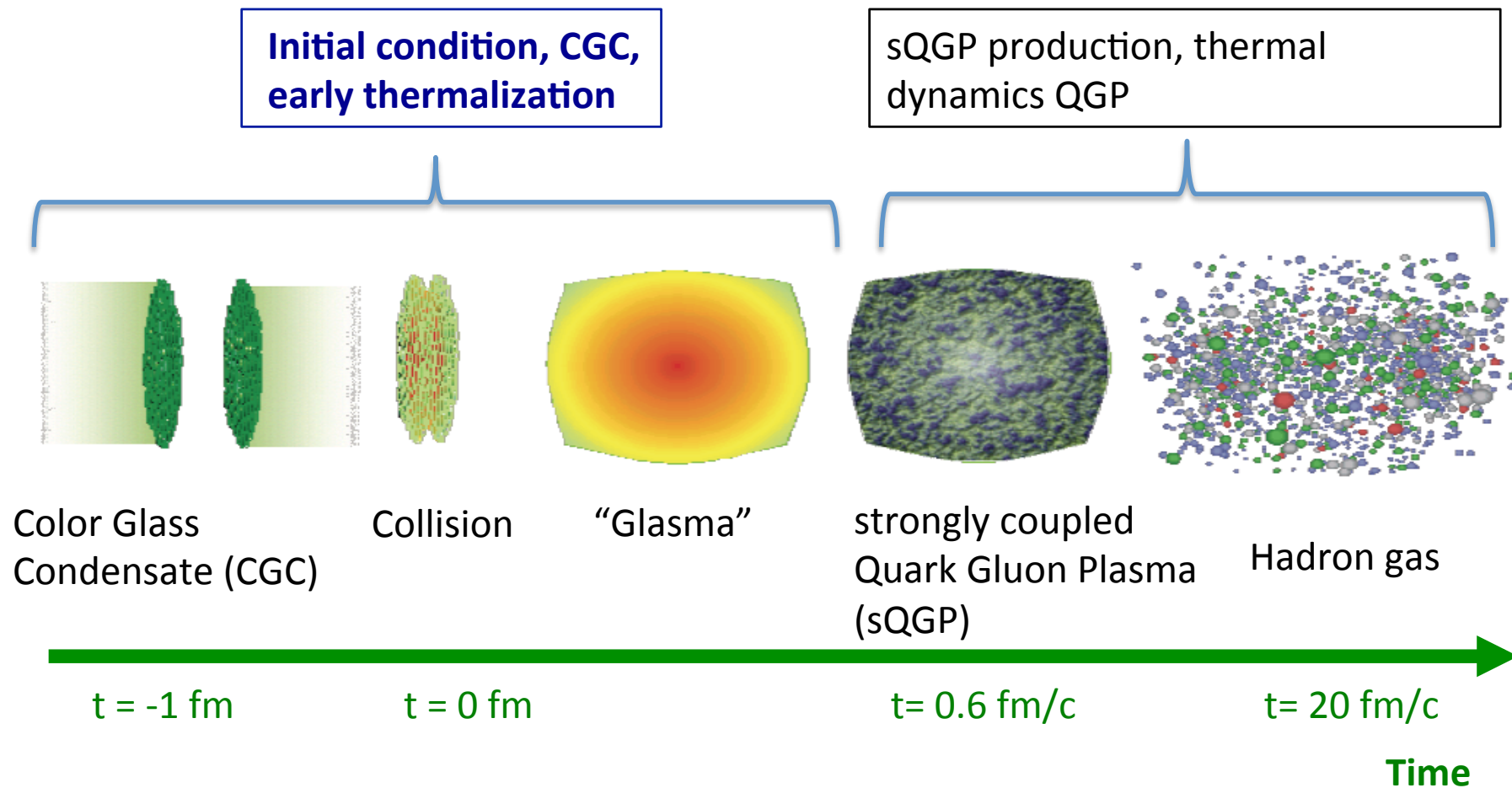
# I. Introduction

# “My” motivation of this field

- It is often said that CGC is the initial state of heavy ion collisions at RHIC/LHC.
- Do we see a gluon saturation effect at RHIC and LHC?
- How clearly see the effect?
- Are there any links from the initial condition to the early thermalization process of QGP, “Glasma”?
- What is the best probe(s) of CGC in the future experiments?



# Initial condition and thermalization



RHIC/LHC data suggests an early thermalization of QGP ( $\sim 0.6 \text{ fm}$ ), and it is still a big missing link between initial condition to QGP.

➡ Need a direct probe to access to initial condition

## 2. Forward Physics for Heavy Ion Collisions

# Factorization theorem of QCD

Hadron production by hard scattering processes

$$E_h \frac{d\sigma_{AB \rightarrow h(p)}}{d^3 p_h} = \sum_{abk} \int d^3 x_2 f_{b|B}(x_2) \int d^3 x_1 f_{a|A}(x_1) \int dz D_{h|k}(z) E_k \frac{d\hat{\sigma}_{ab \rightarrow k}}{d^3 p_k}$$

Parton ( $a, b$ ), incoming nucleon ( $A, B$ ), hadron  $h$

$p_{A(B)}$  : momentum for nucleon  $A$  ( $B$ )

$p_{a(b)}$  : momentum for parton  $a$  ( $b$ )

$x_1 = p_A / p_a$

$x_2 = p_B / p_b$

$f(x, Q^2)$ : parton distribution function (PDF)

$Q^2$ : momentum transfer

$E_k d\sigma_{ab \rightarrow k}/d^3 p_k$  :

Elementary cross section to produce parton “ $k$ ” from  $a$  and  $b$ , in the final momentum  $p_k$

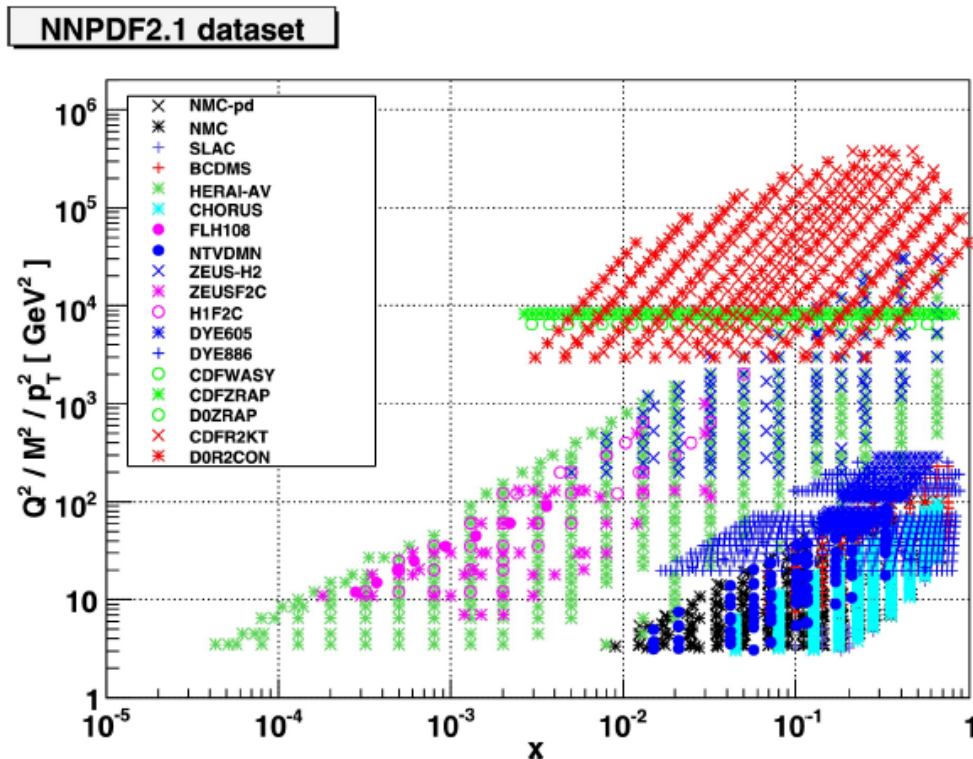
$D_{h|k}(z)$ : fragmentation function from parton  $k$  to hadron  $h$ .

$z = p_h/p_k$ : momentum fraction of hadron  $h$  carried by parton  $k$

# Factorization theorem of QCD

$$E_h \frac{d\sigma_{AB \rightarrow h(p)}}{d^3 p_h} = \sum_{abk} \int d^3 x_2 f_{b|B}(x_2) \int d^3 x_1 f_{a|A}(x_1) \int dz D_{h|k}(z) E_k \frac{d\hat{\sigma}_{ab \rightarrow k}}{d^3 p_k}$$

PDFs are determined empirically by the best fit to the data, assuming the factorization theorem and DGLAP ( $Q^2$ ) evolution.



R. D. Ball et al., (NNPDF Collaboration),  
Nucl. Phys. B 849, 296 (2011).

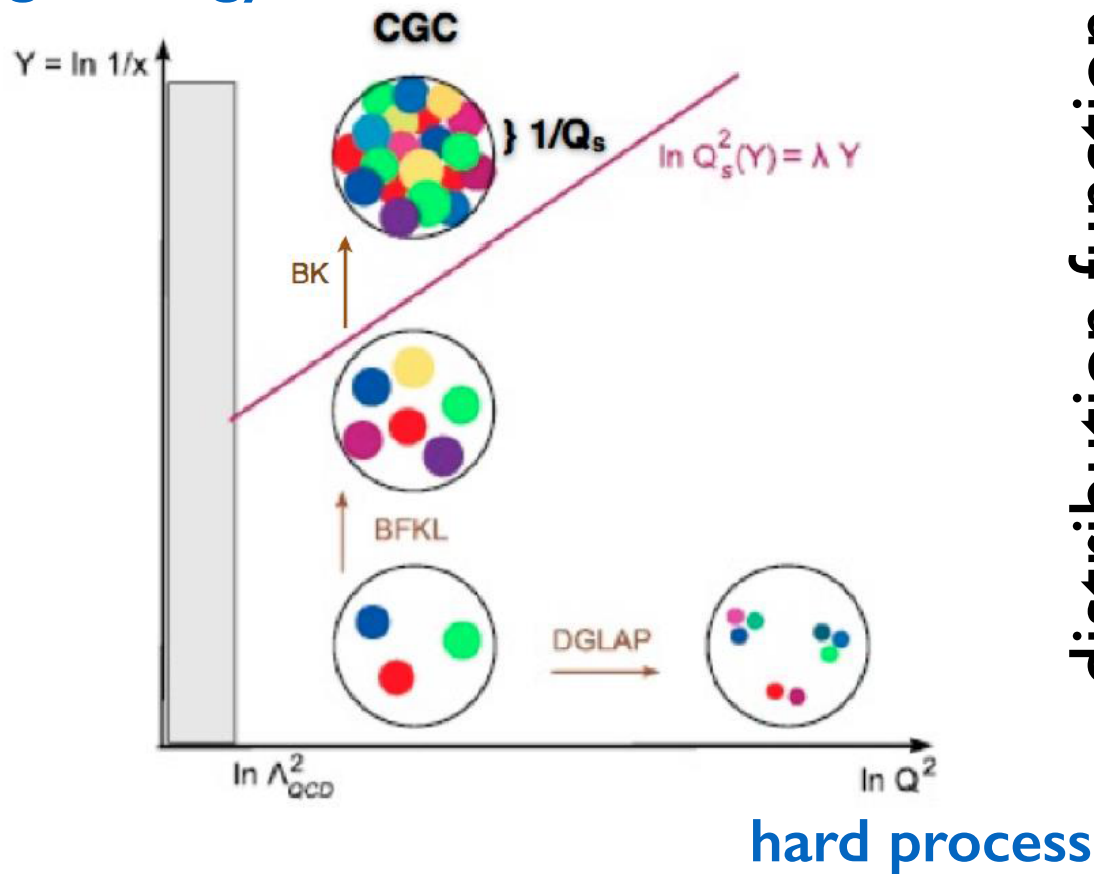
NNPDF collaboration:  
DIS, Drell-Yan, inclusive jet, weak  
vector boson, and charm  
→ **determined “NNPDF2.1” PDF**

**Strong support for factorization  
assumption with universal PDFs (w/  
DGLAP evolution).**

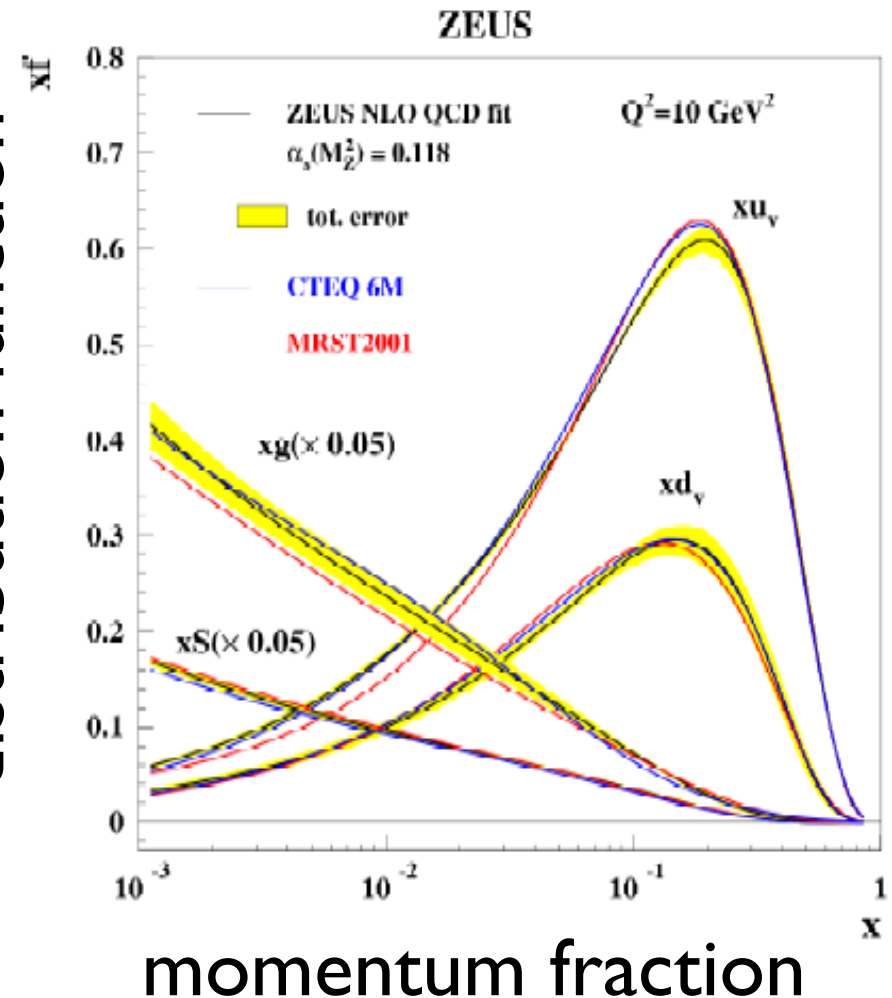


# Gluon Density

high energy

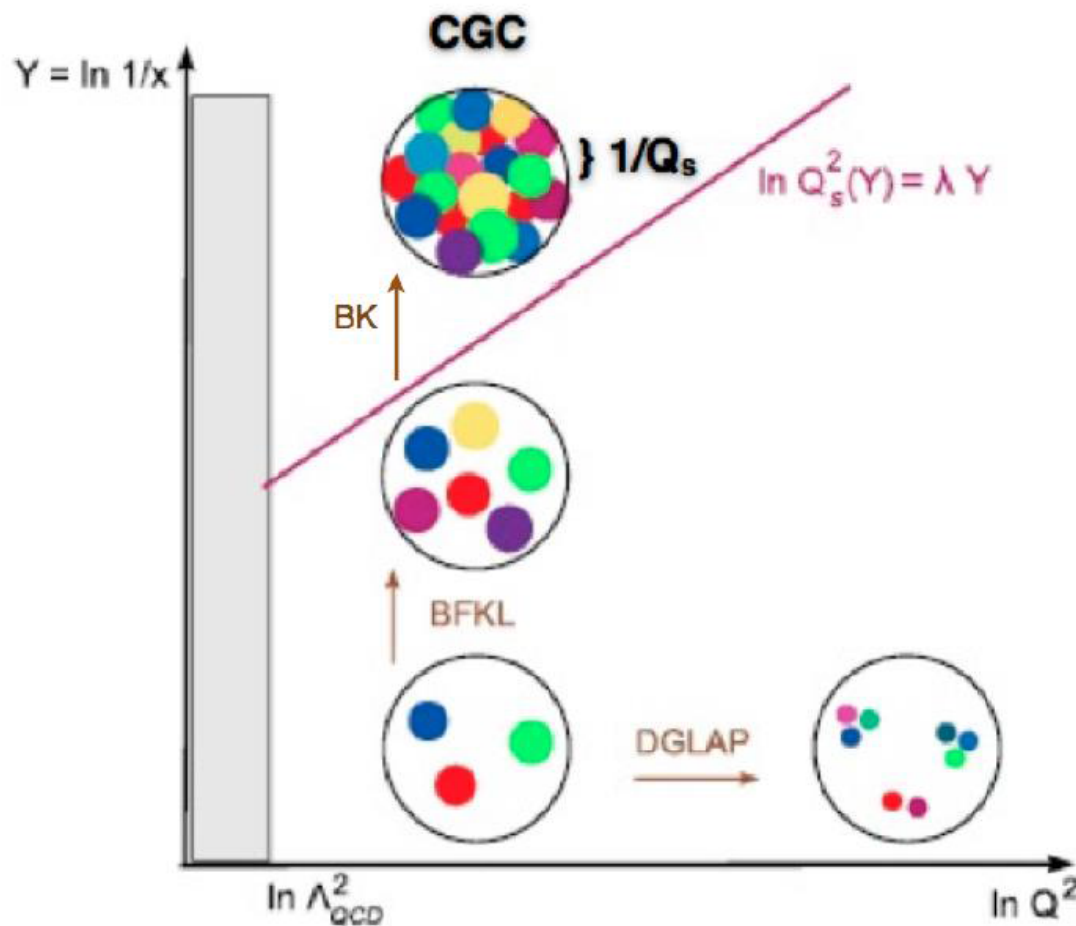


distribution function



At small  $x$  and small  $Q^2$ , the parton density will become large by non-linear effects due to gluon fusion

# Gluon saturation (CGC)



Gluon density per area  
(nucleon cross section:  $\pi r^2$ )

$$\rho \sim xg(x, Q^2)/\pi r^2$$

Gluon fusion cross section

$$\sigma_{gg \rightarrow g} \sim \alpha_s/Q^2$$

When;  $\rho \sigma_{gg \rightarrow g} \gtrsim 1$

- ➡ Gluon density saturate, called;
- Gluon Saturation, or
  - Color Glass Condensate (CGC)

Saturation scale:

$$Q_s^2 \sim \alpha_s \frac{xg(x, Q^2)}{\pi r^2} \sim x^{-0.3}$$

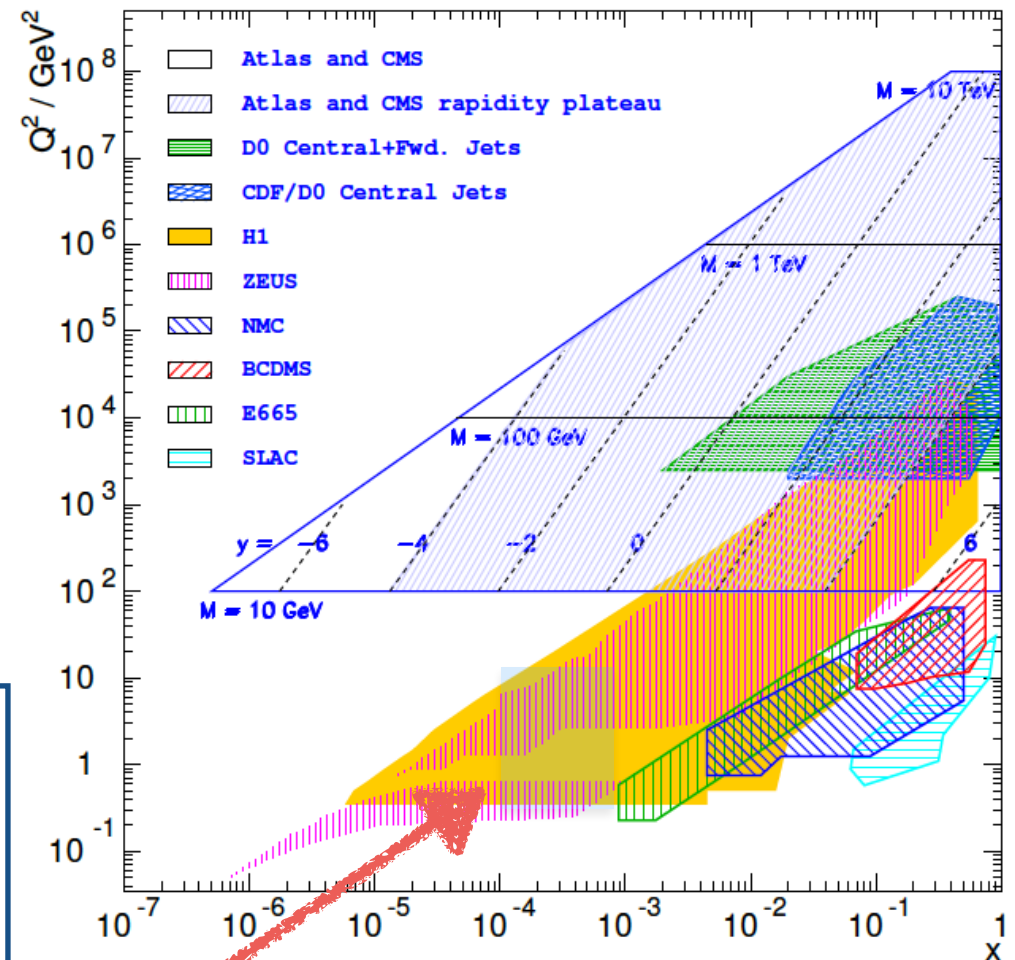
gluon saturation:  $Q_s < Q$

→ Exclusion of small  $x$  and  $Q^2$  region,  
better PDF determination by the exp. data fit  
(NNPDF)

# kinematics ( $x$ - $Q^2$ )

- Large increase in beam energy at LHC,
- Measurements at the LHC probe the nucleon PDFs over a **wide region of  $x$  and  $Q^2$**  that is much greater than that previously accessible.

Bulk properties in HI  
and gluon saturation physics  
→ low  $Q^2$  and low  $x$



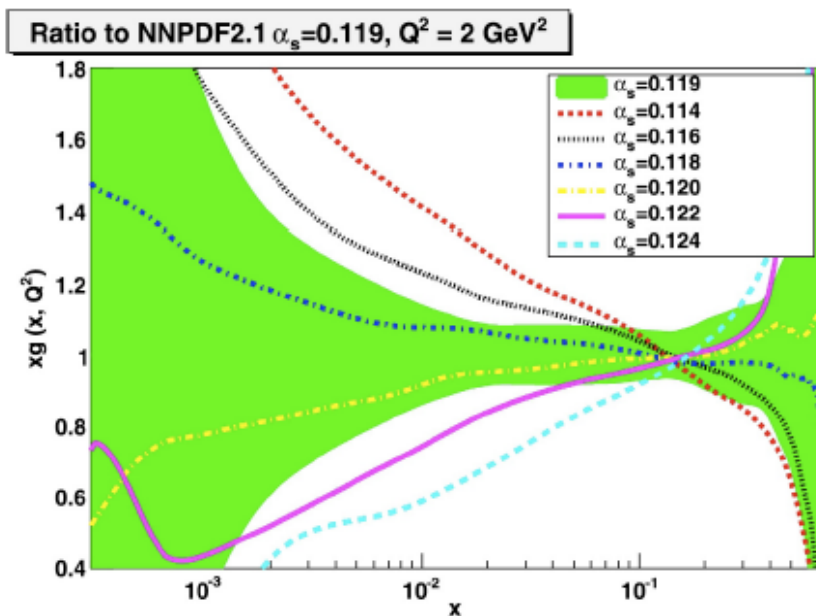
$$Q^2 (\approx p_T^2) \lesssim 10 \text{ GeV}^2 \text{ and } x \sim 10^{-3} - 10^{-4}$$

**only PDF determined by DIS,  
but there are uncertainties of gluon PDF**

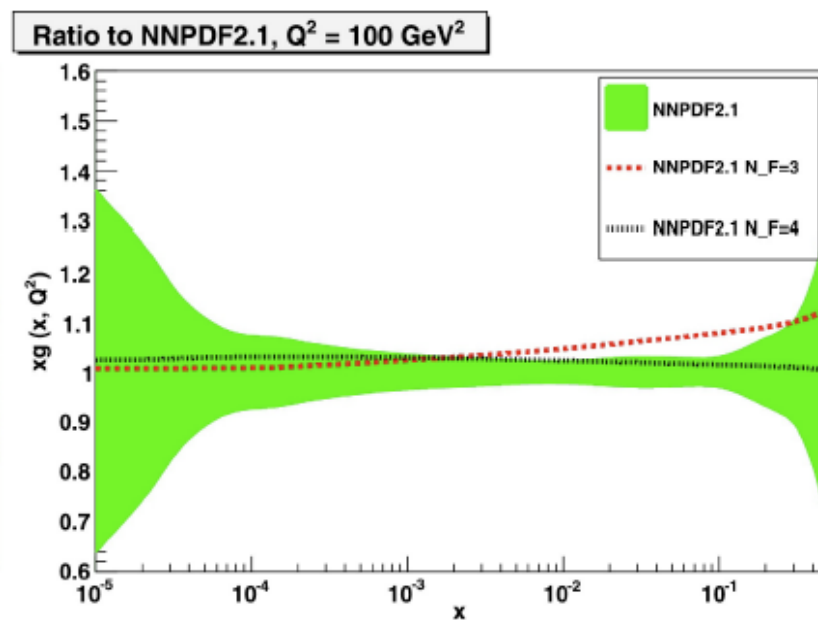
# Uncertainty of Gluon PDF at low $x$ and $Q^2$

Gluons are uncharged they are not directly probed in the DIS process,  
Constrained only indirectly way  
→ gluon PDFs have rather large uncertainties in the low- $x$  and low  $Q^2$ .

*Ratio of the gluon distribution function  $xg(x;Q^2)$  to the nominal value with shaded region*



low  $Q^2$  ( $=2\text{GeV}^2$ )



high  $Q^2$  ( $=100\text{GeV}^2$ )

R. D. Ball et al., (NNPDF Collaboration),  
Nucl. Phys. B 849, 296 (2011).

# Nuclear PDF (nPDF) for gluons

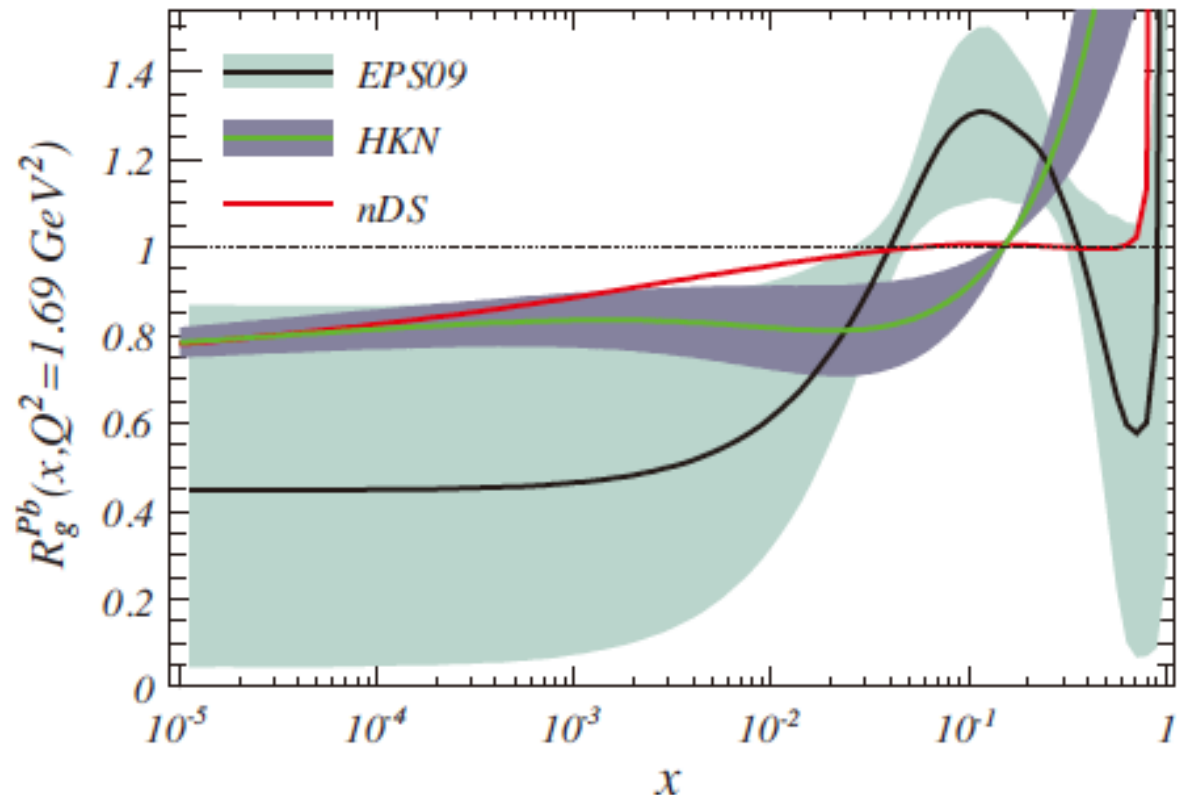
- Ratio of the gluon distribution function of a Pb nucleus to that of the proton
- A large spread for small x**  
→ lack of constraints due to the limited set of relevant measurements (nuclear targets)
- Gluon density of a nucleus of mass number A can be written as (approximately);

$$xG(x, Q^2) \approx Axg(x, Q^2)$$

$$\pi R^2 \approx \pi(rA^{1/3})^2$$

$$Q_s^2 \sim \alpha_s \frac{xG(x, Q^2)}{\pi R^2} \sim A^{1/3} x^{-\lambda}$$

C. A. Salgado et al., J. Phys. G 39, 115010 (2012)

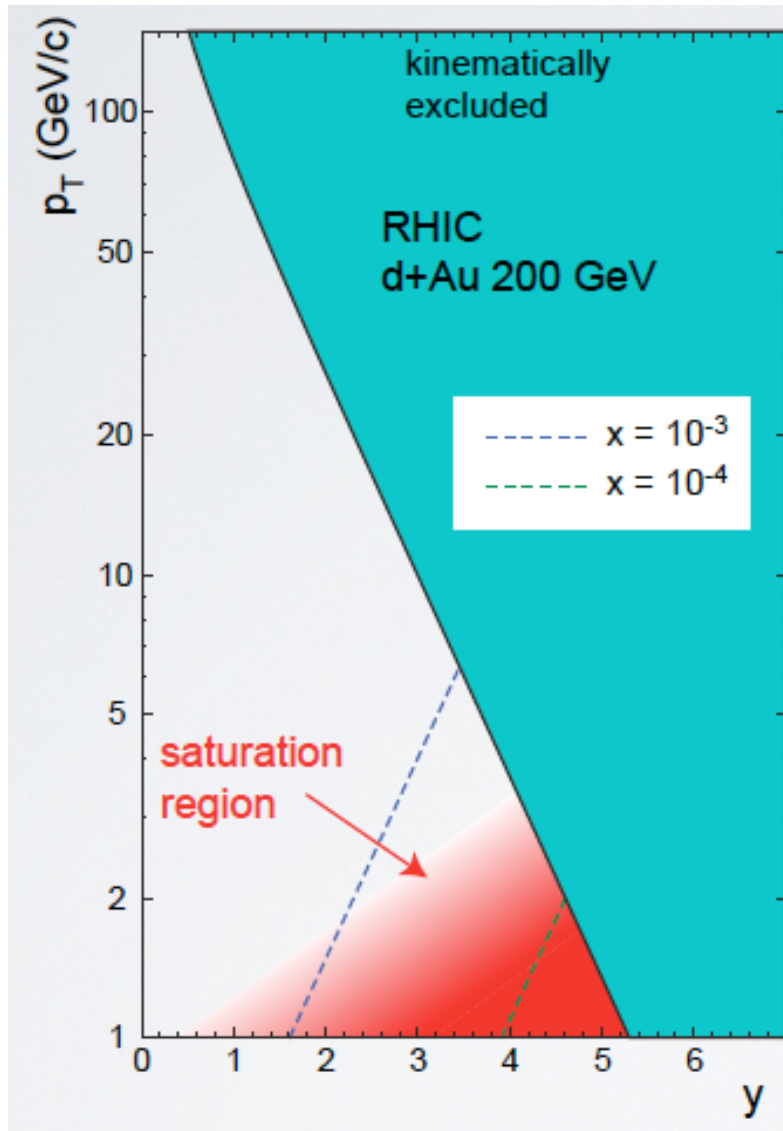


**Effects of gluon saturation in nucleus:**  
set in at a factor **6 x higher  $Q^2$**  with a heavy nuclear target

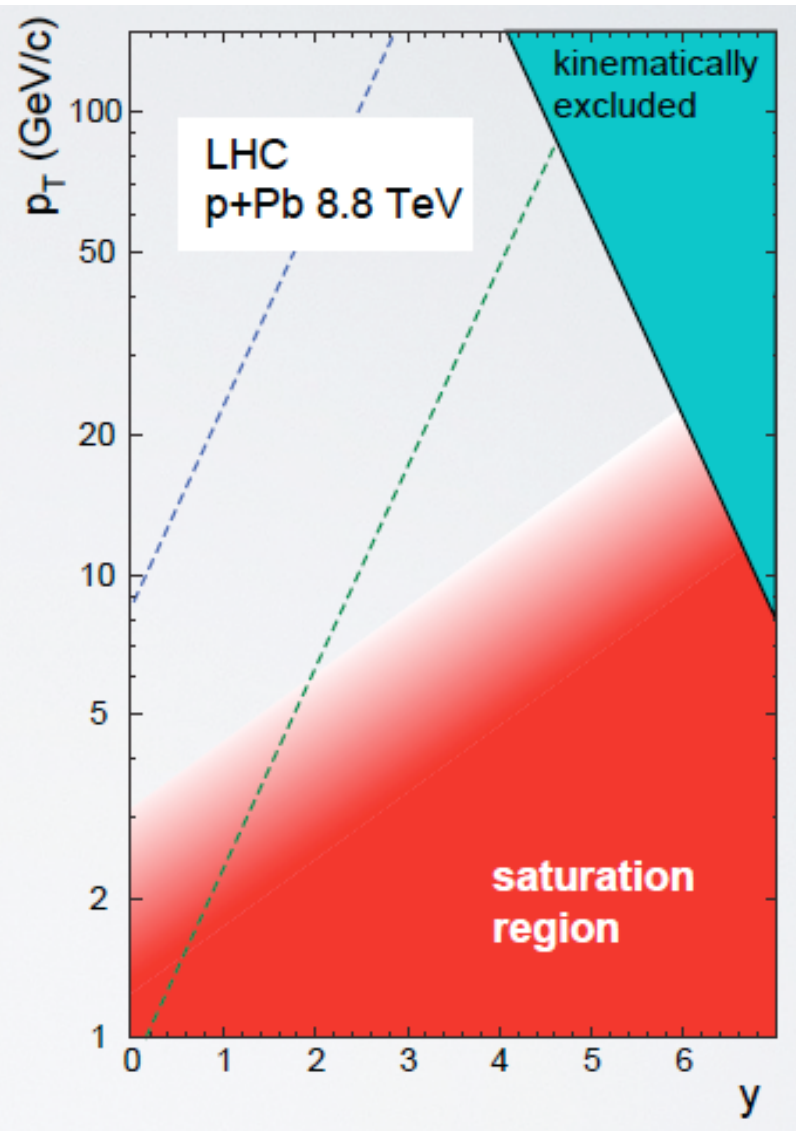
- Impact parameter dep. in p+A collisions**
  - central:  $A^{1/3} \sim 6$  (for Pb)**
  - peripheral:  $\sim 1$**

# Probing CGC at RHIC/ LHC

RHIC



LHC



$$x_2 = \frac{p_T}{\sqrt{s}}(e^{-y_3} + e^{-y_4})$$
$$Q^2 = \left(\frac{1}{2}x_2 e^y \sqrt{s}\right)^2 = p_T^2$$

Larger kinematic reach in saturation region at LHC, compared to that at RHIC.

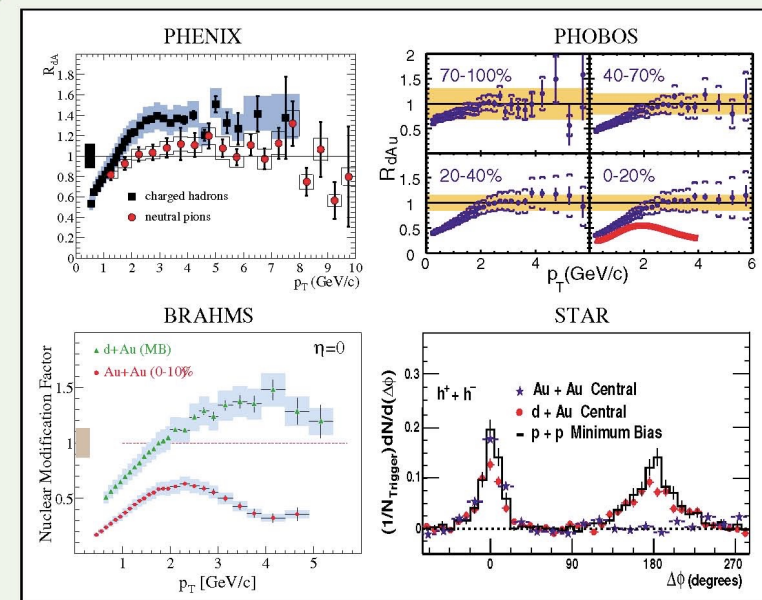


# 3. Experimental results from RHIC/ LHC

## PHYSICAL REVIEW LETTERS

Articles published week ending  
15 AUGUST 2003

Volume 91, Number 7



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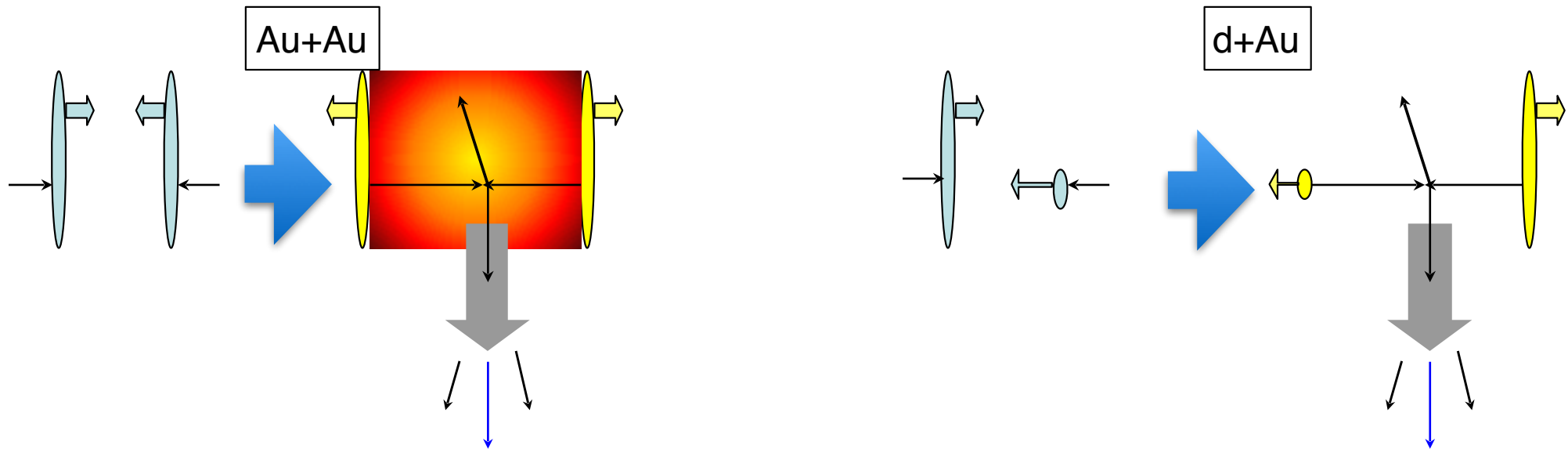


Published by The American Physical Society

**PRL 91, Number 7 (2003)**



# d+Au: “Control” Experiment



= hot and dense medium

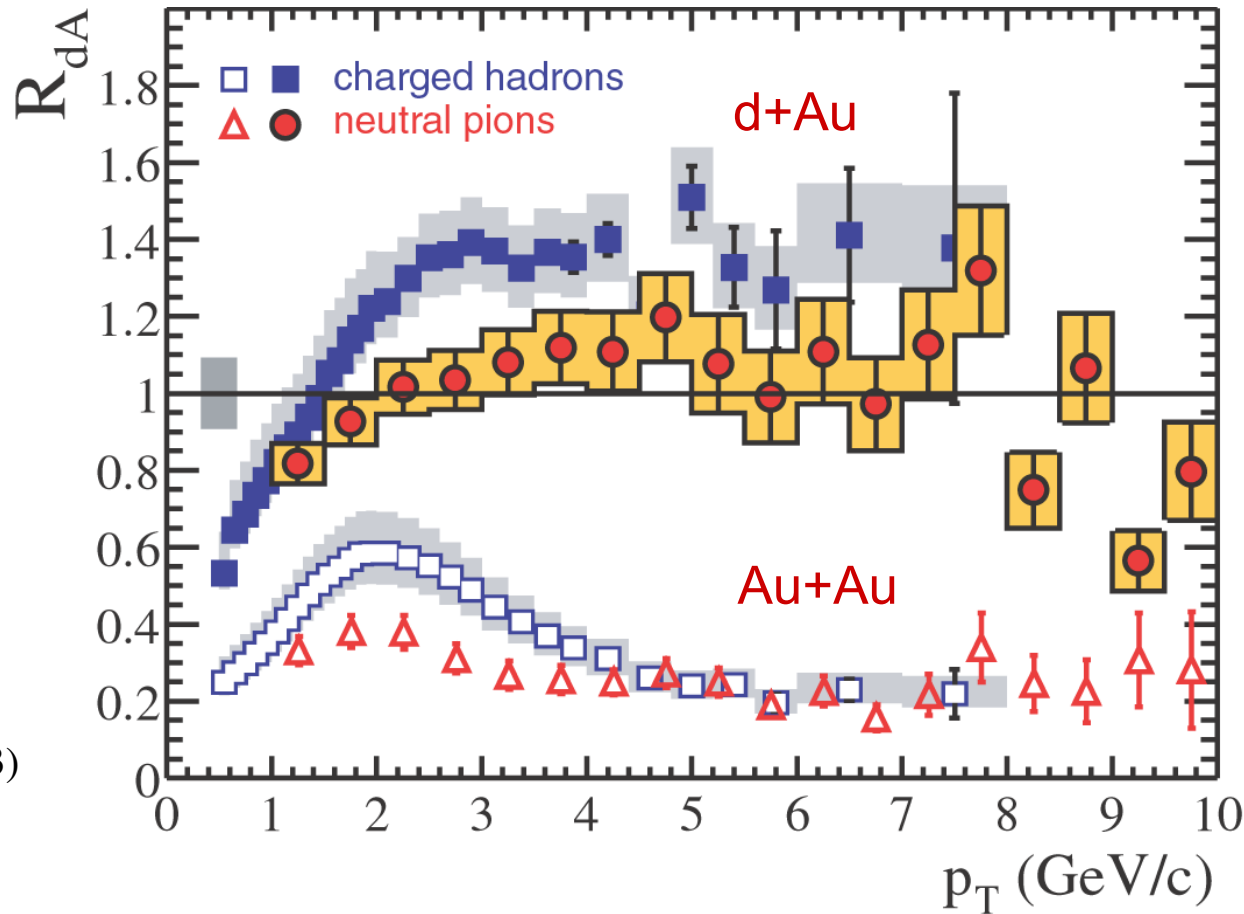
Initial + Final  
State Effects

= cold medium

Initial State  
Effects Only

- The “Color Glass Condensate” model predicts the suppression in **both Au+Au and d+Au** (due to the initial state effect).
- **d+Au experiment** can tell us whether the observed hadron suppression at high  $p_T$  central Au+Au is the final state effect or initial state effect.

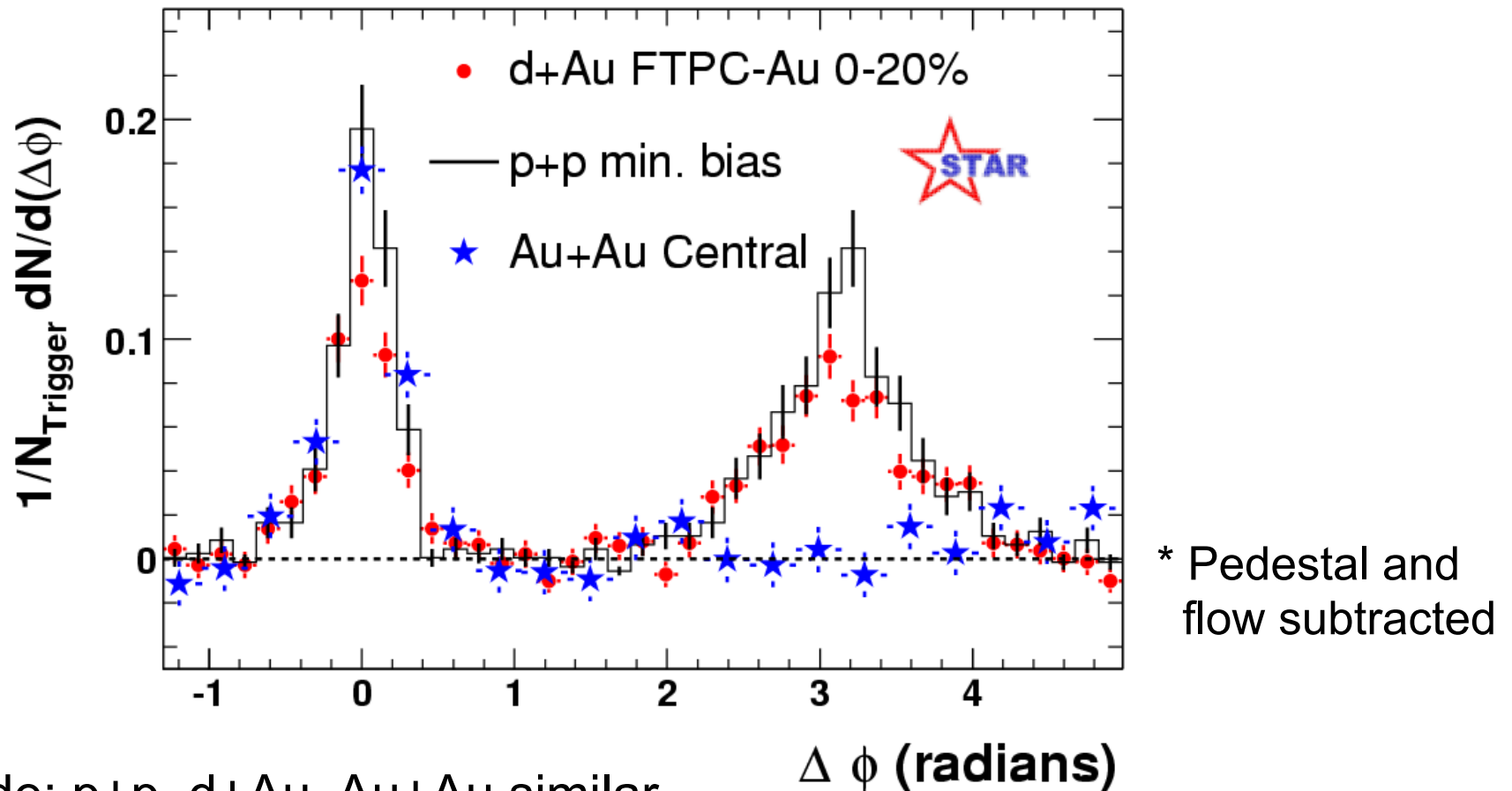
# $R_{AA}$ vs. $R_{dA}$ for charged hadrons and $\pi^0$



PHENIX (d+Au)  
PRL 91, 072303 (2003)

- **No Suppression in d+Au, small enhancement observed (Cronin effect).**
- **d-Au results rule out CGC as the explanation for high  $p_T$  Suppression of hadrons in AuAu central.**

# Azimuthal Distributions in d+Au (STAR)

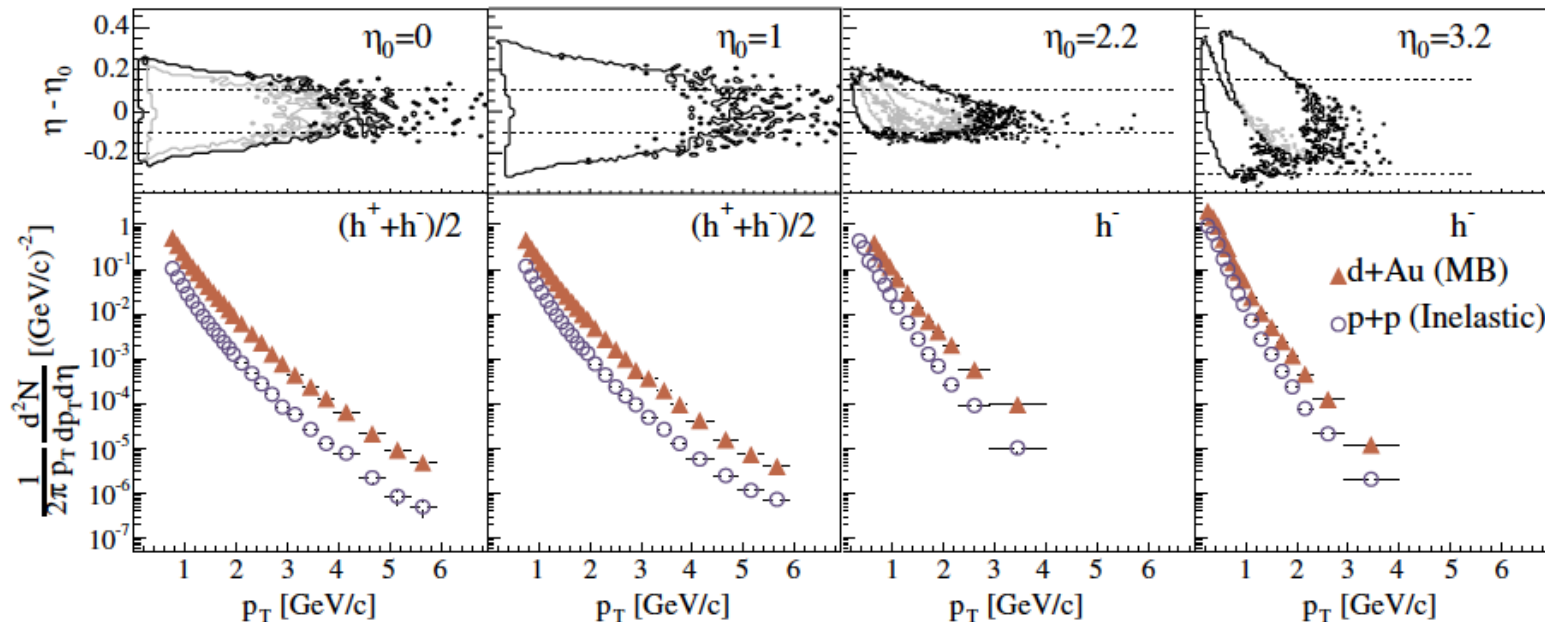
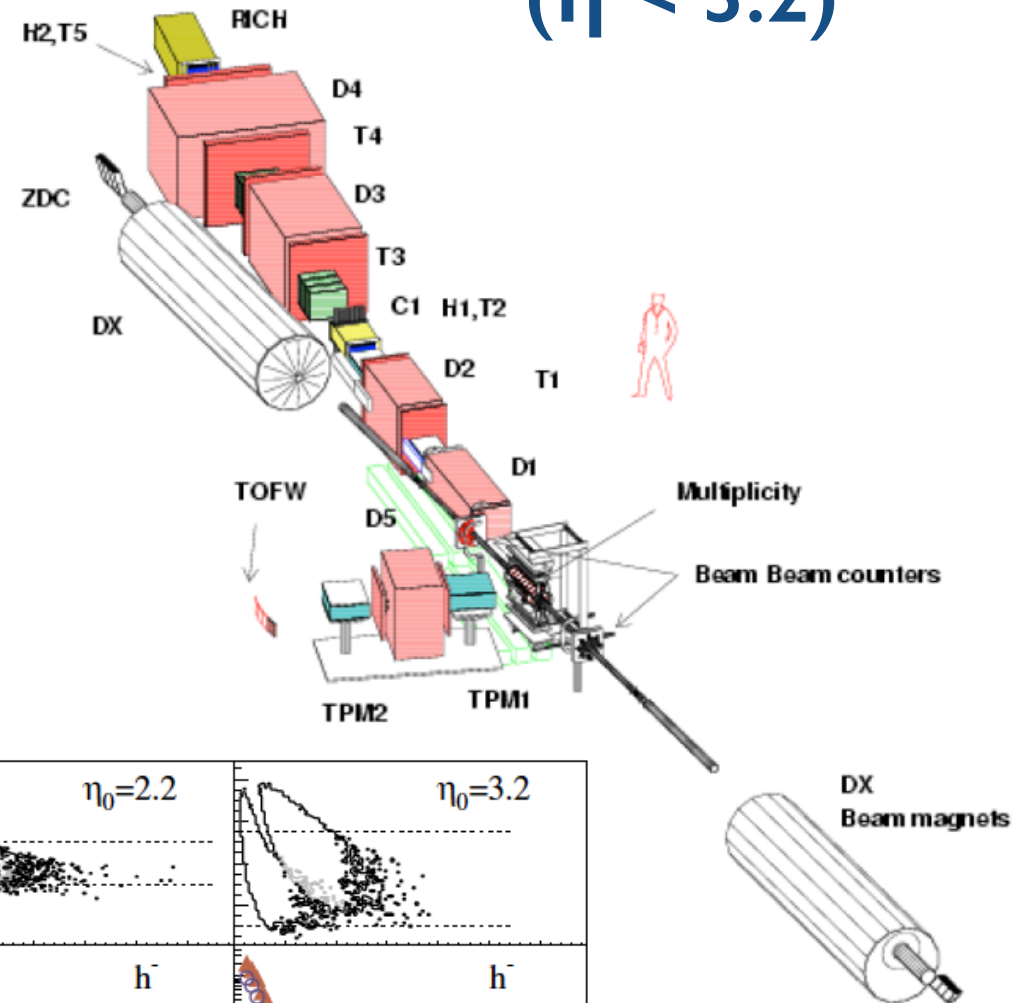
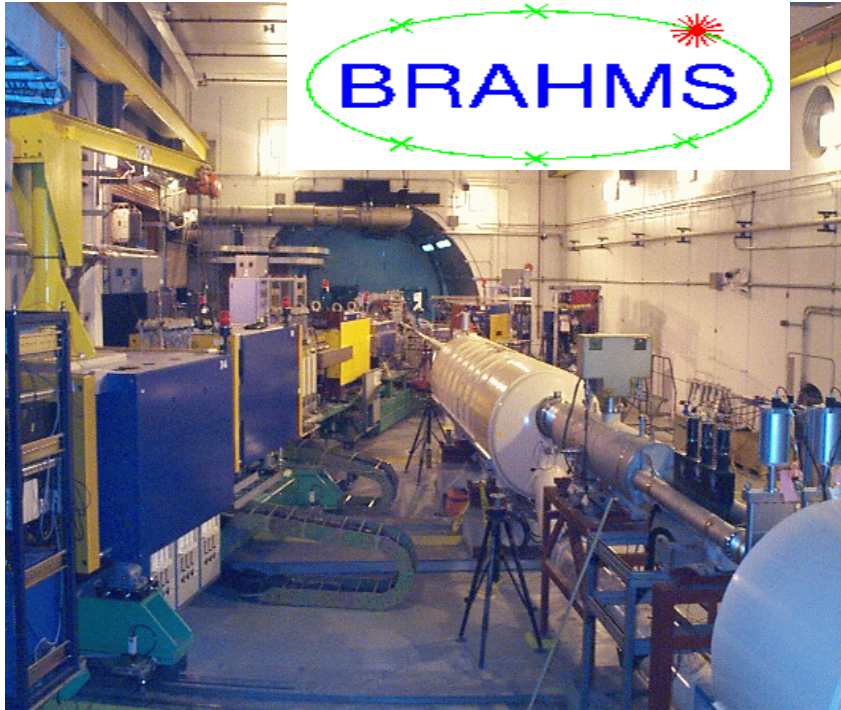


- Near-side: p+p, d+Au, Au+Au similar
- Back-to-back: Au+Au strongly suppressed relative to p+p and d+Au

**Suppression of the back-to-back correlation  
in central Au+Au is a final-state effect**

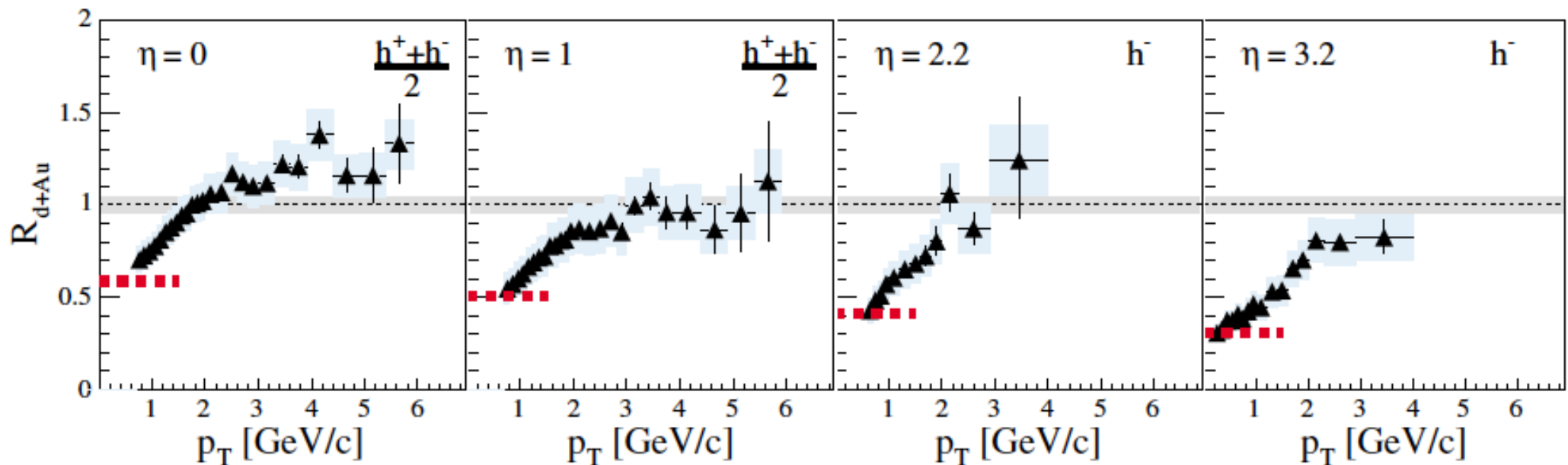
- Wide kinematic coverage ( $|\eta| < 3.5$ )
- Particle Identification by TOF

# Access to forward region ( $\eta < 3.2$ )



PRL 93, 242303 (2004)  
BRAHMS

# BRAHMS $R_{d+Au}$ (MB) at forward rapidity



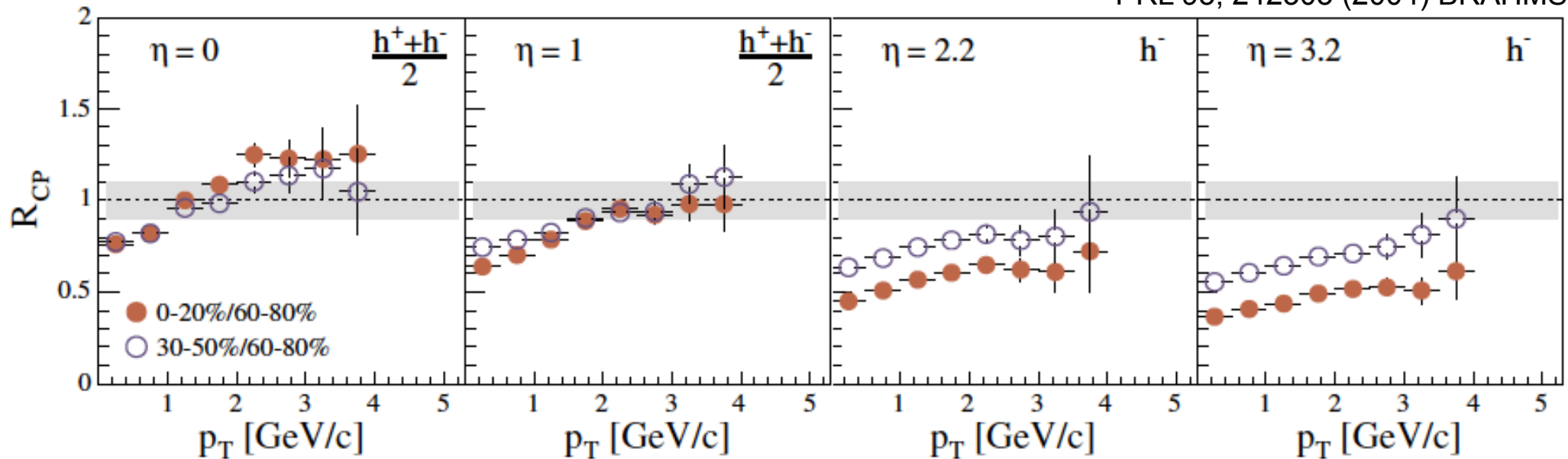
$$R_{dAu} \equiv \frac{1}{\langle N_{coll} \rangle} \frac{d^2 N^{d+Au} / dp_T d\eta}{d^2 N_{inel}^{p+p} / dp_T d\eta}.$$

PRL 93, 242303 (2004)  
BRAHMS

- Stronger suppression at low  $p_T$  hadrons at forward rapidity.
- **At forward rapidities, data show a suppression at all  $p_T$ .**
- Consistent with  $dN/d\eta$  ratio in d+Au and p+p (red dashed lines)
- Consistent with CGC pictures.

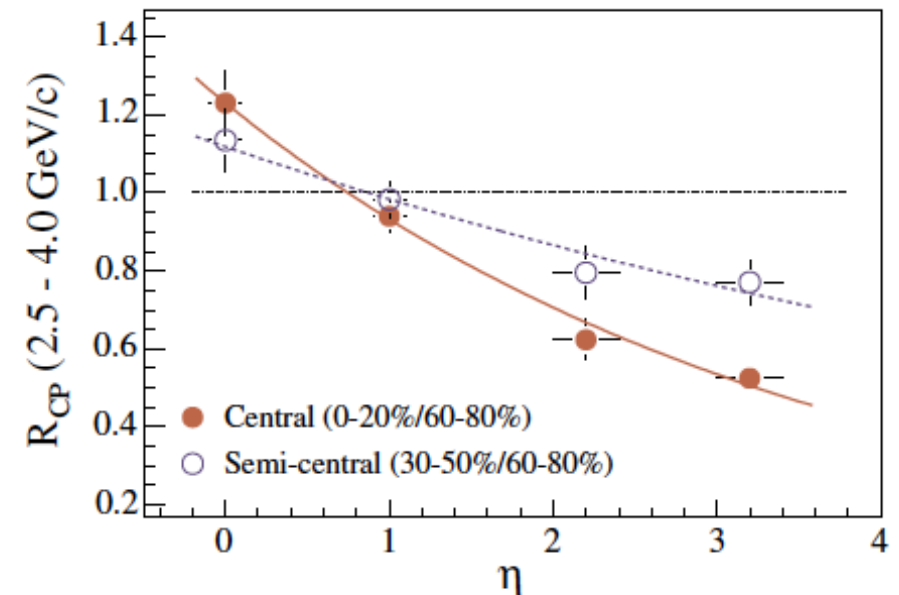
# BRAHMS $R_{CP}$ at forward rapidity

PRL 93, 242303 (2004) BRAHMS



$$R_{CP} = \frac{\frac{1}{\langle T_{AA} \rangle} \frac{1}{N_{evt}} \frac{d^2 N_{ch,jet}}{dp_{T,jet} d\eta} \Big|_{central}}{\frac{1}{\langle T_{AA} \rangle} \frac{1}{N_{evt}} \frac{d^2 N_{ch,jet}}{dp_{T,jet} d\eta} \Big|_{peripheral}}$$

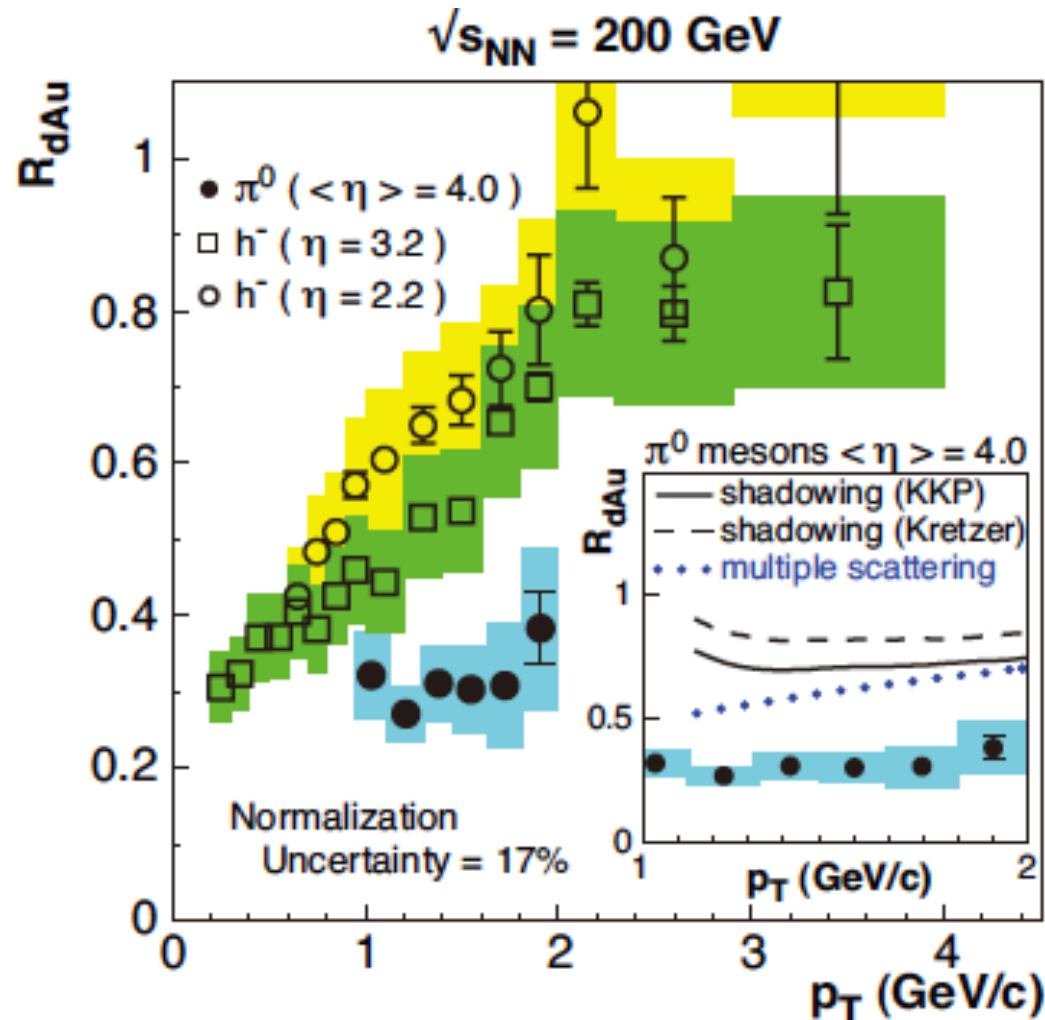
- $R_{CP}$ : Central to Perial ratio ( $N_{coll}$  scaled)
- Substantial evolution form  $\eta = 0$  to 3.2
- Suppression larger at forward and central 0-20%.





# STAR R<sub>dAu</sub>: $\pi^0$ at forward rapidity ( $\langle\eta\rangle=4.0$ )

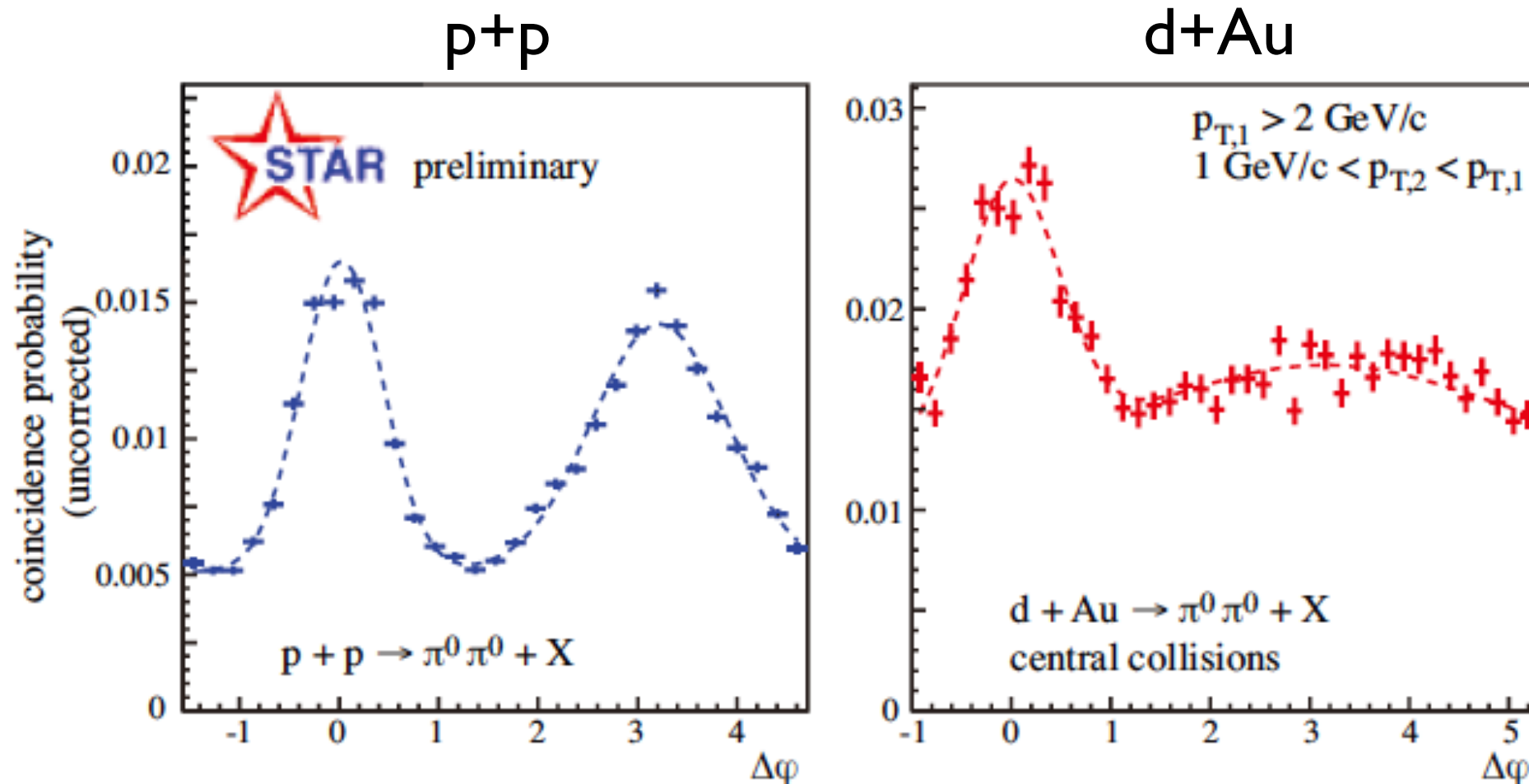
J. Adams et al. (STAR), Phys. Rev. Lett. 97, 152302 (2006)



- Hadron productions are **suppressed at forward region.**
- Suppression evolves as a function of  $\eta$
- Qualitatively consistent with the expectations for gluon saturation.

# STAR: $\pi^0$ - $\pi^0$ correlations at forward rapidity

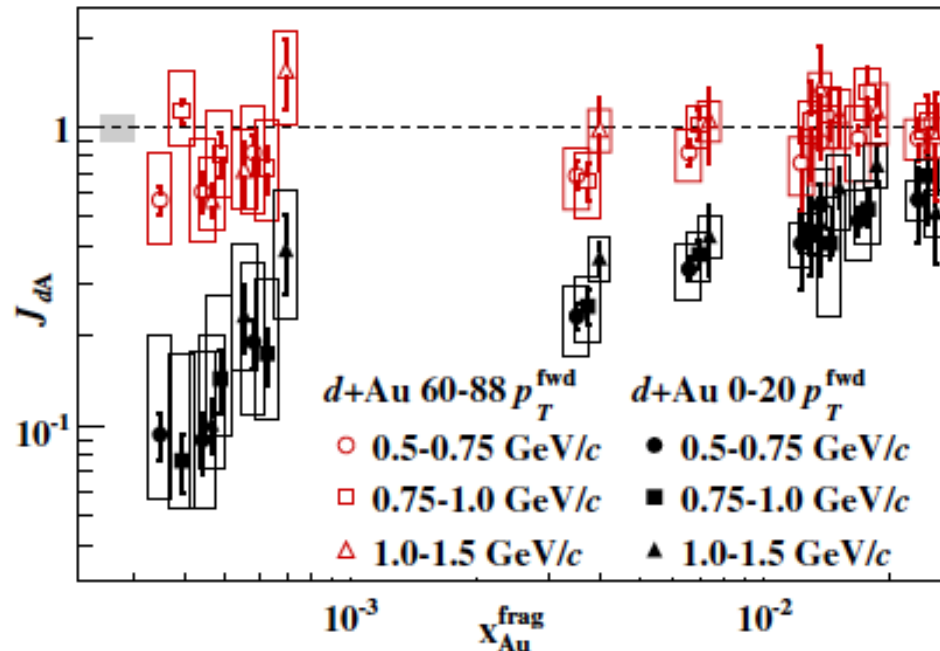
E. Braidot et al. (STAR collaboration), arXiv:1005.2378



- p+p: a strong peak at  $\Delta\phi = \pi$ , associated with the recoil jet opposite to the trigger particle
- d+Au (central): suppressed and/or broadened.
  - ➡ qualitative agreement with expectations from gluon saturation.



# PHENIX, $J_{dA}$ of away side peak ( $\pi^0$ - $\pi^0$ ) in d+Au



PRL 107, 172301 (2011), PHENIX

$$J_{AB} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{\sigma_{AB}^{\text{pair}} / \sigma_{AB}}{\sigma_{pp}^{\text{pair}} / \sigma_{pp}}$$

- $\pi^0$ - $\pi^0$  Correlations w/  $p_T$  and rapidity cut on near & away side jets.  
→ tight constraint on  $x_2$  and  $Q^2$  of hard process.
- $J_{dA}$ : correlated pair yield suppression factor on away side.

- $x_2$  like property:

$$x_{Au}^{\text{frag}} = (\langle p_{T3} \rangle e^{-\langle \eta_3 \rangle} + \langle p_{T4} \rangle e^{-\langle \eta_4 \rangle}) / \sqrt{s_{NN}}$$

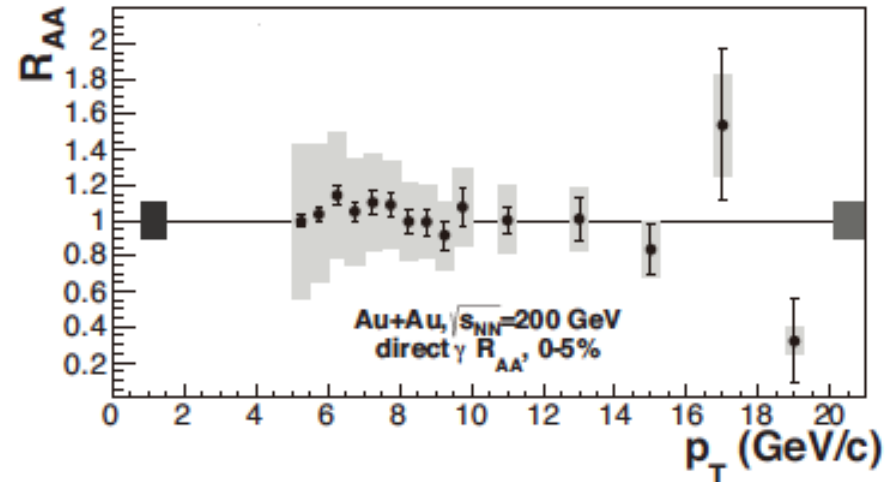
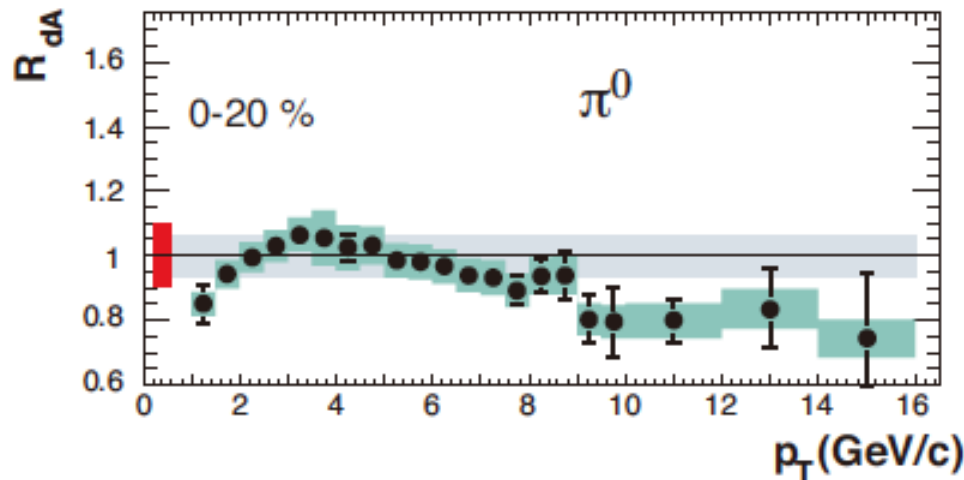
- Strong suppression at low  $x$ , consistent with CGC expectation.
- Little or no suppression for peripheral.

# Now, go back to $R_{dA}$ , $R_{AA}$ (RHIC) again...

$\pi^0$  (d+Au)

PRL 98, 172302 (2007) PHENIX

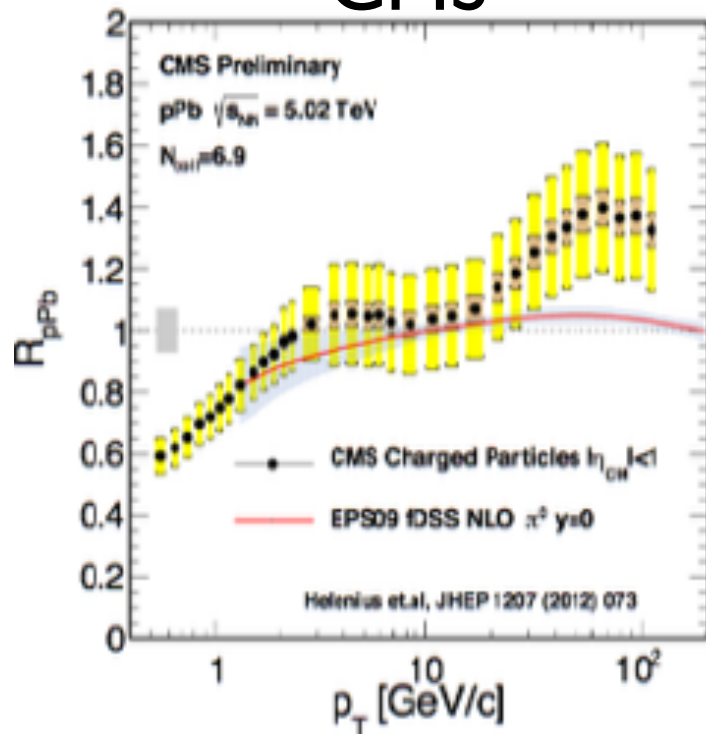
Direct  $\gamma$  (Au+Au)



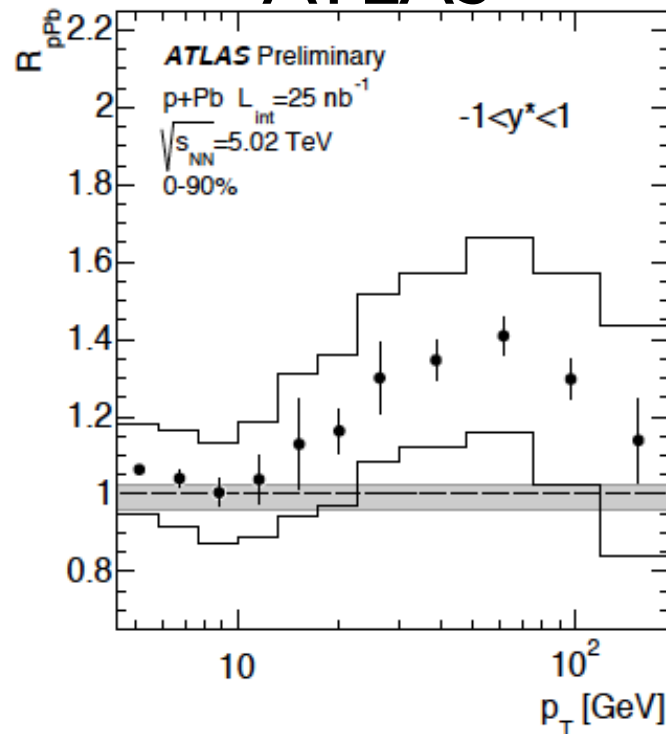
- **Direct  $\gamma$  (Au+Au):** no deviation from unity, no indication of initial (or final) state nuclear effect.
- **$\pi^0$  (d+Au):** **suppression at high  $p_T$  ( $> 10$  GeV/c)** in central (0-20%)
  - initial state effect should be similar to that of direct  $\gamma$  in dAu, AuAu.
  - Suggesting the final state effect in “ **$\pi^0$  data**” shown here.
  - **Illustrate the difficulty to extract initial effect from the hadronic observables,**
  - **Difficult to constraint the parton kinematics by hadrons**

# In case of LHC...

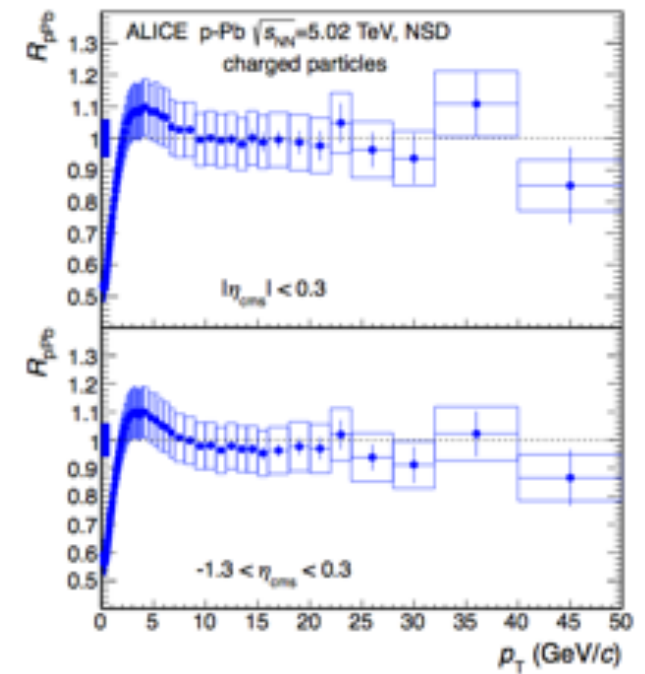
## CMS



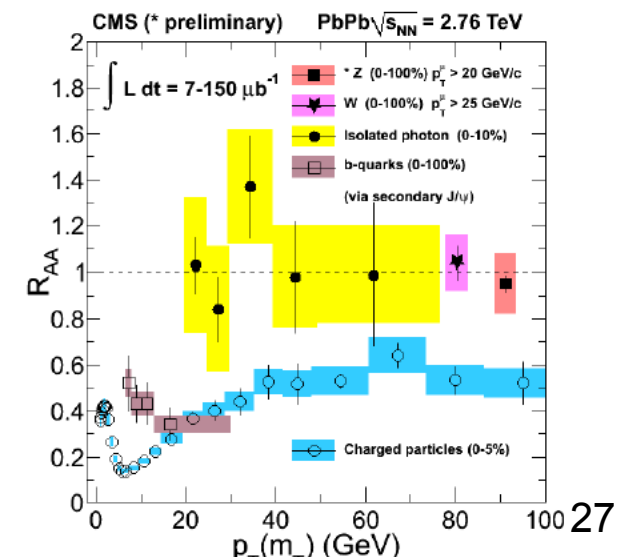
## ATLAS



## ALICE

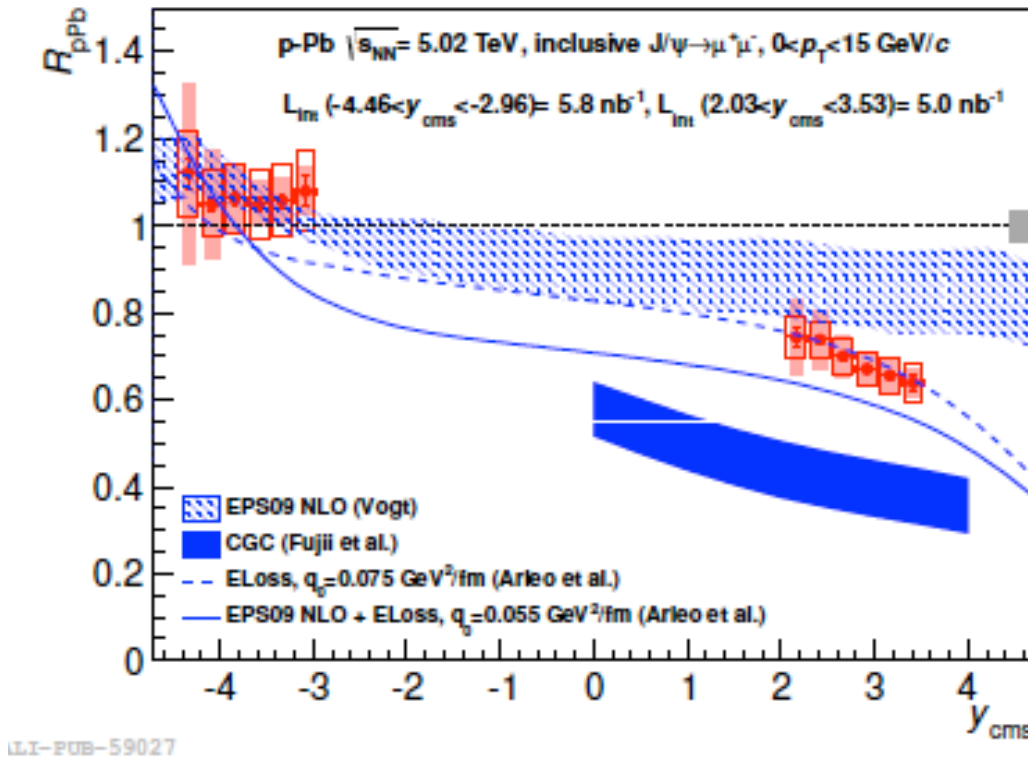


- Charged hadron  $R_{pPb}$
- Enhancement or unity at high  $p_T$ ?
- (direct photon: unity at LHC in PbPb)



# J/ψ Production in pA at LHC

$$J/\psi \rightarrow \mu^+ + \mu^-$$

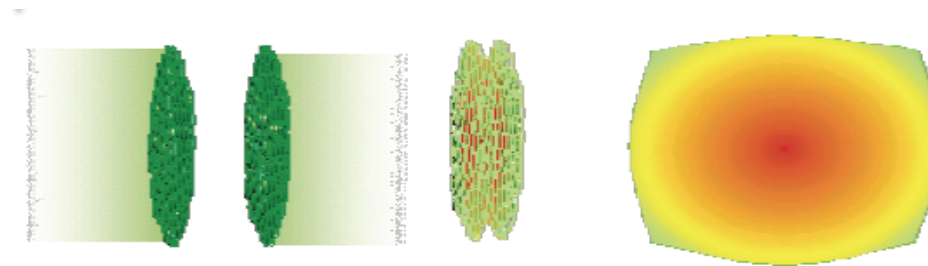


ALICE, 10.1007/JHEP02(2014)073, arXiv:1308.6726

- **Hadron suppression on forward (proton-going) side at low  $p_T$ .**
- J/ψ yield: not described by nPDFs nor by a CGC calculation
- Uncertainties on:
  - Production mechanism (x sensitivity etc.)
  - **Other nuclear modifications** (e.g. energy loss, thermalization in pA?)

**Difficult to obtain conclusive data by hadrons only.**

# Towards understanding from initial state to QGP evolution



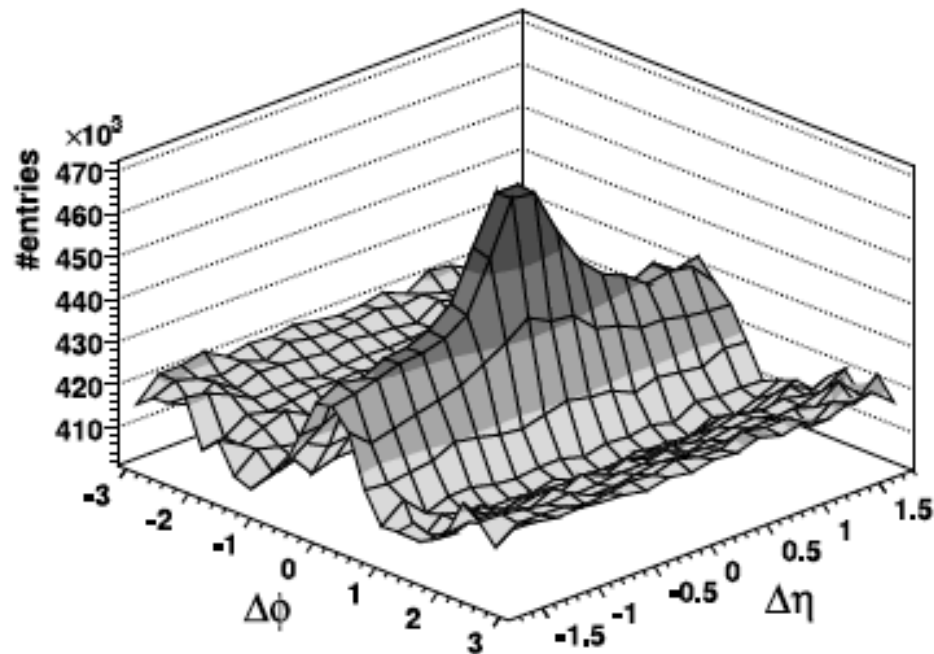
Color Glass  
Condensate (CGC)

Collision

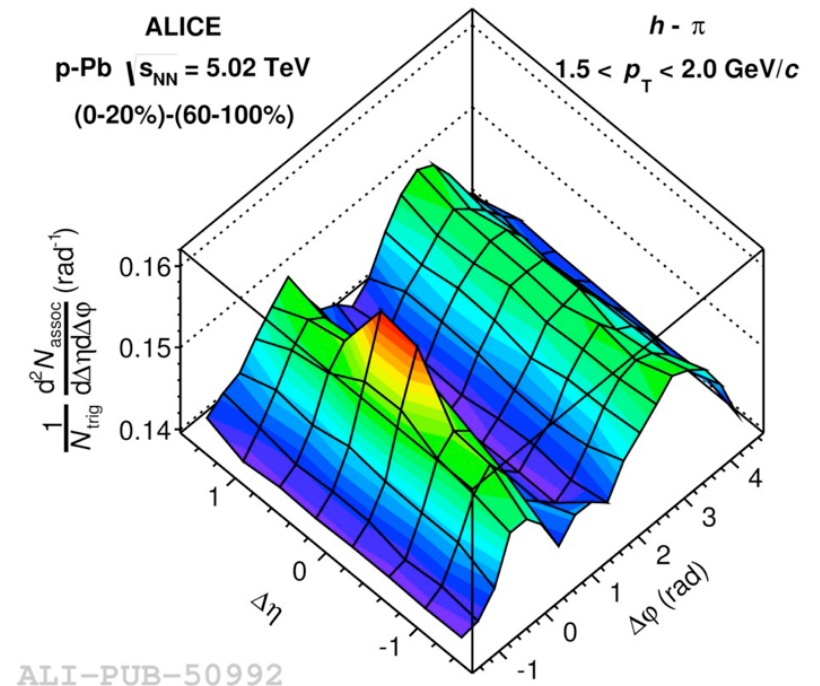
“Glasma”

# Medium properties in AA (“Ridge”)

RHIC (STAR, Au+Au 200 GeV)



LHC (ALICE, pPb, 5.02 TeV)



- long range  $\Delta\eta$  correlations (ridge) at RHIC and LHC.
- Originated from CGC ?



# Initial conditions of Heavy Ion Collisions

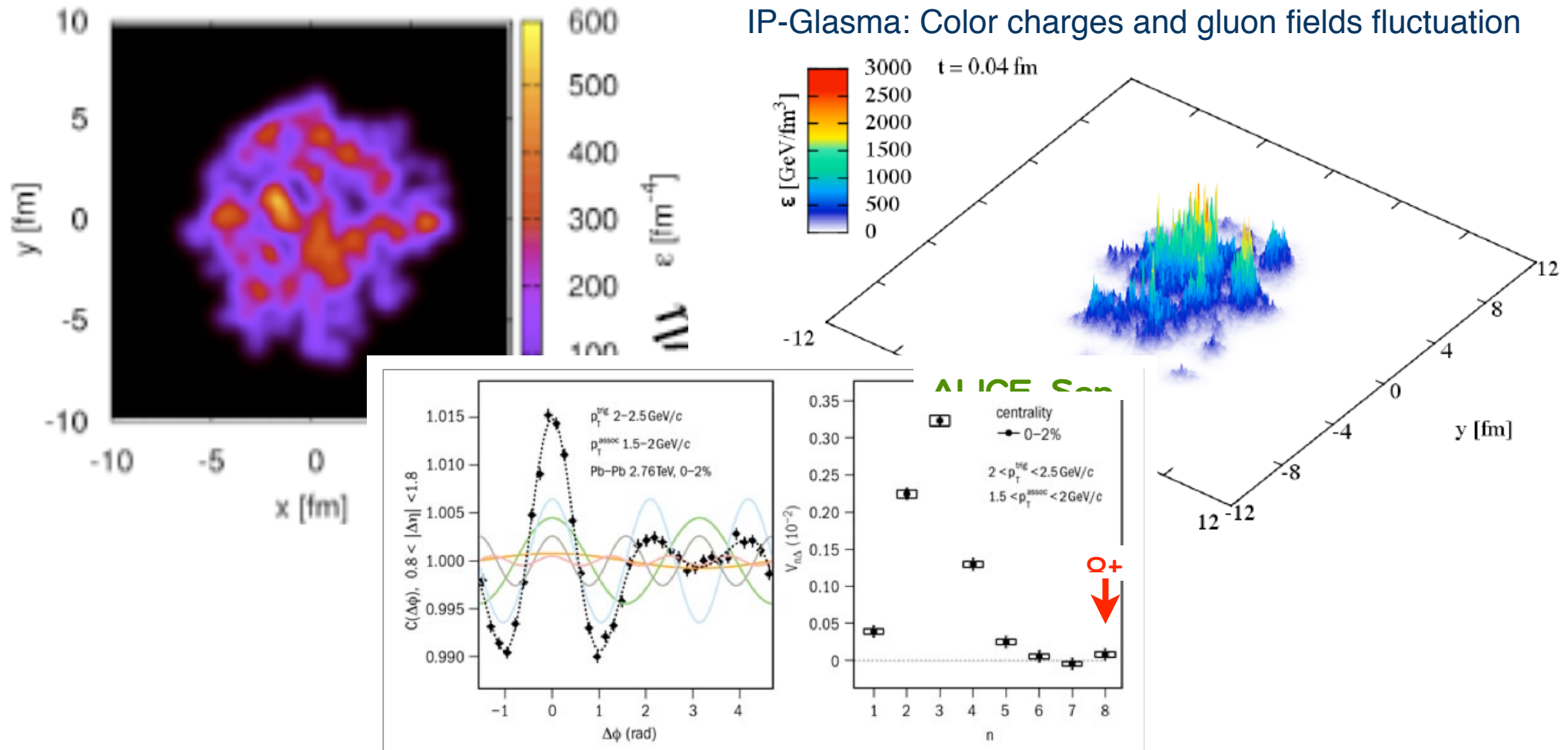
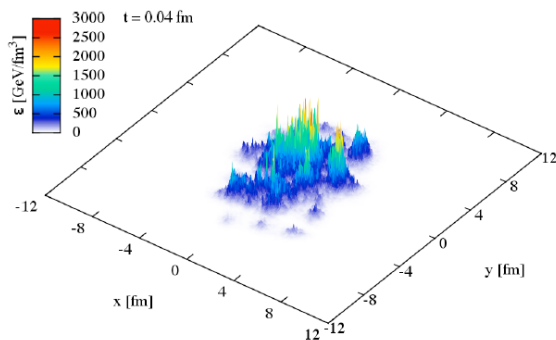


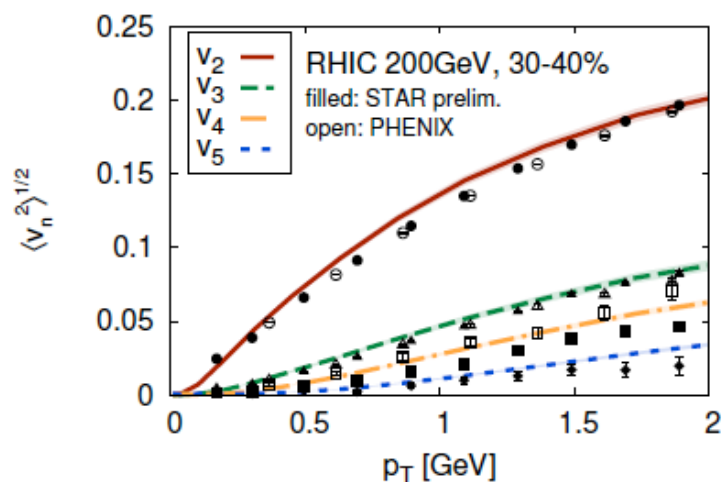
Fig. 1. Left: correlation function for charged hadron pairs from head-on Pb-Pb collisions. Right: corresponding spectrum of Fourier harmonic amplitudes vs  $n$ .

Understanding of initial condition:  
 → key to understand the QGP properties (e.g.  $\eta/s$ ),  
 early thermalization.

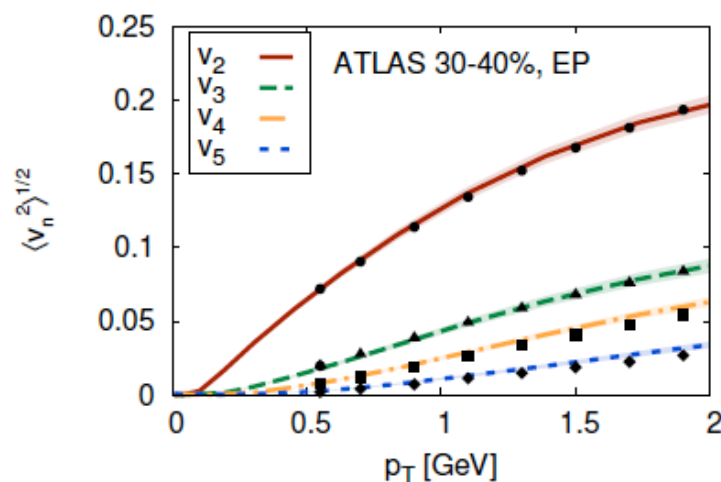


# Minimum $\eta/s$ at RHIC?

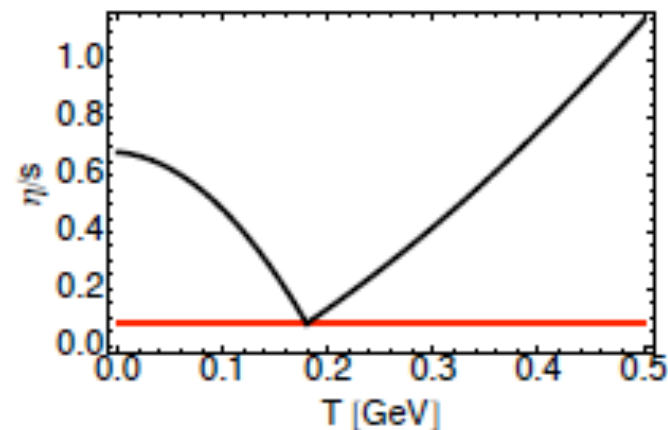
RHIC  $\eta/s = 0.12$



LHC  $\eta/s = 0.2$



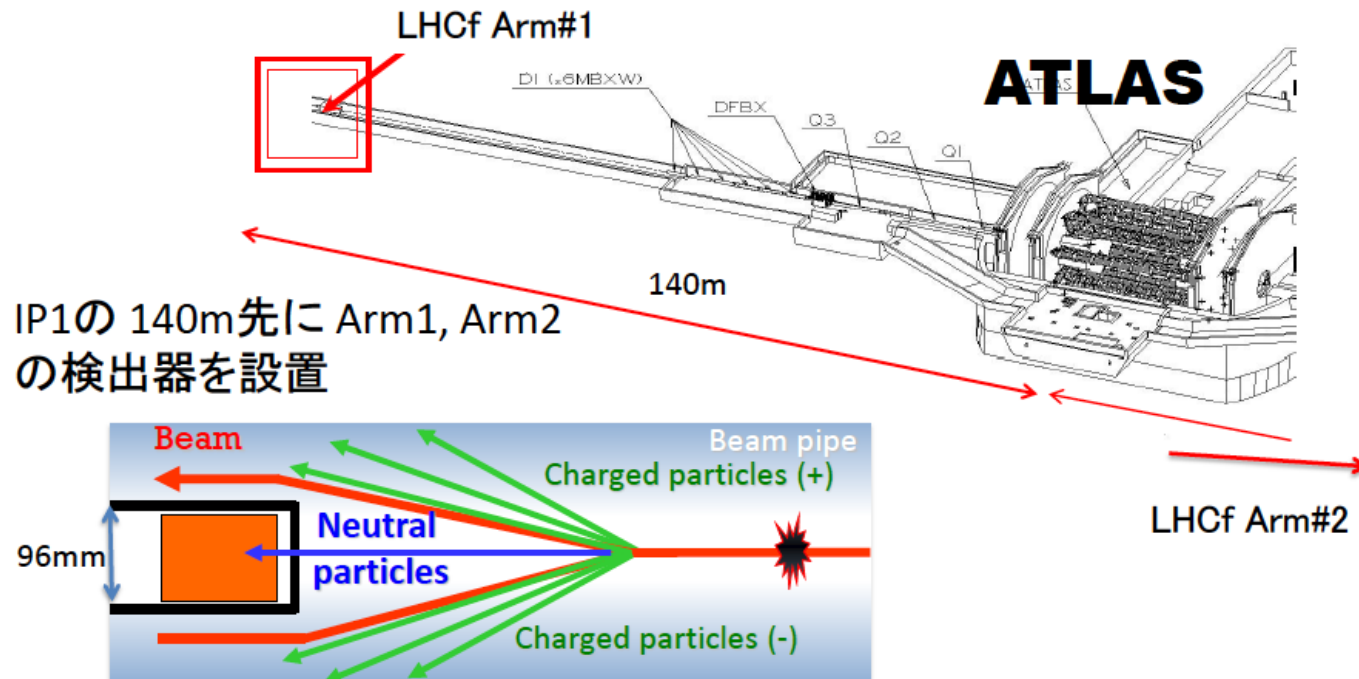
- IP-Glasma Model (color charge fluctuation)
  - Higher harmonics  $\rightarrow \eta/s$  constraints
- Minimum  $\eta/s$  at RHIC ?
- Temperature dep?





# LHCf Experiment

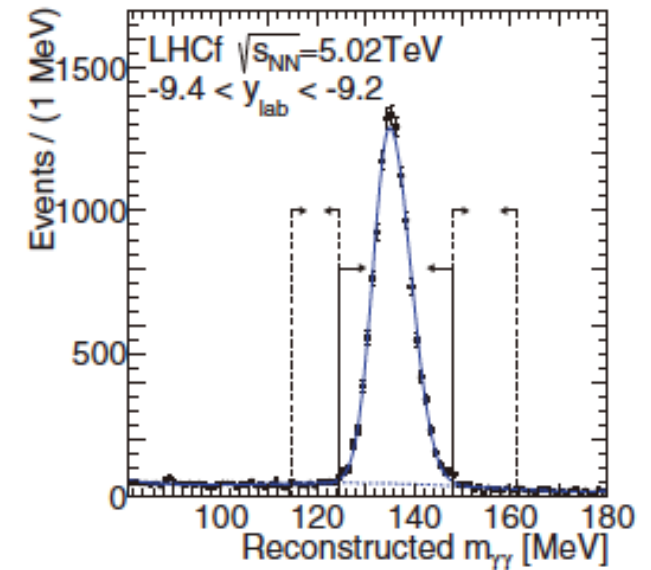
## LHCf実験



- ✓ D1 dipole magnetにより荷電粒子は飛来しない
- ✓ 中性粒子 (主に光子と中性子) を測定
- ✓  $\eta > 8.4$  (to infinity) を測定

2

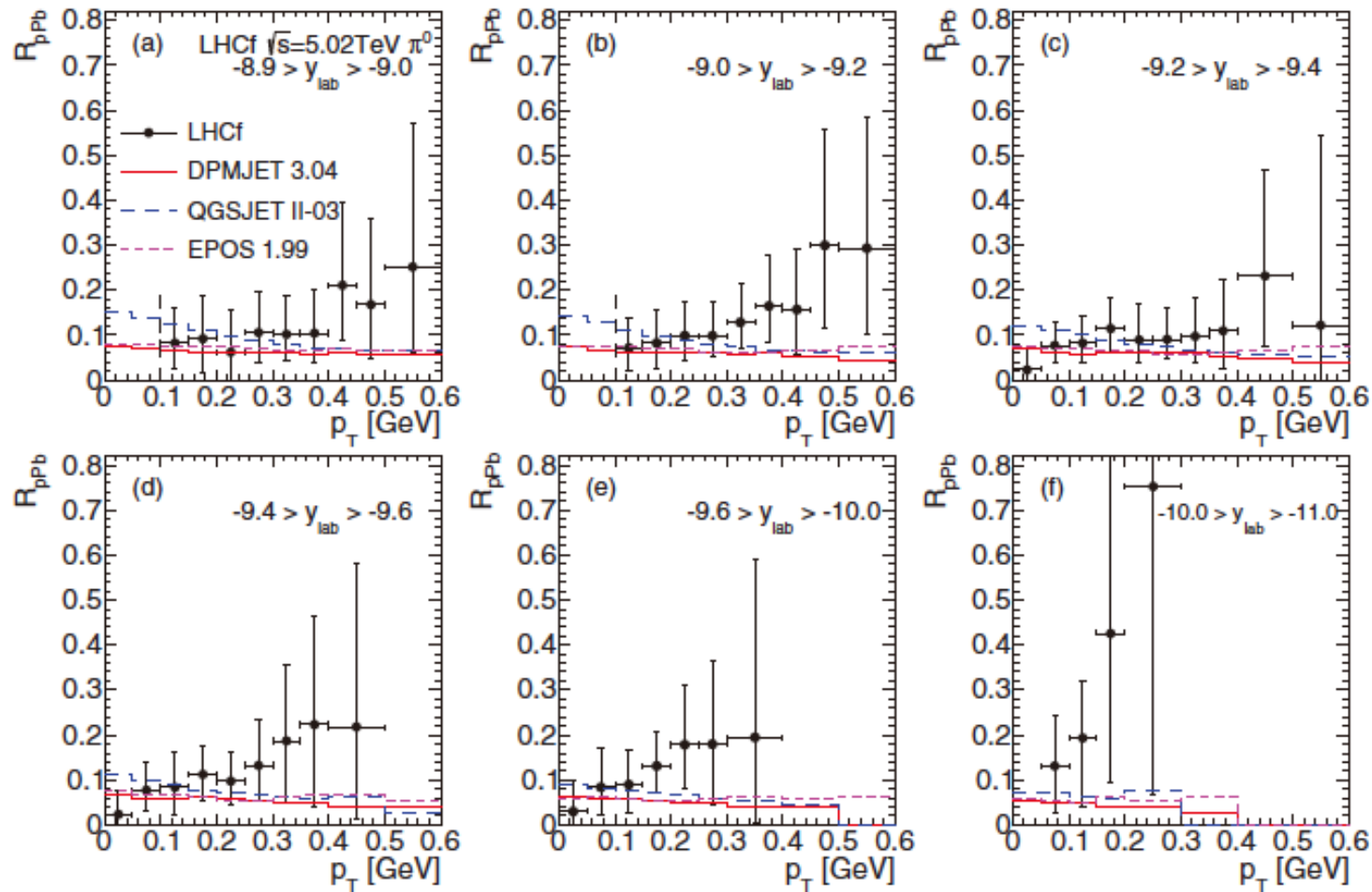
PHYSICAL REVIEW C 89, 065209 (2014) (LHCf)



by T. Sako

# LHCf $\pi^0$ ( $R_{pPb}$ @ very forward and low $p_T$ )

PRC 89, 065209 (2014) (LHCf)



- Strong suppression at very low  $p_T$ , at very forward region.
- CGC effect?
- (I think) difficult to interpret, due to “too” soft hadron.

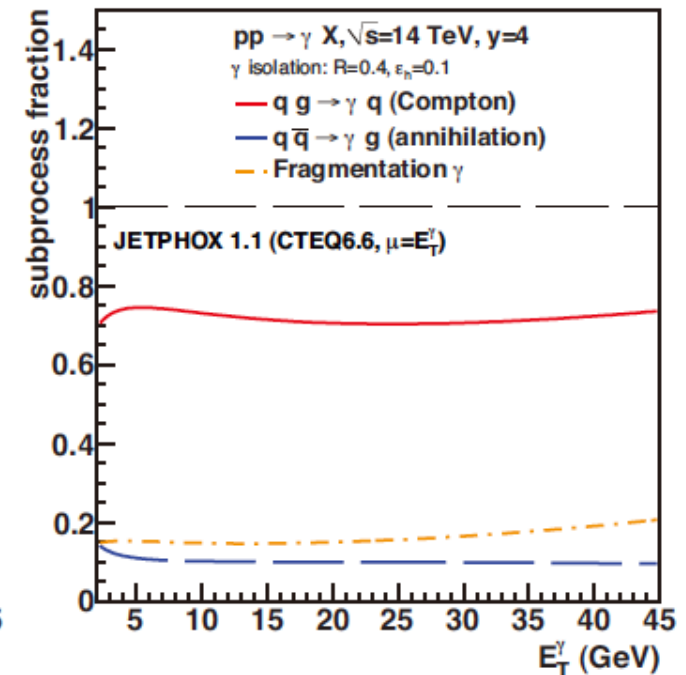
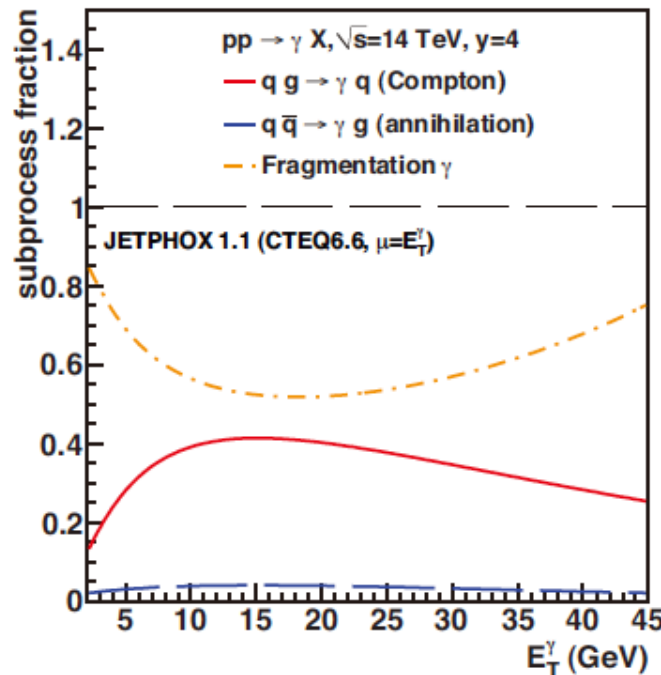
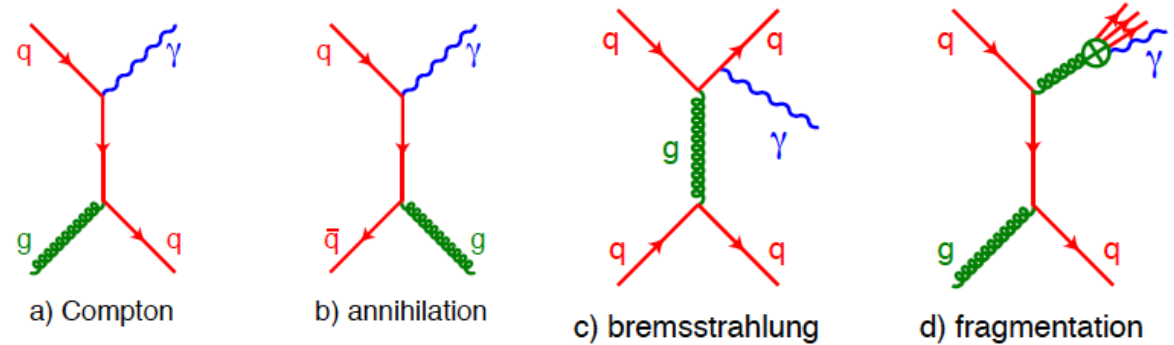
# 4. Future Experiments

# Isolated photons

**Isolated direct photons can provide strong constraints on the gluon PDFs**

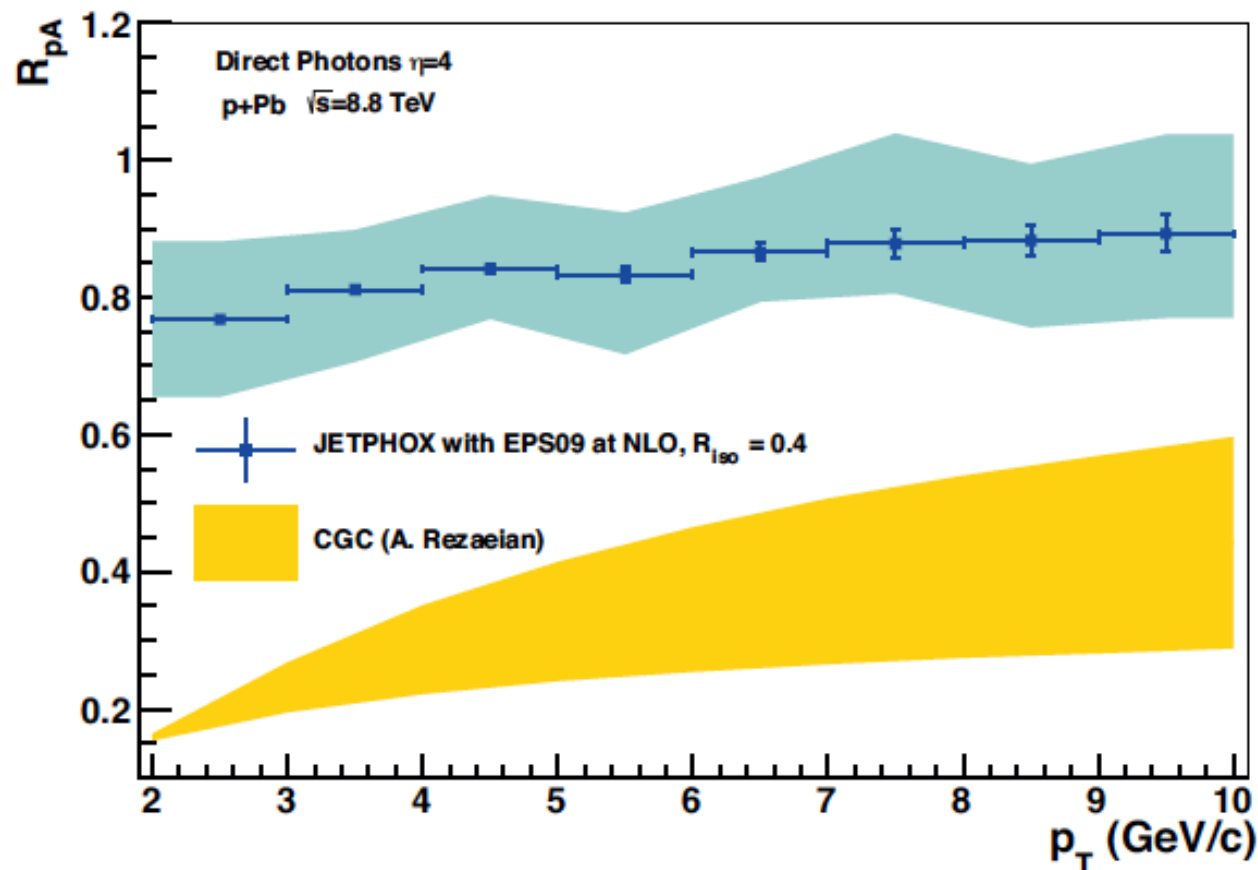
- LO dominant process: quark-gluon Compton.
- Quark-anti-quark annihilation contributing mostly at large  $x$ .
- NLO: At LHC, the majority of prompt photons are produced in the fragmentation process
- Fragmentation photon can be largely suppressed by the isolation cut.

**→ quark-gluon Compton process dominant, more direct access to the gluon PDFs.**



R. Ichou and D. d'Enterria, Phys. Rev. D 82, 014015 (2010)

# nPDF/DGLAP vs. CGC (direct photon)

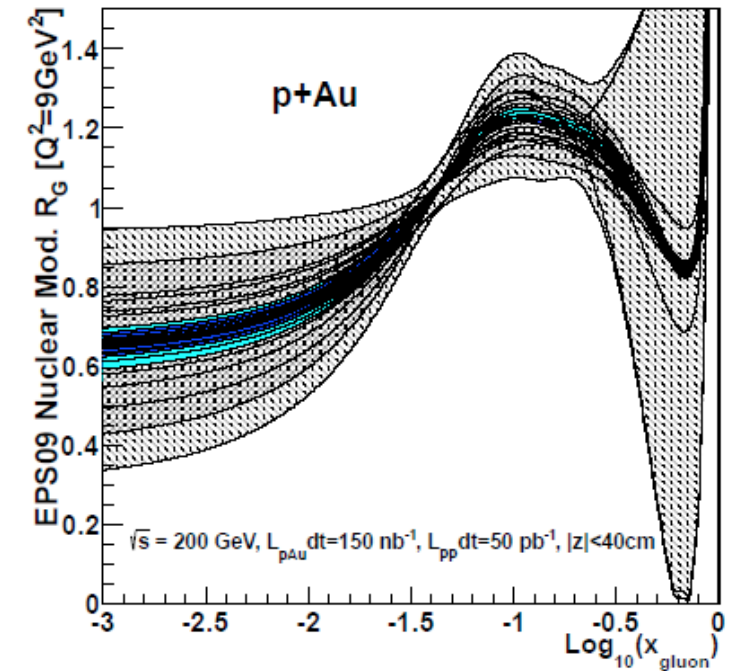
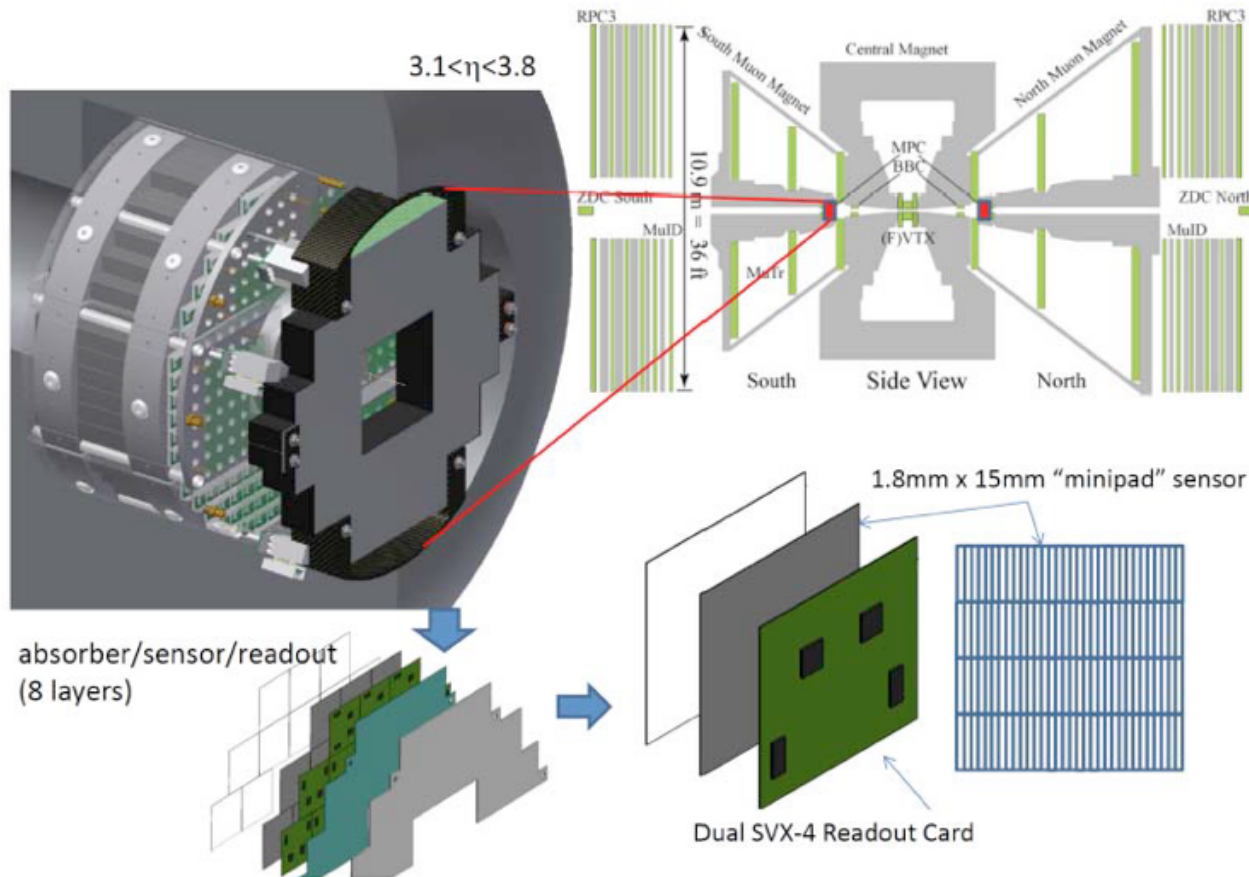


## Two scenarios for forward $\gamma$ production in p+A at LHC:

- Normal nuclear effects linear evolution, shadowing
- Saturation/CGC running coupling BK evolution

- Strong suppression in direct  $\gamma$   $R_{pA}$ .
- Signals expected at forward  $\eta$ , low-intermediate  $p_T$ .

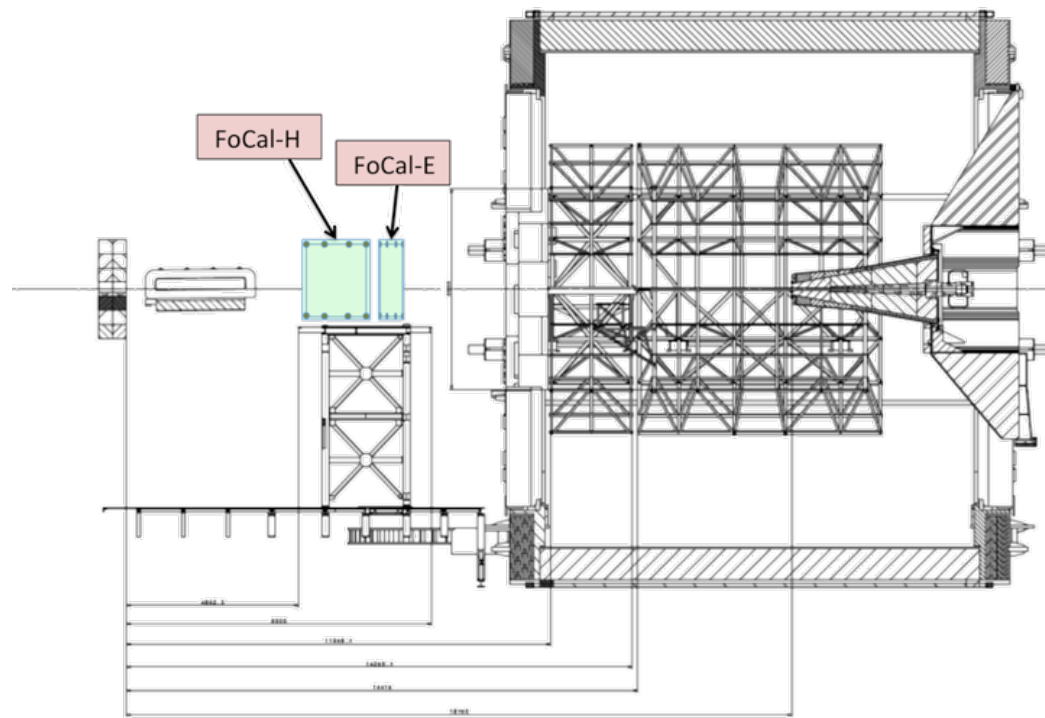
# Forward Photon detector (MPC-EX) in PHENIX



- Si tracking detector + pre shower: direct gamma + high mom.  $\pi^0$ .
- $3.1 < \eta < 3.8$
- Start data taking in 2015 (p-Au).



# Forward Calorimeter (FoCal) in ALICE

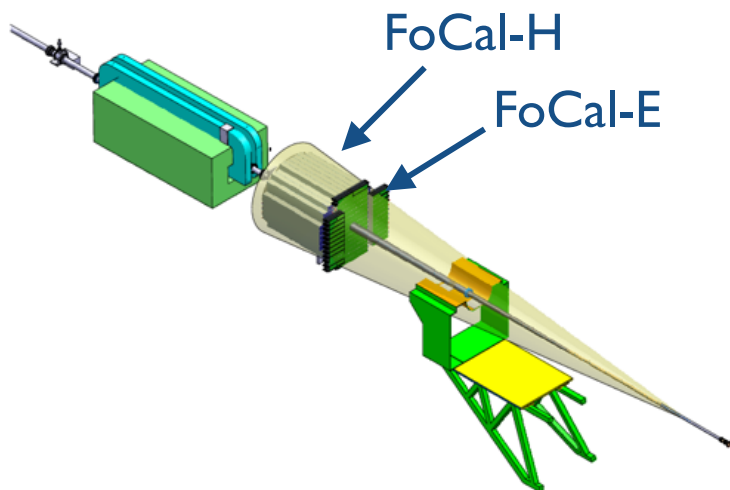


- Electromagnetic calorimeter for  $\gamma$  and  $\pi^0$  measurements, with Hadron Calorimeter.

- At  $z \approx 7\text{m}$  (outside magnet)  
 $3.3 < \eta < 5.3$

- **Proposed schedule:**

- mini-FoCal: 2018- (after LS2)
- full FoCal: 2023- (after LS3)

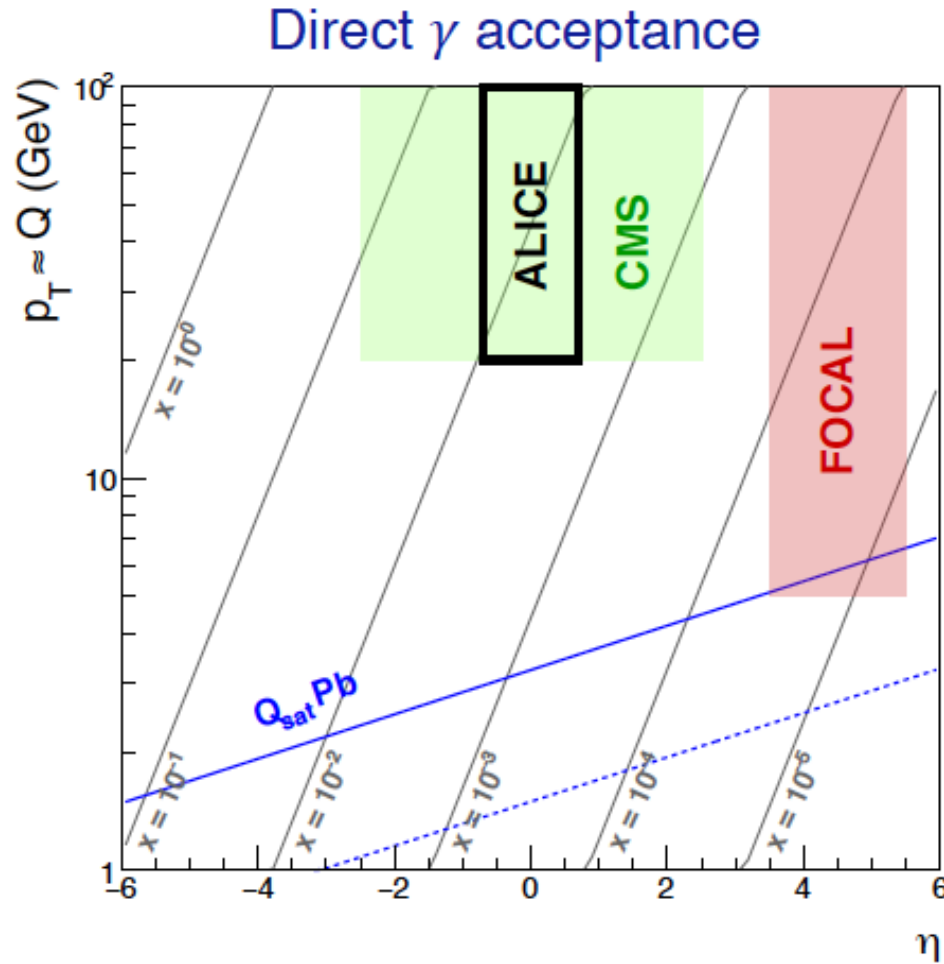


Main challenge: **separate  $\gamma/\pi^0$**  at high energy

- Need small Molière radius, high-granularity read-out
- Si-W calorimeter, granularity  $\approx 1\text{mm}^2$



# Uniqueness of FoCal at LHC

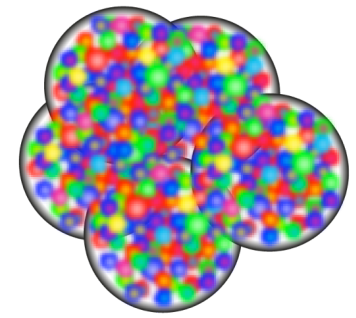


- FoCal can access:
  - $3.3 < \eta < 5.1$
  - few to 100 GeV (low to high  $Q^2$ )
  - Direct photon &  $\pi^0$

	ATLAS Inner Wheel	CMS EndCap	LHCb ECAL	ALICE FoCal@3.5m	ALICE FoCal@8m
$\eta$ range	2.5 - 3.2	1.5 - 3.0	1.8 - 4.3	2.5 - 4.5	3.3 - 5.1
granularity [deg]	5.7	0.5	$\geq 0.18$	$\approx 0.016$	$\approx 0.007$



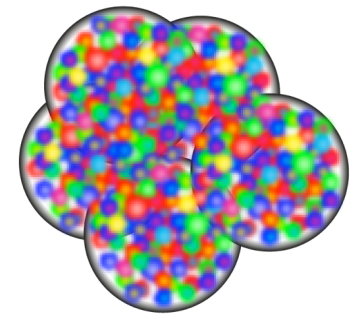
# 質問群 (1)



- カラーグラス凝縮とは何か？
- LHC における CGC の役割は？ その他の初期条件は排除できるのか
- pA衝突におけるカラーグラス凝縮、 早期熱平衡化に関する話題
- CGCについて教えてほしいです
- 衝突前(CGC)と衝突初期過程 流体化のダイナミクスの現状 ミクロな記述からマクロな記述へ乗り移る際の粗視化の考え方, グルーオンのボーズ-アインシュタイン凝縮と有効化学ポテンシャルによる記述 との違い
- pA衝突, cold nuclear matter effectについて



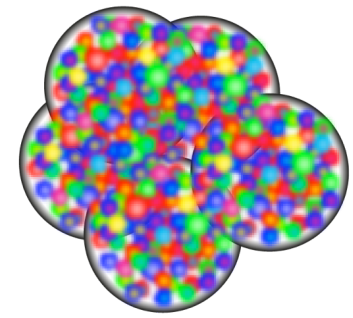
# 質問群 (2)



- CGCによって、現状、初期の物理をどの程度記述することに成功/失敗しているのか
- 初期条件から熱化に至る動的過程をどう実験的に理解できるか？理論の不定性は？それが物性に与える影響はどうか？巷にある流体の初期条件と正しくリンクされているのか？
- CGCや原子核効果はどこまで理解されているのか？



# Summary



- Overview of experimental data at RHIC and LHC, regarding the CGC.
- The data from RHIC and LHC at both mid-rapidity and forward rapidity are “qualitatively” consistent with CGC picture.
- But they are **not conclusive**, just because of the strong final state effect by using the hadronic observables.
- Future directions:
  - **From “qualitative agreement” to the conclusive evidence of CGC.**
  - Direct (isolated) photon at forward rapidity is one of the good “controlled” probes for CGC effect
  - Important step for understating of initial condition, QGP properties, and early thermalization.